

CODEXIS INC  
Form 8-K  
November 04, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

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CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934  
Date of report (Date of earliest event reported): November 4, 2015

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Codexis, Inc.  
(Exact name of Registrant as Specified in its Charter)

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|---|-----------------------------|---|
| Delaware  | 001-34705                   | 71-0872999                              |
| (State or other jurisdiction<br>of incorporation)             | (Commission<br>File Number) | (I.R.S. Employer<br>Identification No.) |
| 200 Penobscot Drive   |                             |   |
| Redwood City, CA 94063  |                             |   |
| (Address of Principal Executive Offices) (Zip Code)           |                             |   |
| (650) 421-8100  |                             |   |
| (Registrant's telephone number, including area code)          |                             |   |
| Not Applicable  |                             |   |
| (Former Name or Former Address, if Changed Since Last Report) |                             |   |

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ..  Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ..  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ..  Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ..  Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition

On November 4, 2015, Codexis, Inc. (the “Company”) announced its financial results for the third quarter ended September 30, 2015. The full text of the press release issued in connection with the announcement is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information in Item 2.02 of this Form 8-K (including Exhibit 99.1) shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference under the Securities Act of 1933, as amended, except as expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statement and Exhibits

(d) Exhibits

99.1 Press release dated November 4, 2015 relating to the financial results for the third quarter ended September 30, 2015\*

\* This exhibit relating to Item 2.02 shall be deemed to be furnished and not filed.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 4, 2015

CODEXIS, INC.

By: /s/ Gordon Sangster

Name: Gordon Sangster

Title: Senior Vice President and Chief Financial  
Officer