

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

AllianzGI Equity & Convertible Income Fund
Form N-PX
August 27, 2014

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

INVESTMENT COMPANY ACT FILE NUMBER: 811-21989

ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND
(EXACT NAME OF REGISTRANT AS SPECIFIED IN CHARTER)

1633 BROADWAY, NEW YORK, NY 10019
(ADDRESS OF PRINCIPAL EXECUTIVE OFFICE)

ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
1633 BROADWAY
NEW YORK, NY 10019
(NAME AND ADDRESS OF AGENT FOR SERVICE)

REGISTRANT'S TELEPHONE NUMBER, INCLUDING AREA CODE: 212-739-3000

DATE OF FISCAL YEAR END: JANUARY 31

DATE OF REPORTING PERIOD: JULY 1, 2013 THROUGH JUNE 30, 2014

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ITEM 1. PROXY VOTING RECORD

Registrant : AllianzGI Equity & Convertible Income Fund

Fund Name : AllianzGI Equity & Convertible Income Fund

AGCO Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
AGCO	CUSIP 001084102	04/24/2014	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Roy V. Armes	Mgmt	For		For	For
2	Elect Michael C. Arnold	Mgmt	For		For	For
3	Elect P. George Benson	Mgmt	For		For	For
4	Elect Wolfgang Deml	Mgmt	For		For	For

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5	Elect Luiz Fernando Furlan	Mgmt	For	For	For
6	Elect George E. Minnich	Mgmt	For	For	For
7	Elect Martin H. Richenhagen	Mgmt	For	For	For
8	Elect Gerald L. Shaheen	Mgmt	For	For	For
9	Elect Mallika Srinivasan	Mgmt	For	For	For
10	Elect Hendrikus Visser	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Amazon.com, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMZN	CUSIP 023135106	05/21/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jeffrey P. Bezos	Mgmt	For	For	For
2	Elect Tom A. Alberg	Mgmt	For	For	For
3	Elect John Seely Brown	Mgmt	For	For	For
4	Elect William B. Gordon	Mgmt	For	For	For
5	Elect Jamie S. Gorelick	Mgmt	For	For	For
6	Elect Alain Monie	Mgmt	For	For	For
7	Elect Jonathan Rubinstein	Mgmt	For	For	For
8	Elect Thomas O. Ryder	Mgmt	For	Against	Against
9	Elect Patricia Q. Stonesifer	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against	For	Against

American International Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AIG	CUSIP 026874784	05/12/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Robert H. Benmosche	Mgmt	For	For	For
2	Elect W. Don Cornwell	Mgmt	For	For	For
3	Elect Peter R. Fisher	Mgmt	For	For	For
4	Elect John Fitzpatrick	Mgmt	For	For	For
5	Elect William G. Jurgensen	Mgmt	For	For	For
6	Elect Christopher S. Lynch	Mgmt	For	For	For
7	Elect Arthur C. Martinez	Mgmt	For	For	For
8	Elect George L. Miles, Jr.	Mgmt	For	Against	Against
9	Elect Henry S. Miller	Mgmt	For	For	For
10	Elect Robert S. Miller	Mgmt	For	For	For
11	Elect Suzanne Nora Johnson	Mgmt	For	For	For
12	Elect Ronald A. Rittenmeyer	Mgmt	For	For	For
13	Elect Douglas M. Steenland	Mgmt	For	For	For
14	Elect Theresa M. Stone	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Restatement of Protective Amendment to the Certificate of Incorporation	Mgmt	For	For	For
17	Ratification of the Tax Asset Protection Plan	Mgmt	For	For	For
18	Ratification of Auditor	Mgmt	For	For	For

AMGEN Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMGN	CUSIP 031162100	05/15/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Baltimore	Mgmt	For	For	For
2	Elect Frank J. Biondi, Jr.	Mgmt	For	For	For
3	Elect Robert A. Bradway	Mgmt	For	For	For
4	Elect Francois de Carbonnel	Mgmt	For	For	For
5	Elect Vance D. Coffman	Mgmt	For	Against	Against

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6	Elect Robert A. Eckert	Mgmt	For	For	For
7	Elect Greg C. Garland	Mgmt	For	For	For
8	Elect Rebecca M. Henderson	Mgmt	For	For	For
9	Elect Frank C. Herringer	Mgmt	For	For	For
10	Elect Tyler Jacks	Mgmt	For	For	For
11	Elect Judith C. Pelham	Mgmt	For	For	For
12	Elect Ronald D. Sugar	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Shareholder Proposal Regarding Counting Abstentions	ShrHldr	Against	Against	For

Amphenol Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APH	CUSIP 032095101	05/21/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ronald P. Badie	Mgmt	For	For	For
2	Elect Stanley L. Clark	Mgmt	For	For	For
3	Elect David P. Falck	Mgmt	For	For	For
4	Elect Edward G. Jepsen	Mgmt	For	For	For
5	Elect Andrew E. Lietz	Mgmt	For	For	For
6	Elect Martin H. Loeffler	Mgmt	For	For	For
7	Elect John R. Lord	Mgmt	For	For	For
8	Elect R. Adam Norwitt	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	2014 Executive Incentive Plan	Mgmt	For	For	For
11	Amendment to the 2009 Stock Purchase and Option Plan	Mgmt	For	Against	Against
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Apache Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APA	CUSIP 037411105	05/15/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect G. Steven Farris	Mgmt	For	For	For
2	Elect A. D. Frazier, Jr.	Mgmt	For	For	For
3	Elect Amy H. Nelson	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Repeal of Classified Board	Mgmt	For	For	For

