#### UNIVERSAL ELECTRONICS INC

Form 4/A

September 30, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

0.5

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obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * ARLING PAUL D |   |   | 2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC [UEIC] |   |       |              | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)   |  |   |  |
|---|---|---|--|---|-------|--------------|---|--|---|--|
|   | (                                       |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 08/28/2013 |       |              |   | _X Director 10% Owner<br>_X Officer (give title Other (specify below)<br>CEO |   |  |
| CANTEA AN   | (Street)                                | File<br>08  | 4. If Amendment, Date Original Filed(Month/Day/Year) 08/28/2013              |   |       |              | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |   |  |
| SANTA AI  | NA, CA 92707-67                         | 08  |  |   |       |              | Person  |  |   |  |
| (City)  | (State)                                 | (Zip)   | Table I - Non-D  | erivative   | Secui | rities Acqu  | iired, Disposed of  | , or Beneficial  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Da<br>any<br>(Month/Day/Y | Code   | 4. Securir(A) or Di (Instr. 3,                              | spose | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)                     | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 08/28/2013                              | 08/28/2013  |  | 1,000   | A     | \$<br>17.585 | 132,450   | D  |   |  |
| Common<br>Stock   | 08/28/2013                              | 08/28/2013  | 3 S  | 1,000   | D     | \$ 31.5      | 131,450   | D  |   |  |
| Common<br>Stock   |   |   |  |   |       |              | 1,000   | I  | By Spouse   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| <ol> <li>Title of<br/>Derivative</li> </ol>    | 2. Conversion                         | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transactio | 5. Number on Derivative              | 6. Date Exercisable and Expiration Date |                    | 7. Title and Amount of Underlying Securities |  |
|--|---------------------------------------|--------------------------------------|-------------------------------|------------------|--------------------------------------|---|--------------------|--|--|
| Security (Instr. 3)                            | or Exercise<br>Price of<br>Derivative | •                                    | any<br>(Month/Day/Year)       | Code (Instr. 8)  | Securities<br>Acquired<br>(A) or     | (Month/Day/Year)                        |                    | (Instr. 3 and 4)                             |  |
|  | Security                              |                                      |                               |                  | Disposed of (D) (Instr. 3, 4, and 5) |   |                    |  |  |
|  |                                       |                                      |                               | Code V           | (A) (D)                              | Date<br>Exercisable                     | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(Rt. to<br>Buy) | \$ 17.585<br>(1)                      | 08/28/2013                           | 08/28/2013                    | M                | 1,000                                | 01/21/2006                              | 01/21/2015         | Common<br>Stock                              | 1,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| 1 0   | Director      | 10% Owner | Officer | Other |  |  |
| ARLING PAUL D 201 E. SANDPOINTE AVENUE SUITE 800 SANTA ANA. CA 92707-6708 | X             |           | CEO     |       |  |  |

### **Signatures**

/s/Paul D. Arling, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated January 22, 2003 (attached)

09/30/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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