Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 4

UNIVERSAL ELECTRONICS INC Form 4 December 23, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ARLING PAUL D Issuer Symbol UNIVERSAL ELECTRONICS INC (Check all applicable) [UEIC] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 201 E. SANDPOINTE 12/20/2013 CEO **AVENUE, SUITE 800** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SANTA ANA, CA 92707-6708 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Transaction Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 12/20/2013 12/20/2013 4,000 \$16.25 D Μ Α 139,161 Stock \$ Common 12/20/2013 S 38.1599 D 12/20/2013 4,000 D 135,161 Stock (1)Common 12/23/2013 D 12/23/2013 Μ 7.588 Α \$16.25 142,749 Stock \$ Common 12/23/2013 S 12/23/2013 7.588 D 38.5328 135,161 D

(2)

Stock

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Common Stock							1,000	Ι	By Spouse				
Reminder: Rej	port on a sepa	rate line for each class	of securities benefic	ially owned directly or ind Persons who respon information containe required to respond displays a currently number.			d to the collection of d in this form are not unless the form		SEC 1474 (9-02)				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Rt to Buy)	\$ 16.25 (3)	12/20/2013	12/20/2013	М		4,000	09/10/2010	03/10/2019	Common	4,000			
Employee Stock Option (Rt to Buy)	\$ 16.25 (3)	12/23/2013	12/23/2013	М		7,588	12/10/2010	03/10/2019	Common	7,588			

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips						
FB	Director	10% Owner	Officer	Other					
ARLING PAUL D 201 E. SANDPOINTE AVENUE SUITE 800 SANTA ANA, CA 92707-6708	Х		CEO						
Signatures									
/s/Paul D. Arling, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney									

dated January 22, 2003 (attached)

**Signature of Reporting Person

12/23/2013

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is the weighted average of the price traded. The high was \$38.20 and the low was \$38.15.
- (2) This is the weighted average of the price traded. The high was \$39.00 and the low was \$38.20.
- (3) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.