Apple Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AAPL	CUSIP 037833100	02/28/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William V. Campbell	Mgmt	For	For	For
1.2	Elect Timothy D. Cook	Mgmt	For	For	For
1.3	Elect Millard S. Drexler	Mgmt	For	For	For
1.4	Elect Albert A. Gore, Jr.	Mgmt	For	For	For
1.5	Elect Robert A. Iger	Mgmt	For	For	For
1.6	Elect Andrea Jung	Mgmt	For	For	For
1.7	Elect Arthur D. Levinson	Mgmt	For	For	For
1.8	Elect Ronald D. Sugar	Mgmt	For	For	For
2	Technical Amendment to Articles	Mgmt	For	For	For
3	Eliminate Blank Check Authority to Issue Preferred Stock	Mgmt	For	For	For
4	Establish a Par Value for the Company's Common Stock of \$0.00001 Per Share	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	2014 Employee Stock Plan	Mgmt	For	For	For
8	Shareholder Proposal Regarding Formation of Human Rights Committee	ShrHldr	Against	Against	For
9	Shareholder Proposal Regarding	ShrHldr	Against	Against	For

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Sustainability-Related
Trade Association
Memberships

10	Shareholder Proposal Regarding Share Buybacks	ShrHldr	N/A	Abstain	N/A
11	Shareholder Proposal Regarding Proxy Access	ShrHldr	Against	Against	For

Archer-Daniels-Midland Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ADM	CUSIP 039483102	05/01/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Boeckmann	Mgmt	For	For	For
2	Elect Mollie Hale Carter	Mgmt	For	For	For
3	Elect Terrell K. Crews	Mgmt	For	For	For
4	Elect Pierre Dufour	Mgmt	For	For	For
5	Elect Donald E. Felsingner	Mgmt	For	For	For
6	Elect Antonio dos Santos Maciel Neto	Mgmt	For	For	For
7	Elect Patrick J. Moore	Mgmt	For	For	For
8	Elect Thomas F. O'Neill	Mgmt	For	For	For
9	Elect Francisco Sanchez	Mgmt	For	For	For
10	Elect Daniel Shih	Mgmt	For	For	For
11	Elect Kelvin R. Westbrook	Mgmt	For	For	For
12	Elect Patricia A. Woertz	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Assured Guaranty Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGO	CUSIP G0585R106	05/07/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect Francisco L. Borges	Mgmt	For	Withhold	Against
1.2	Elect G. Lawrence Buhl	Mgmt	For	Withhold	Against
1.3	Elect Stephen A. Cozen	Mgmt	For	For	For
1.4	Elect Dominic J. Frederico	Mgmt	For	For	For
1.5	Elect Bonnie L. Howard	Mgmt	For	For	For
1.6	Elect Patrick W. Kenny	Mgmt	For	For	For
1.7	Elect Simon W. Leathes	Mgmt	For	For	For
1.8	Elect Robin Monro-Davies	Mgmt	For	For	For
1.9	Elect Michael T. O'Kane	Mgmt	For	For	For
1.10	Elect Yukiko Omura	Mgmt	For	For	For
1.11	Elect Wilbur L. Ross, Jr.	Mgmt	For	Withhold	Against
1.12	Elect Howard W. Albert	Mgmt	For	For	For
1.13	Elect Robert A. Bailenson	Mgmt	For	For	For
1.14	Elect Russell B. Brewer, II	Mgmt	For	For	For
1.15	Elect Gary Burnet	Mgmt	For	For	For
1.16	Elect Stephen Donnarumma	Mgmt	For	For	For
1.17	Elect Dominic J. Frederico	Mgmt	For	For	For
1.18	Elect James M. Michener	Mgmt	For	For	For
1.19	Elect Robert B. Mills	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Amendment to the 2004 Long-Term Incentive Plan	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For
5	Ratification of Auditor for Company Subsidiary	Mgmt	For	For	For

Baxter International Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BAX	CUSIP 071813109	05/06/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Wayne T. Hockmeyer	Mgmt	For	For	For
2	Elect Robert L. Parkinson, Jr.	Mgmt	For	For	For
3	Elect Thomas T.	Mgmt	For	For	For

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	Stallkamp				
4	Elect Albert P.L. Stroucken	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against	Against	For

Boeing Company

Ticker	Security ID:	Meeting Date	Meeting Status		
BA	CUSIP 097023105	04/28/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David L. Calhoun	Mgmt	For	For	For
2	Elect Arthur D. Collins, Jr.	Mgmt	For	For	For
3	Elect Linda Z. Cook	Mgmt	For	For	For
4	Elect Kenneth M. Duberstein	Mgmt	For	For	For
5	Elect Edmund P. Giambastiani, Jr.	Mgmt	For	For	For
6	Elect Lawrence W. Kellner	Mgmt	For	For	For
7	Elect Edward M. Liddy	Mgmt	For	For	For
8	Elect W. James McNerney, Jr.	Mgmt	For	For	For
9	Elect Susan C. Schwab	Mgmt	For	For	For
10	Elect Ronald A. Williams	Mgmt	For	For	For
11	Elect Mike S. Zafirovski	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Amendment to the 2003 Incentive Stock Plan	Mgmt	For	For	For

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14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
17	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Bristol-Myers Squibb Company

Ticker	Security ID:	Meeting Date	Meeting Status		
BMJ	CUSIP 110122207	05/06/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States	Vote Cast	For/Against Mgmt		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lamberto Andreotti	Mgmt	For	For	For
2	Elect Lewis B. Campbell	Mgmt	For	Against	Against
3	Elect James M. Cornelius	Mgmt	For	For	For
4	Elect Laurie H. Glimcher	Mgmt	For	For	For
5	Elect Michael Grobstein	Mgmt	For	For	For
6	Elect Alan J. Lacy	Mgmt	For	For	For
7	Elect Thomas J. Lynch	Mgmt	For	For	For
8	Elect Dinesh Paliwal	Mgmt	For	For	For
9	Elect Vicki L. Sato	Mgmt	For	For	For
10	Elect Gerald L. Storch	Mgmt	For	For	For
11	Elect Togo D. West, Jr.	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	Against	For	Against

Cisco Systems, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CSCO	CUSIP 17275R102	11/19/2013	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States	Vote Cast	For/Against Mgmt		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Carol A. Bartz	Mgmt	For	For	For
2	Elect Marc Benioff	Mgmt	For	For	For
3	Elect Gregory Q. Brown	Mgmt	For	For	For

