

UNIVERSAL ELECTRONICS INC
Form 4
August 15, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FIREHAMMER RICHARD A JR

2. Issuer Name and Ticker or Trading Symbol
UNIVERSAL ELECTRONICS INC [UEIC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
8350 DEVON COURT
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/13/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior Vice President

CHAGRIN FALLS, OH 44023

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Code V Amount Price | | | |
| Common Stock | 08/13/2013 | 08/13/2013 | M | 6,747 A \$ 16.25 | 8,344 | D | |
| Common Stock | 08/13/2013 | 08/13/2013 | S | 6,747 D \$ 33.51 | 1,597 | D | |
| Common Stock | 08/13/2013 | 08/13/2013 | M | 8,153 A \$ 16.25 | 9,750 | D | |
| Common Stock | 08/13/2013 | 08/13/2013 | S | 8,153 D \$ 33.19 | 1,597 | D | |
| Common Stock ⁽¹⁾ | 08/13/2013 | 08/13/2013 | A | 496 D \$ 32.83 | 2,093 | D | |

| | | | | | | | | | |
|--|------------|------------|------------------|-------|---|---------|----------------------|---|---------------------------------|
| Common Stock (Held in Company 401K Plan) | 08/13/2013 | 08/13/2013 | J ⁽³⁾ | 2,777 | D | \$ 31.9 | 1,339 ⁽⁴⁾ | I | Held in Company 401K Plan |
|--|------------|------------|------------------|-------|---|---------|----------------------|---|---------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Rt to Buy) | \$ 16.25 ⁽⁵⁾ | 08/13/2013 | 08/13/2013 | M | 6,747 | 06/10/2009 03/10/2019 | Common | 6,747 | |
| Employee Stock Option (Rt to Buy) | \$ 16.25 ⁽⁵⁾ | 08/13/2013 | 08/13/2013 | M | 8,153 | 03/10/2011 03/10/2019 | Common | 8,153 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| FIREHAMMER RICHARD A JR 8350 DEVON COURT CHAGRIN FALLS, OH 44023 | | | Senior Vice President | |

Signatures

/s/Richard A.
Firehammer, Jr.

08/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (2) Price determined in accordance with the Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (3) Diversification transaction of Company Match Stock held in Company's 401K Plan.
- (4) The Amount of Securities beneficially owned by the Reporting Person in the Company's 401K Plan following the reported transaction is based on the Company Match Stock as reported in the latest 401K quarterly statement.
- (5) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.