Edgar Filing: RPM INTERNATIONAL INC/DE/ - Form 4

RPM INTE Form 4 July 26, 20	ERNATIONAL IN 13	NC/DE/								
FOR	\mathbf{M} 4 UNITED		SECU	DITIES		CII	ANCEO	OMMISSION		APPROVAL
	UNITED	SIAILS			AND EA n, D.C. 2			OMIMISSION	OMB Number:	3235-0287
Check if no lo subject Section	MENT O	F CHANGES IN BENEFICIAL OWNERSH SECURITIES						Expires: Estimated burden ho	ours per	
Form 4 Form 5 obligat may co <i>See</i> Ins 1(b).	Filed pu	(a) of the l	Public U	Jtility Ho	olding Co	mpai	-	e Act of 1934, 1935 or Section 0	response.	0.5
(Print or Type	e Responses)									
	Address of Reporting	g Person <u>*</u>	Symbol		nd Ticker o		-	5. Relationship of Issuer	Reporting Pe	erson(s) to
			[RPM]		ATIONA	L IN	C/DE/	(Checl	k all applicab	le)
(Last) 2628 PEA	(First) RL ROAD, P.O. I	(Middle)		Day/Year)	Transaction	1		X Director X Officer (give below)	titleOt below)	% Owner her (specify
						-1			man and CE	
	(Street)			onth/Day/Ye	Date Origin ar)	al		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M	one Reporting	Person
	, OH 44258							Person		
(City)	(State)	(Zip)	Tal	ole I - Non	-Derivativ	e Secu	rities Acq	uired, Disposed of	, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemee Execution I any (Month/Da	Date, if	Code (Instr. 8)	otor Dispose (Instr. 3, 4	ed of (and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 par value				Code V	Amount	(D)	Price		D	
Common Stock, \$0.01 par value	07/23/2013			S	10,000	D	\$ 34.9731	15,000	I	By LLC
Common Stock, \$0.01 par value								10,809	I	By Thomas C. and Sandra S. Sullivan Irrevocable Grandchildren's Trus

			dated May 8, 2006
Common Stock, \$0.01 par value	9,630	Ι	By Thomas C. Sullivan Irrevocable Trust FBO Frank C. Sullivan 10/26/12
Common Stock, \$0.01 par value	3,000	Ι	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	12,500	I	By Generation-Skipping Trust for son
Common Stock, \$0.01 par value	4,089 <u>(2)</u>	Ι	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Number of Deriva Securit Acquir (A) or Dispos of (D) (Instr. 1 4, and	tive ties red sed 3,		ate	7. Title and A Underlying S (Instr. 3 and 4	Securities
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	<u>(3)</u>						(4)	10/29/2014	Common Stock	125,000
Stock Appreciation Rights	<u>(3)</u>						(5)	<u>(5)</u>	Common Stock	1,750,000

Edgar Filing: RPM INTERNATIONAL INC/DE/ - Form 4

Reporting Owners

Reporting Owner Name / Address		R	elationships	
	Director	10% Owner	Officer	Other
SULLIVAN FRANK C 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258	Х		Chairman and CEO	
Olara aturna a				

Signatures

/s/ Frank C. Sullivan, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney	07/25/2013
dated May 14, 2007 on file with the Commission	0772572015

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 150,621 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 240,000 shares of

- (1) Common Stock issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 180,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.
- (2) Approximate number of shares held as of July 18, 2013 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (3) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.
- Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended in an exempt transaction under Rule
 (4) 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant. These options were granted on October 29, 2004 and expire 10 years from the date of grant.

Date

Edgar Filing: RPM INTERNATIONAL INC/DE/ - Form 4

Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt
(5) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2005 and 2013 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.