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4	Elect M. Michele Burns	Mgmt	For	For	For
5	Elect Michael D. Capellas	Mgmt	For	For	For
6	Elect John T. Chambers	Mgmt	For	For	For
7	Elect Brian L. Halla	Mgmt	For	For	For
8	Elect John L. Hennessy	Mgmt	For	Against	Against
9	Elect Kristina M. Johnson	Mgmt	For	For	For
10	Elect Roderick C. McGeary	Mgmt	For	For	For
11	Elect Arun Sarin	Mgmt	For	For	For
12	Elect Steven M. West	Mgmt	For	For	For
13	Amendment to the 2005 Stock Incentive Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Proxy Advice Contest	ShrHldr	Against	Against	For

Coca-Cola Company

Ticker	Security ID:	Meeting Date	Meeting Status		
KO	CUSIP 19126100	04/23/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Herbert A. Allen	Mgmt	For	For	For
2	Elect Ronald W. Allen	Mgmt	For	For	For
3	Elect Ana Botin	Mgmt	For	For	For
4	Elect Howard G. Buffett	Mgmt	For	For	For
5	Elect Richard M. Daley	Mgmt	For	For	For
6	Elect Barry Diller	Mgmt	For	Against	Against
7	Elect Helene D. Gayle	Mgmt	For	For	For
8	Elect Evan G. Greenberg	Mgmt	For	For	For
9	Elect Alexis M. Herman	Mgmt	For	For	For
10	Elect Muhtar Kent	Mgmt	For	For	For
11	Elect Robert A. Kotick	Mgmt	For	For	For
12	Elect Maria Elena Lagomasino	Mgmt	For	For	For
13	Elect Sam Nunn	Mgmt	For	For	For
14	Elect James D. Robinson III	Mgmt	For	For	For
15	Elect Peter V. Ueberroth	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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17	2014 Equity Plan	Mgmt	For	Against	Against
18	Ratification of Auditor	Mgmt	For	For	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Comcast Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CMCSA	CUSIP 20030N200	05/21/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kenneth J. Bacon	Mgmt	For	For	For
1.2	Elect Sheldon M. Bonovitz	Mgmt	For	For	For
1.3	Elect Edward D. Breen	Mgmt	For	For	For
1.4	Elect Joseph J. Collins	Mgmt	For	Withhold	Against
1.5	Elect J. Michael Cook	Mgmt	For	For	For
1.6	Elect Gerald L. Hassell	Mgmt	For	Withhold	Against
1.7	Elect Jeffrey A. Honickman	Mgmt	For	For	For
1.8	Elect Eduardo G. Mestre	Mgmt	For	For	For
1.9	Elect Brian L. Roberts	Mgmt	For	For	For
1.10	Elect Ralph J. Roberts	Mgmt	For	For	For
1.11	Elect Johnathan A. Rodgers	Mgmt	For	For	For
1.12	Elect Judith Rodin	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
5	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	For	Against

Costco Wholesale Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
COST	CUSIP 22160K105	01/30/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Susan L. Decker	Mgmt	For	For	For
1.2	Elect Richard M.	Mgmt	For	Withhold	Against

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Libenson

1.3	Elect John W. Meisenbach	Mgmt	For	Withhold	Against
1.4	Elect Charles T. Munger	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	Against	For	Against
5	Repeal of Classified Board	Mgmt	Abstain	For	Against

Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status
DE	CUSIP 244199105	02/26/2014	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Samuel R. Allen	Mgmt	For
2	Elect Crandall C. Bowles	Mgmt	For
3	Elect Vance D. Coffman	Mgmt	For
4	Elect Charles O. Holliday, Jr.	Mgmt	For

Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status
DE	CUSIP 2.44199e+008	02/26/2014	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
5	Elect Dipak C. Jain	Mgmt	For
6	Elect Clayton M. Jones	Mgmt	For
7	Elect Joachim Milberg	Mgmt	For
8	Elect Richard B. Myers	Mgmt	For
9	Elect Gregory R. Page	Mgmt	For
10	Elect Thomas H. Patrick	Mgmt	For
11	Elect Sherry M. Smith	Mgmt	For
12	Advisory Vote on Executive Compensation	Mgmt	For
13	Ratification of Auditor	Mgmt	For

Diamond Offshore Drilling, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
DO	CUSIP 25271C102	05/20/2014	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James S. Tisch	Mgmt	For	Against	Against
2	Elect Marc Edwards	Mgmt	For	For	For
3	Elect John R. Bolton	Mgmt	For	For	For
4	Elect Charles L. Fabrikant	Mgmt	For	For	For
5	Elect Paul G. Gaffney II	Mgmt	For	For	For
6	Elect Edward Grebow	Mgmt	For	For	For
7	Elect Herbert C. Hofmann	Mgmt	For	For	For
8	Elect Kenneth I. Siegel	Mgmt	For	For	For
9	Elect Clifford M Sobel	Mgmt	For	For	For
10	Elect Andrew H. Tisch	Mgmt	For	For	For
11	Elect Raymond S. Troubh	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the Incentive Compensation Plan for Executive Officers	Mgmt	For	For	For
15	Equity Incentive Compensation Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Board Diversity	ShrHldr	Against	Against	For

EMC Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EMC	CUSIP 268648102	04/30/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael W. Brown	Mgmt	For	For	For
2	Elect Randolph L. Cowen	Mgmt	For	For	For
3	Elect Gail Deegan	Mgmt	For	For	For
4	Elect James S. DiStasio	Mgmt	For	For	For
5	Elect John R. Egan	Mgmt	For	For	For
6	Elect William D. Green	Mgmt	For	For	For
7	Elect Edmund F. Kelly	Mgmt	For	For	For
8	Elect Jami Miscik	Mgmt	For	For	For
9	Elect Paul Sagan	Mgmt	For	For	For
10	Elect David N. Strohm	Mgmt	For	For	For
11	Elect Joseph M. Tucci	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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14	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Incorporation of Values in Political Spending	ShrHldr	Against	Against	For

