#### RPM INTERNATIONAL INC/DE/

Form 4 July 22, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Gordon Russell L			Symbol		d Ticker or Trading TIONAL INC/DE/	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 2628 PEAR	(First) (I	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/18/2013			DirectorX Officer (give below)	e title 0the below) /P and CFO		
		4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
MEDINA, OH 44258			Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(Zip)	Tabl	le I - Non-l	Derivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transacti Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, \$0.01 par value	07/18/2013		A <u>(1)</u>	2,936	A	\$ 0	59,847	D	
Common Stock, \$0.01 par value	07/18/2013		A(2)	15,000	A	\$ 0	74,847	D	
Common Stock, \$0.01 par value	07/19/2013		F(3)	1,845	D	\$ 34.12	73,002 (4)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date U		7. Title and Amount of Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Option (Right to Buy)	<u>(5)</u>					<u>(6)</u>	10/29/2014	Common Stock	5,000
Stock Appreciation Rights	\$ 33.8	07/18/2013		A	30,000	<u>(7)</u>	07/18/2023	Common Stock	30,00

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gordon Russell L 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258

VP and CFO

### **Signatures**

/s/ Russell L. Gordon, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated April 4, 2012 on file with the Commission

07/22/2013

SEC 1474

(9-02)

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 2,936 shares of Common Stock, issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan.
- (2) The reporting person was granted 15,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.

Reporting Owners 2

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- On July 19, 2013, 6,000 shares of Common Stock issued to the reporting person as Performance Earned Restricted Stock pursuant to the (3) RPM International Inc. 2004 Omnibus Equity and Incentive Plan (the "Plan") vested. In accordance with the Plan, the reporting person disposed of 1,845 shares of Common Stock back to the Issuer to satisfy tax obligations of the reporting person.
- Includes an aggregate of 15,708 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan,
  32,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus
  Equity and Incentive Plan, and 15,000 shares of Common Stock, issued as Performance Contingent Restricted Stock, pursuant to the
  RPM International Inc. 2004 Omnibus Equity and Incentive Plan.
- (5) No transaction is being reported on this line. Reported on a previously filed Form 3.
- Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended, in an exempt transaction under

  (6) Rule 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant.

  These options were granted on October 29, 2004 and expire 10 years from the date of grant.
- (7) The Stock Appreciation Rights vest in four equal installments, beginning on July 18, 2014.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt (8) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted in 2005 and 2013 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.