

CECO ENVIRONMENTAL CORP  
Form SC 13G/A  
December 28, 2016

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 13G**

**(Amendment No. 8)\***

**Under the Securities Exchange Act of 1934**

**CECO ENVIRONMENTAL CORP.**

**(Name of Issuer)**

**Common Stock**

**(Title of Class of Securities)**

**125141101**

**(CUSIP Number)**

**August 31, 2016**

**(Date of Event which Requires Filing of this Statement)**

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

- \* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1 NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Harvey Sandler Revocable Trust  
2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Florida

5 SOLE VOTING POWER

NUMBER OF

SHARES 867,770  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY Not applicable  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 867,770  
8 SHARED DISPOSITIVE POWER

WITH

Not applicable  
9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

867,770 (1)

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

2.5% (2)

12 TYPE OF REPORTING PERSON\*

IN

- (1) On March 19, 2014, April 1, 2014, December 23, 2014, and November 16, 2016 Mr. Sandler, as trustee of the Harvey Sandler Revocable Trust (the Trust ), caused the Trust to transfer an aggregate of 250,000 shares (the Transferred Shares ) to the Harvey and Phyllis Sandler Foundation (the Foundation ). As a result, the Trust no longer beneficially owns the Transferred Shares.
- (2) Based on the number of shares outstanding of each of the issuer's classes of common equity reported in the issuer's Form 10-Q report filed on November 8, 2016 as 34,161,493 shares of common stock, par value \$0.01 per share. The Trust is filing this report voluntarily prior to the prescribed due date to notify that as of August 31, 2016 it no longer beneficially owns five percent or greater of the subject class of securities based on sales of the issuer's securities during the month of August.

1 NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Harvey Sandler  
2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a) (b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

5 SOLE VOTING POWER

NUMBER OF

SHARES 867,770  
6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY Not applicable  
EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 867,770  
8 SHARED DISPOSITIVE POWER

WITH

Not applicable  
9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

867,770

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

2.5% (1)

12 TYPE OF REPORTING PERSON\*

IN

- (1) Based on the number of shares outstanding of each of the issuer's classes of common equity reported in the issuer's Form 10-Q report filed on November 8, 2016 as 34,161,493 shares of common stock, par value \$0.01 per share. Mr. Sandler is filing the report voluntarily prior to the prescribed due date to notify that as of August 31, 2016, he no longer beneficially owns five percent or greater of the subject class of securities based on sales of the issuer's securities during the month of August.

CUSIP No. 125141101

13G/A

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**Item 1.**

(a) Name of Issuer: CECO Environmental Corp.

(b) Address of Issuer's Principal Executive Offices:  
4625 Red Bank Road, Suite 200

Cincinnati, Ohio 45227

**Item 2.**

1. (a) Name of Person Filing: Harvey Sandler Revocable Trust

(b) Address of Principal Business Office or, if none, Residence: The reporting person's principal business address is:  
2080 NW Boca Raton Blvd #6, Boca Raton, FL 33431

(c) Citizenship: Harvey Sandler Revocable Trust is a trust organized under the laws of the State of Florida

(d) Title of Class of Securities: Common Stock, par value \$0.01 per share

(e) CUSIP Number. 125141101

2. (a) Name of Person Filing: Harvey Sandler

(b) Address of Principal Business Office or, if none, Residence: The reporting person's principal business address is:  
2080 NW Boca Raton Blvd #6, Boca Raton, FL 33431

(c) Citizenship: Harvey Sandler is a United States citizen.

(d) Title of Class of Securities: Common Stock, par value \$0.01 per share

(e) CUSIP Number. 125141101

**Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- (e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);



- (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) Group, in accordance with §240.13d-1(b)(1)(ii)(J).

Not applicable.

**Item 4. Ownership.**

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

## 1. Harvey Sandler Revocable Trust

- (a) Amount beneficially owned: 867,770 (1)
- (b) Percent of class: 2.5% (2)
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote: 867,770
  - (ii) Shared power to vote or to direct the vote: None
  - (iii) Sole power to dispose or to direct the disposition of: 867,770
  - (iv) Shared power to dispose or to direct the disposition of: None

## 2. Harvey Sandler

- (a) Amount beneficially owned: 867,770 (3)
- (b) Percent of class: 2.5% (2)

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: 867,770

(ii) Shared power to vote or to direct the vote: None

(iii) Sole power to dispose or to direct the disposition of: 867,770

(iv) Shared power to dispose or to direct the disposition of: None

*Instruction.* For computations regarding securities which represent a right to acquire an underlying security see §240.13d-3(d)(1).

- (1) On March 19, 2014, April 1, 2014, December 23, 2014 and November 16, 2016, Mr. Sandler, as trustee of the Harvey Sandler Revocable Trust (the Trust ), caused the Trust to transfer an aggregate of 250,000 shares (the Transferred Shares ) to the Harvey and Phyllis Sandler Foundation (the Foundation ). As a result, the Trust no longer beneficially owns the Transferred Shares.
- (2) Based on the number of shares outstanding of each of the issuer's classes of common equity reported in the issuer's Form 10-Q report filed on November 8, 2016 as 34,161,493 shares of common stock, par value \$0.01 per share.

- (3) Harvey Sandler is the sole trustee of the Trust. As a result, Mr. Sandler may be deemed to beneficially own the shares held by the Trust and each of the reporting persons may be deemed to be a member of a group within the meaning of Rule 13d-5(b)(1). The reporting persons do not admit to being members of a group and Mr. Sandler disclaims beneficial ownership of the securities held by the Trust. On March 19, 2014, April 1, 2014, December 23, 2014 and November 16, 2016, Mr. Sandler, as trustee of the Trust, caused the Trust to transfer the Transferred Shares to the Foundation. Mr. Sandler is on the board of directors of the Foundation and serves as the Foundation's President. As President, Mr. Sandler has both sole voting power and sole dispositive power over the shares, and as a result, Mr. Sandler may be deemed to beneficially own any shares held by the Foundation from time to time. Mr. Sandler disclaims beneficial ownership of any securities held by the Foundation.

**Item 5. Ownership of Five Percent or Less of a Class.**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

*Instruction:* Dissolution of a group requires a response to this item.

**Item 6. Ownership of More than Five Percent on Behalf of Another Person.**

Not applicable.

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.**

Not applicable.

**Item 8. Identification and Classification of Members of the Group.**

See Exhibit A for Joint Filing Agreement

**Item 9. Notice of Dissolution of Group.**

Not applicable.

**Item 10. Certification**

By signing below the undersigned certifies that, to the best of its knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction

having that purpose or effect.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: December 23, 2016

HARVEY SANDLER REVOCABLE TRUST

By: /s/ Harvey Sandler  
Name: Harvey Sandler  
Title: Sole Trustee

Date: December 23, 2016

By: /s/ Harvey Sandler  
Harvey Sandler

**EXHIBIT A**

**JOINT FILING AGREEMENT**

In accordance with Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended, the undersigned hereby agree to the joint filing on behalf of each of them of a statement on Schedule 13G (including amendments thereto) with respect to the Common Stock of CECO ENVIRONMENTAL CORP. and that this Agreement be included as an Exhibit to such statement.

IN WITNESS WHEREOF, the undersigned hereby execute this Agreement effective as of the dates set forth next to the undersigned's name.

Date: December 23, 2016

HARVEY SANDLER REVOCABLE TRUST

By: /s/ Harvey Sandler

Name: Harvey Sandler

Title: Sole Trustee

Date: December 23, 2016

By: /s/ Harvey Sandler

Harvey Sandler