Entergy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ETR	CUSIP 29364G103	05/02/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Election of Directors	Mgmt	For	For	For
2	Elect Maureen S. Bateman	Mgmt	For	For	For
3	Elect Leo P. Denault	Mgmt	For	For	For
4	Elect Kirkland H. Donald	Mgmt	For	For	For
5	Elect Gary W. Edwards	Mgmt	For	For	For
6	Elect Alexis M. Herman	Mgmt	For	For	For
7	Elect Donald C. Hintz	Mgmt	For	For	For
8	Elect Stuart L. Levenick	Mgmt	For	For	For
9	Elect Blanche L. Lincoln	Mgmt	For	For	For
10	Elect Stewart C. Myers	Mgmt	For	For	For
11	Elect Steven V. Wilkinson	Mgmt	For	For	For

12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

14	Shareholder Proposal Regarding Decommissioning of Indian Point Nuclear Reactors	ShrHldr	Against	Against	For
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15	Shareholder Proposal Regarding Reporting on Nuclear Safety	ShrHldr	Against	Against	For
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Exelon Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EXC	CUSIP 30161N101	05/06/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect Anthony K. Anderson	Mgmt	For	For	For
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7	Elect Dean R. O'Hare	Mgmt	For	Against	Against
8	Elect Armando J. Olivera	Mgmt	For	For	For
9	Elect Joseph W. Prueher	Mgmt	For	For	For
10	Elect Matthew K. Rose	Mgmt	For	For	For
11	Elect David T. Seaton	Mgmt	For	For	For
12	Elect Nader H. Sultan	Mgmt	For	For	For
13	Elect Lynn C. Swann	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Director Restricted Stock Plan	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Ford Motor Company

Ticker	Security ID:	Meeting Date	Meeting Status		
F	CUSIP 345370860	05/08/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stephen G. Butler	Mgmt	For	For	For
2	Elect Kimberly A. Casiano	Mgmt	For	For	For
3	Elect Anthony F. Earley, Jr.	Mgmt	For	Against	Against
4	Elect Edsel B. Ford II	Mgmt	For	Against	Against
5	Elect William C. Ford, Jr.	Mgmt	For	For	For
6	Elect Richard A. Gephardt	Mgmt	For	For	For
7	Elect James P. Hackett	Mgmt	For	For	For
8	Elect James H. Hance, Jr.	Mgmt	For	For	For
9	Elect William W. Helman IV	Mgmt	For	For	For
10	Elect Jon M. Huntsman, Jr.	Mgmt	For	Against	Against
11	Elect John C. Lechleiter	Mgmt	For	For	For
12	Elect Ellen R. Marram	Mgmt	For	Against	Against
13	Elect Alan Mulally	Mgmt	For	For	For
14	Elect Homer A. Neal	Mgmt	For	For	For
15	Elect Gerald L. Shaheen	Mgmt	For	For	For

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16	Elect John L. Thornton	Mgmt	For	Against	Against
17	Ratification of Auditor	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
19	2014 Stock Plan for Non-Employee Directors	Mgmt	For	For	For
20	Shareholder Proposal Regarding Recapitalization	ShrHldr	Against	For	Against
21	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

Freeport-McMoran Copper & Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FCX	CUSIP 35671D857	06/17/2014	Voted		
Meeting Type	Annual	Country of Trade	United States		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard C. Adkerson	Mgmt	For	For	For
1.2	Elect Robert J. Allison, Jr.	Mgmt	For	For	For
1.3	Elect Alan R. Buckwalter, III	Mgmt	For	For	For
1.4	Elect Robert A. Day	Mgmt	For	For	For
1.5	Elect James C. Flores	Mgmt	For	For	For
1.6	Elect Gerald J. Ford	Mgmt	For	For	For
1.7	Elect Thomas A. Fry III	Mgmt	For	For	For
1.8	Elect H. Devon Graham, Jr.	Mgmt	For	For	For
1.9	Elect Lydia H. Kennard	Mgmt	For	For	For
1.10	Elect Charles C. Krulak	Mgmt	For	For	For
1.11	Elect Bobby Lee Lackey	Mgmt	For	For	For
1.12	Elect Jon C. Madonna	Mgmt	For	For	For
1.13	Elect Dustan E. McCoy	Mgmt	For	For	For
1.14	Elect James R. Moffett	Mgmt	For	For	For
1.15	Elect Stephen H. Siegele	Mgmt	For	For	For
1.16	Elect Frances Fragos Townsend	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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3	Ratification of Auditor	Mgmt	For	For	For
4	Annual Incentive Plan	Mgmt	For	For	For
5	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For

Freeport-McMoran Copper & Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FCX	CUSIP 35671D857	07/16/2013	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard C. Adkerson	Mgmt	For	For	For
1.2	Elect Robert J. Allison, Jr.	Mgmt	For	Withhold	Against
1.3	Elect Alan R. Buckwalter, III	Mgmt	For	For	For
1.4	Elect Robert A. Day	Mgmt	For	Withhold	Against
1.5	Elect James C. Flores	Mgmt	For	For	For
1.6	Elect Gerald J. Ford	Mgmt	For	Withhold	Against
1.7	Elect Thomas A. Fry III	Mgmt	For	For	For
1.8	Elect H. Devon Graham, Jr.	Mgmt	For	For	For
1.9	Elect Charles C. Krulak	Mgmt	For	For	For
1.10	Elect Bobby Lee Lackey	Mgmt	For	For	For
1.11	Elect Jon C. Madonna	Mgmt	For	For	For
1.12	Elect Dustan E. McCoy	Mgmt	For	For	For
1.13	Elect James R. Moffett	Mgmt	For	For	For
1.14	Elect B. M. Rankin, Jr.	Mgmt	For	Withhold	Against
1.15	Elect Stephen H. Siegele	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Ratification of Auditor	Mgmt	For	For	For
4	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For
5	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
6	Shareholder Proposal Regarding Board Diversity	ShrHldr	Against	Against	For

