HOLMAN SUSAN HARTJES

Form 4 June 09, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287 January 31,

2005

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

OMB APPROVAL

Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **HOLMAN SUSAN HARTJES**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

UROPLASTY INC [UPI] 3. Date of Earliest Transaction

(Check all applicable)

5420 FELTL RD

(Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify

06/05/2009

below)

COO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

MINNETONKA, MN 55343

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

328,659

Common

Stock

Code V Amount (D) Price

(A)

or

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 3.15					06/24/2008	06/23/2013	Common Stock	3,333
Stock Options	\$ 3.15					06/24/2009	06/23/2013	Common Stock	3,333
Stock Options	\$ 3.15					06/24/2010	06/23/2013	Common Stock	3,334
Stock Options	\$ 5.3					12/21/2005	12/21/2009	Common Stock	75,000
Stock Options	\$ 2.65					02/02/2009	02/01/2014	Common Stock	8,334
Stock Options	\$ 2.65					02/02/2010	02/01/2014	Common Stock	4,166
Stock Options	\$ 4.31					07/03/2008	07/02/2012	Common Stock	6,666
Stock Options	\$ 4.31					07/03/2009	07/02/2012	Common Stock	3,334
Stock Options	\$ 5.19					02/02/2006	01/01/2015	Common Stock	100,000
Stock Options	\$ 0.85	06/05/2009		A	13,333	06/05/2009	06/04/2014	Common Stock	13,333
Stock Options	\$ 0.85	06/05/2009		A	13,333	06/05/2010	06/04/2014	Common Stock	13,333
Stock Options	\$ 0.85	06/05/2009		A	13,334	06/05/2011	06/04/2014	Common Stock	13,334

Reporting Owners

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
HOLMAN SUSAN HARTJES						
5420 FELTL RD			COO			
MINNETONKA, MN 55343						

Reporting Owners 2

Signatures

Larry Bakeman 06/08/2009

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options that are exercisable within 60 days of June 5, 2009.
- (2) Price is not applicable as this is an award with the right to exercise after completing the requisite service period.

Remarks:

Signature is on behalf of Ms. Hartjes Holman.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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