DEAL RICHARD

Form 4 August 28, 2012

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

subject to Section 16. Form 4 or Form 5

Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person <u>\*</u> DEAL RICHARD

(First) (Middle)

901 MARQUETTE AVENUE, SUITE 3200

NUE, SUITE 3200

(Street)

(Ctata)

2. Issuer Name **and** Ticker or Trading Symbol

FAIR ISAAC CORP [FICO]

3. Date of Earliest Transaction (Month/Day/Year)

08/24/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

Sr. Vice President

 $6.\ Individual\ or\ Joint/Group\ Filing (Check$ 

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

#### MINNEAPOLIS, MN 55402

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	08/24/2012		M	1,875	A	<u>(1)</u>	20,696	D	
Common Stock	08/24/2012		F	800 (2)	D	\$ 43.54	19,896	D	
Common Stock	08/28/2012		M	6,447	A	\$ 28.75	26,343	D	
Common Stock	08/28/2012		M	17,254	A	\$ 32.01	43,597	D	
Common Stock	08/28/2012		S	23,701	D	\$ 44.06 (3)	19,896	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units	(1)	08/24/2012		M	1,875	08/24/2010(4)	<u>(5)</u>	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 28.75	08/28/2012		M	6,447	08/02/2005 <u>(6)</u>	08/01/2014	Common Stock
Non Qualified Stock Option (right to buy)	\$ 32.01	08/28/2012		M	17,254	11/15/2005 <u>(6)</u>	11/14/2014	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	ationships
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Director 10% Owner Officer Other

Sr. Vice President

**DEAL RICHARD** 

901 MARQUETTE AVENUE

**SUITE 3200** 

MINNEAPOLIS, MN 55402

## **Signatures**

/s/Nancy E. Fraser, 08/28/2012 Attorney-in-fact

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.

Reporting Owners 2

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- (2) Shares withheld by Company for payment of taxes due at vesting from restricted stock units.
- This transaction was executed in multiple trades at prices ranging from \$43.43 to \$44.38. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (4) The restricted stock units vest in four equal annual installments commencing on this date and vested shares will be delivered to the reporting person as soon as practicable thereafter.
- (5) No expiration date.
- (6) This option vests in four equal annual installments commencing on this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.