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7	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
9	Elect Wayne M. Hewett	Mgmt	For	For	For
10	Elect Karen Katen	Mgmt	For	For	For
11	Elect Mark Vadon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Employment Diversity Report	ShrHldr	Against	Against	For

General Electric Company

Ticker	Security ID:	Meeting Date	Meeting Status		
GE	CUSIP 369604103	04/23/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect W. Geoffrey Beattie	Mgmt	For	For	For
2	Elect John J. Brennan	Mgmt	For	For	For
3	Elect James I. Cash, Jr.	Mgmt	For	For	For
4	Elect Francisco D'Souza	Mgmt	For	For	For
5	Elect Marijn E. Dekkers	Mgmt	For	For	For
6	Elect Ann M. Fudge	Mgmt	For	For	For
7	Elect Susan Hockfield	Mgmt	For	For	For
8	Elect Jeffrey R. Immelt	Mgmt	For	For	For
9	Elect Andrea Jung	Mgmt	For	For	For
10	Elect Robert W. Lane	Mgmt	For	For	For
11	Elect Rochelle B. Lazarus	Mgmt	For	For	For
12	Elect James J. Mulva	Mgmt	For	For	For
13	Elect James E. Rohr	Mgmt	For	For	For
14	Elect Mary L. Schapiro	Mgmt	For	For	For
15	Elect Robert J. Swieringa	Mgmt	For	For	For
16	Elect James S. Tisch	Mgmt	For	Against	Against
17	Elect Douglas A. Warner III	Mgmt	For	For	For
18	Advisory Vote on Executive	Mgmt	For	For	For

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Compensation

19	Ratification of Auditor	Mgmt	For	For	For
20	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Retention of Shares	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Double Board Nominees	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
24	Shareholder Proposal Regarding Cessation of All Stock Options and Bonuses	ShrHldr	Against	Against	For
25	Shareholder Proposal Regarding Sale of Company	ShrHldr	Against	Against	For

General Motors Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
GM	CUSIP 37045V100	06/10/2014	Voted		
Meeting Type	Country of Trade	United States			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Joseph J. Ashton	Mgmt	For	For	For
2	Elect Mary T. Barra	Mgmt	For	For	For
3	Elect Erroll B. Davis, Jr.	Mgmt	For	Against	Against
4	Elect Stephen J. Girsky	Mgmt	For	Against	Against
5	Elect E. Neville Isdell	Mgmt	For	Against	Against
6	Elect Kathryn V. Marinello	Mgmt	For	Against	Against
7	Elect Michael G. Mullen	Mgmt	For	For	For
8	Elect James J. Mulva	Mgmt	For	Against	Against
9	Elect Patricia F. Russo	Mgmt	For	Against	Against
10	Elect Thomas M. Schoewe	Mgmt	For	For	For
11	Elect Theodore M. Solso	Mgmt	For	For	For
12	Elect Carol M. Stephenson	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	2014 Short-Term Incentive Plan	Mgmt	For	For	For
17	2014 Long-Term Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	Against	For

Genworth Financial, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GNW	CUSIP 37247D106	05/15/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William H. Bolinder	Mgmt	For	For	For
2	Elect G. Kent Conrad	Mgmt	For	For	For
3	Elect Melina E. Higgins	Mgmt	For	For	For
4	Elect Nancy J. Karch	Mgmt	For	For	For
5	Elect Thomas J. McInerney	Mgmt	For	For	For
6	Elect Christine B. Mead	Mgmt	For	For	For
7	Elect David M. Moffett	Mgmt	For	For	For
8	Elect Thomas E. Moloney	Mgmt	For	For	For
9	Elect James A. Parke	Mgmt	For	For	For
10	Elect James S. Riepe	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Report on Political Spending	ShrHldr	Against	Against	For

Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GILD	CUSIP 375558103	05/07/2014	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Cogan	Mgmt	For	For	For
2	Elect Etienne F. Davignon	Mgmt	For	For	For
3	Elect Carla A. Hills	Mgmt	For	For	For
4	Elect Kevin E. Lofton	Mgmt	For	For	For
5	Elect John W. Madigan	Mgmt	For	For	For
6	Elect John C. Martin	Mgmt	For	For	For
7	Elect Nicholas G. Moore	Mgmt	For	For	For
8	Elect Richard J. Whitley	Mgmt	For	For	For
9	Elect Gayle E. Wilson	Mgmt	For	For	For
10	Elect Per Wold-Olsen	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Adoption of Exclusive Forum Provision	Mgmt	For	Against	Against
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
16	Shareholder Proposal Regarding Linking Executive Pay to Patient Access	ShrHldr	Against	Against	For

Google Inc.

Ticker	Security ID:	Meeting Date		Meeting Status	
GOOG	CUSIP 38259P508	05/14/2014		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Larry Page	Mgmt	For	For	For
1.2	Elect Sergey Brin	Mgmt	For	For	For

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1.3	Elect Eric E. Schmidt	Mgmt	For	For	For
1.4	Elect L. John Doerr	Mgmt	For	Withhold	Against
1.5	Elect Diane B. Greene	Mgmt	For	For	For
1.6	Elect John L. Hennessy	Mgmt	For	Withhold	Against
1.7	Elect Ann Mather	Mgmt	For	For	For
1.8	Elect Paul S. Otellini	Mgmt	For	For	For
1.9	Elect K. Ram Shriram	Mgmt	For	For	For
1.10	Elect Shirley M. Tilghman	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Shareholder Proposal Regarding Recapitalization	ShrHldr	Against	For	Against
5	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	For	Against
6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against
7	Shareholder Proposal Regarding Tax Policy Principles	ShrHldr	Against	Against	For
8	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Harris Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
HRS	CUSIP 413875105	10/25/2013	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect William M. Brown	Mgmt	For
2	Elect Peter W. Chiarelli	Mgmt	For
3	Elect Thomas A. Dattilo	Mgmt	For
4	Elect Terry D. Growcock	Mgmt	For
5	Elect Lewis Hay, III	Mgmt	For

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6	Elect Vyomesh Joshi	Mgmt	For	For	For
7	Elect Karen Katen	Mgmt	For	For	For
8	Elect Stephen P. Kaufman	Mgmt	For	For	For
9	Elect Leslie F. Kenne	Mgmt	For	For	For
10	Elect David B. Rickard	Mgmt	For	For	For
11	Elect James C. Stoffel	Mgmt	For	For	For
12	Elect Gregory T. Swienton	Mgmt	For	For	For
13	Elect Hansel E. Tookes II	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For

Home Depot, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HD	CUSIP 437076102	05/22/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect F. Duane Ackerman	Mgmt	For	For	For
2	Elect Francis S. Blake	Mgmt	For	For	For
3	Elect Ari Bousbib	Mgmt	For	For	For
4	Elect Gregory D. Brenneman	Mgmt	For	For	For
5	Elect J. Frank Brown	Mgmt	For	For	For
6	Elect Albert P. Carey	Mgmt	For	For	For
7	Elect Armando M. Codina	Mgmt	For	Against	Against
8	Elect Helena B. Foulkes	Mgmt	For	For	For
9	Elect Wayne M. Hewett	Mgmt	For	For	For
10	Elect Karen Katen	Mgmt	For	For	For
11	Elect Mark Vadon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Employment Diversity Report	ShrHldr	Against	Against	For

Intel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
INTC	CUSIP 458140100	05/22/2014	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	For	For
2	Elect Andy D. Bryant	Mgmt	For	For	For
3	Elect Susan L. Decker	Mgmt	For	For	For
4	Elect John J. Donahoe	Mgmt	For	For	For
5	Elect Reed E. Hundt	Mgmt	For	For	For
6	Elect Brian M. Krzanich	Mgmt	For	For	For
7	Elect James D. Plummer	Mgmt	For	For	For
8	Elect David S. Pottruck	Mgmt	For	For	For
9	Elect Frank D. Yeary	Mgmt	For	For	For
10	Elect David B. Yoffie	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

International Business Machines Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
IBM	CUSIP 459200101	04/29/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alain J. P. Belda	Mgmt	For	Against	Against
2	Elect William R. Brody	Mgmt	For	For	For
3	Elect Kenneth I. Chenault	Mgmt	For	For	For
4	Elect Michael L. Eskew	Mgmt	For	Against	Against
5	Elect David N. Farr	Mgmt	For	For	For
6	Elect Shirley A. Jackson	Mgmt	For	For	For
7	Elect Andrew N. Liveris	Mgmt	For	Against	Against
8	Elect W. James McNerney, Jr.	Mgmt	For	For	For
9	Elect James W. Owens	Mgmt	For	For	For
10	Elect Virginia M. Rometty	Mgmt	For	For	For
11	Elect Joan E. Spero	Mgmt	For	For	For
12	Elect Sidney Taurel	Mgmt	For	For	For
13	Elect Lorenzo H. Zambrano Trevino	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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16	Re-approve Performance Goals of the Company's Long-Term Incentive Awards	Mgmt	For	For	For
17	2014 Employees Stock Purchase Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	Against	For

Intuitive Surgical, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ISRG	CUSIP 46120E602	04/24/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Amal M. Johnson	Mgmt	For	For	For
1.2	Elect Eric H. Halvorson	Mgmt	For	For	For
1.3	Elect Alan J. Levy	Mgmt	For	For	For
1.4	Elect Craig H. Barratt	Mgmt	For	For	For
1.5	Elect Floyd D. Loop	Mgmt	For	For	For
1.6	Elect George Stalk Jr.	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Johnson Controls, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
JCI	CUSIP 478366107	01/29/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Natalie A. Black	Mgmt	For	Withhold	Against
1.2	Elect Raymond L. Conner	Mgmt	For	For	For
1.3	Elect William H. Lacy	Mgmt	For	For	For
1.4	Elect Alex A. Molinaroli	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on	Mgmt	For	For	For

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Executive
Compensation

Joy Global Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
JOY	CUSIP 481165108	03/04/2014	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt

1.1	Elect Edward L. Doheny II	Mgmt	For	For	For
1.2	Elect Steven L. Gerard	Mgmt	For	For	For
1.3	Elect John T. Grempe	Mgmt	For	For	For
1.4	Elect John N. Hanson	Mgmt	For	For	For
1.5	Elect Gale E. Klappa	Mgmt	For	For	For
1.6	Elect Richard B. Loynd	Mgmt	For	Withhold	Against
1.7	Elect P. Eric Siegert	Mgmt	For	For	For
1.8	Elect James H. Tate	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Kroger Co.

Ticker	Security ID:	Meeting Date	Meeting Status
KR	CUSIP 501044101	06/26/2014	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt

1	Elect Reuben V. Anderson	Mgmt	For	For	For
2	Elect Robert D. Beyer	Mgmt	For	For	For
3	Elect David B. Dillon	Mgmt	For	For	For
4	Elect Susan J. Kropf	Mgmt	For	For	For
5	Elect David B. Lewis	Mgmt	For	For	For
6	Elect W. Rodney McMullen	Mgmt	For	For	For
7	Elect Jorge P. Montoya	Mgmt	For	For	For
8	Elect Clyde R. Moore	Mgmt	For	For	For
9	Elect Susan M. Phillips	Mgmt	For	For	For
10	Elect Steven R. Rogel	Mgmt	For	For	For
11	Elect James A. Runde	Mgmt	For	For	For
12	Elect Ronald L. Sargent	Mgmt	For	For	For
13	Elect Bobby S. Shackouls	Mgmt	For	For	For
14	2014 Long-Term	Mgmt	For	For	For

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Incentive and Cash Bonus Plan

15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Human Rights Report	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Extended Producer Responsibility	ShrHldr	Against	Against	For

L-3 Communications Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LLL	CUSIP 502424104	05/06/2014	Voted		
Meeting Type	Country of Trade		United States		
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ann E. Dunwoody	Mgmt	For	For	For
2	Elect Vincent Pagano, Jr.	Mgmt	For	For	For
3	Elect Henry Hugh Shelton	Mgmt	For	For	For
4	Elect Michael T. Strianese	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against	Against	For

McDonald's Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCD	CUSIP 580135101	05/22/2014	Voted		
Meeting Type	Country of Trade		United States		
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Susan E. Arnold	Mgmt	For	For	For
2	Elect Richard H. Lenny	Mgmt	For	For	For
3	Elect Walter E. Massey	Mgmt	For	For	For
4	Elect Cary D. McMillan	Mgmt	For	For	For
5	Elect Sheila A. Penrose	Mgmt	For	For	For

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6	Elect John W. Rogers, Jr.	Mgmt	For	For	For
7	Elect Roger W. Stone	Mgmt	For	For	For
8	Elect Miles D. White	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Reapproval of Material Terms Under the 2009 Cash Incentive Plan	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

Mckesson Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/31/2013	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Andy D. Bryant	Mgmt	For	For	For
2	Elect Wayne A. Budd	Mgmt	For	For	For
3	Elect John H. Hammergren	Mgmt	For	For	For
4	Elect Alton F. Irby III	Mgmt	For	Against	Against
5	Elect M. Christine Jacobs	Mgmt	For	For	For
6	Elect Marie L. Knowles	Mgmt	For	For	For
7	Elect David M. Lawrence	Mgmt	For	For	For
8	Elect Edward A. Mueller	Mgmt	For	For	For
9	Elect Jane E. Shaw	Mgmt	For	Against	Against
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	2013 Stock Plan	Mgmt	For	For	For
13	Amendment to the 2000 Employee Stock Purchase Plan	Mgmt	For	For	For
14	Restoration of Right to Call a Special Meeting	Mgmt	For	For	For
15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
16	Shareholder Proposal	ShrHldr	Against	For	Against

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Regarding Political
Contributions and
Expenditures
Report

17	Shareholder Proposal Regarding Retention of Shares	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Recoupment Report	ShrHldr	Against	For	Against

Merck & Co., Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MRK	CUSIP 58933Y105	05/27/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Leslie A. Brun	Mgmt	For	For	For
2	Elect Thomas R. Cech	Mgmt	For	For	For
3	Elect Kenneth C. Frazier	Mgmt	For	For	For
4	Elect Thomas H. Glocer	Mgmt	For	For	For
5	Elect William B. Harrison, Jr.	Mgmt	For	For	For
6	Elect C. Robert Kidder	Mgmt	For	For	For
7	Elect Rochelle B. Lazarus	Mgmt	For	For	For
8	Elect Carlos E. Represas	Mgmt	For	For	For
9	Elect Patricia F. Russo	Mgmt	For	For	For
10	Elect Craig B. Thompson	Mgmt	For	For	For
11	Elect Wendell P. Weeks	Mgmt	For	For	For
12	Elect Peter C. Wendell	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	Against	For

MetLife, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MET	CUSIP 59156R108	04/22/2014	Voted		
Meeting Type	Country of Trade				

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Annual Issue No.	Description	United States		Vote Cast	For/Against Mgmt
		Proponent	Mgmt Rec		
1	Elect Cheryl W. Grise	Mgmt	For	For	For
2	Elect Carlos M. Gutierrez	Mgmt	For	For	For
3	Elect R. Glenn Hubbard	Mgmt	For	For	For
4	Elect Steven A. Kandarian	Mgmt	For	For	For
5	Elect John M. Keane	Mgmt	For	For	For
6	Elect Alfred F. Kelly, Jr.	Mgmt	For	For	For
7	Elect William E. Kennard	Mgmt	For	For	For
8	Elect James M. Kilts	Mgmt	For	For	For
9	Elect Catherine R. Kinney	Mgmt	For	For	For
10	Elect Denise M. Morrison	Mgmt	For	For	For
11	Elect Kenton J. Sicchitano	Mgmt	For	For	For
12	Elect Lulu C. Wang	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	2015 Stock and Incentive Compensation Plan	Mgmt	For	For	For
16	Non-Management Director Stock Compensation Plan	Mgmt	For	For	For

Microsoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MSFT	CUSIP 594918104	11/19/2013	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steven A. Ballmer	Mgmt	For	For	For
2	Elect Dina Dublon	Mgmt	For	For	For
3	Elect William H. Gates	Mgmt	For	For	For

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III

4	Elect Maria Klawe	Mgmt	For	For	For
5	Elect Stephen J. Luczo	Mgmt	For	For	For
6	Elect David F. Marquardt	Mgmt	For	For	For
7	Elect Charles H. Noski	Mgmt	For	For	For
8	Elect Helmut G. W. Panke	Mgmt	For	For	For
9	Elect John W. Thompson	Mgmt	For	Against	Against
10	Approval of the Material Terms of the Executive Officer Incentive Plan	Mgmt	For	For	For
11	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	01/28/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory H. Boyce	Mgmt	For	For	For
2	Elect Laura K. Ipsen	Mgmt	For	For	For
3	Elect William U. Parfet	Mgmt	For	For	For
4	Elect George H. Poste	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Shareholder Proposal Regarding Labeling of Genetically Modified Foods	ShrHldr	Against	Against	For
8	Shareholder Proposal Regarding Genetically Modified Organisms	ShrHldr	Against	Against	For

National Oilwell Varco, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NOV	CUSIP 637071101	05/14/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Merrill A. Miller, Jr.	Mgmt	For	For	For

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2	Elect Clay C. Williams	Mgmt	For	For	For
3	Elect Greg L. Armstrong	Mgmt	For	For	For
4	Elect Robert E. Beauchamp	Mgmt	For	For	For
5	Elect Marcela E. Donadio	Mgmt	For	For	For
6	Elect Ben A. Guill	Mgmt	For	For	For
7	Elect David D. Harrison	Mgmt	For	For	For
8	Elect Roger L. Jarvis	Mgmt	For	For	For
9	Elect Eric L. Mattson	Mgmt	For	For	For
10	Elect Jeffery A. Smisek	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

NetApp, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NTAP	CUSIP 64110D104	09/13/2013	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Daniel J. Warmenhoven	Mgmt	For	For	For
2	Elect Nicholas G. Moore	Mgmt	For	For	For
3	Elect Thomas Georgens	Mgmt	For	For	For
4	Elect Jeffry R. Allen	Mgmt	For	For	For
5	Elect Alan L. Earhart	Mgmt	For	For	For
6	Elect Gerald D. Held	Mgmt	For	For	For
7	Elect T. Michael Nevens	Mgmt	For	For	For
8	Elect George T. Shaheen	Mgmt	For	For	For
9	Elect Robert T. Wall	Mgmt	For	For	For
10	Elect Richard P. Wallace	Mgmt	For	For	For
11	Elect Tor R. Braham	Mgmt	For	For	For
12	Elect Kathryn M. Hill	Mgmt	For	For	For
13	Amendments to the 1999 Stock Option Plan	Mgmt	For	Against	Against
14	Amendment to the ESPP	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Remove Supermajority Voting Standard for Future Amendments to the Bylaws	Mgmt	For	For	For
17	Remove Supermajority Voting Standard for Future Amendments to the Certificate	Mgmt	For	For	For

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18	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	Against	For
19	Ratification of Auditor	Mgmt	For	For	For

NRG Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NRG	CUSIP 629377508	05/08/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Kirbyjon H. Caldwell	Mgmt	For	For	For
2	Elect Lawrence S. Coben	Mgmt	For	For	For
3	Elect David W. Crane	Mgmt	For	For	For
4	Elect Terry G. Dallas	Mgmt	For	For	For
5	Elect Paul W. Hobby	Mgmt	For	For	For
6	Elect Edward R. Muller	Mgmt	For	For	For
7	Elect Evan J. Silverstein	Mgmt	For	For	For
8	Elect Thomas H. Weidemeyer	Mgmt	For	For	For
9	Elect Walter R. Young	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Approval of Amended and Restated Employee Stock Purchase Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Occidental Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
OXY	CUSIP 674599105	05/02/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect Howard I. Atkins	Mgmt	For	For	For
3	Elect Eugene L. Batchelder	Mgmt	For	For	For
4	Elect Stephen I. Chazen	Mgmt	For	For	For
5	Elect Edward P. Djerejian	Mgmt	For	For	For

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6	Elect John E. Feick	Mgmt	For	For	For
7	Elect Margaret M. Foran	Mgmt	For	For	For
8	Elect Carlos M. Gutierrez	Mgmt	For	For	For
9	Elect William R. Klesse	Mgmt	For	Against	Against
10	Elect Avedick B. Poladian	Mgmt	For	For	For
11	Elect Elisse B. Walter	Mgmt	For	For	For
12	Waive Director Age Restriction Policy for Edward P. Djerejian	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amend Certificate to Permit Action by Written Consent	Mgmt	For	For	For
15	Amend Bylaws to Separate Chairman and CEO Roles	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Report on Membership in Lobbying Organizations	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Methane Emissions and Flaring Reporting and Reduction Targets	ShrHldr	Against	Against	For

Oracle Corporation

Ticker Security ID:
ORCL CUSIP 68389X105

Meeting Date
10/31/2013

Meeting Status
Voted

Meeting Type
Annual

Country of Trade
United States

Issue No. Description

Proponent Mgmt Rec

Vote Cast For/Against
Mgmt

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1.1	Elect Jeffrey S. Berg	Mgmt	For	For	For
1.2	Elect Raymond Bingham	Mgmt	For	Withhold	Against
1.3	Elect Michael J. Boskin	Mgmt	For	For	For
1.4	Elect Safra A. Catz	Mgmt	For	Withhold	Against
1.5	Elect Bruce R. Chizen	Mgmt	For	Withhold	Against
1.6	Elect George H. Conrades	Mgmt	For	Withhold	Against
1.7	Elect Lawrence J. Ellison	Mgmt	For	For	For
1.8	Elect Hector Garcia-Molina	Mgmt	For	For	For
1.9	Elect Jeffrey O. Henley	Mgmt	For	For	For
1.10	Elect Mark V. Hurd	Mgmt	For	For	For
1.11	Elect Naomi O. Seligman	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Amendment to the 2000 Long-Term Incentive Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Human Rights Committee	ShrHldr	Against	Against	For
6	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
7	Shareholder Proposal Regarding Counting Abstentions	ShrHldr	Against	Against	For
8	Shareholder Proposal Regarding the Use of Multiple Metrics for Executive Performance Measures	ShrHldr	Against	For	Against
9	Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans	ShrHldr	Against	Against	For

Peabody Energy Corporation

Ticker Security ID:
BTU CUSIP 704549104

Meeting Date
05/08/2014

Meeting Status
Voted

Meeting Type
Annual

Country of Trade
United States

Issue No. Description

Proponent Mgmt Rec

Vote Cast For/Against
Mgmt

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1.1	Elect Gregory H. Boyce	Mgmt	For	For	For
1.2	Elect William A. Coley	Mgmt	For	For	For
1.3	Elect William E. James	Mgmt	For	For	For
1.4	Elect Robert B. Karn III	Mgmt	For	For	For
1.5	Elect Henry E. Lentz	Mgmt	For	Withhold	Against
1.6	Elect Robert A. Malone	Mgmt	For	For	For
1.7	Elect William C. Rusnack	Mgmt	For	For	For
1.8	Elect Michael W. Sutherlin	Mgmt	For	For	For
1.9	Elect John F. Turner	Mgmt	For	For	For
1.10	Elect Sandra A. Van Trease	Mgmt	For	For	For
1.11	Elect Alan H. Washkowitz	Mgmt	For	For	For
1.12	Elect Heather A. Wilson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

PepsiCo, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PEP	CUSIP 713448108	05/07/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Shona L. Brown	Mgmt	For	For	For
2	Elect George W. Buckley	Mgmt	For	For	For
3	Elect Ian M. Cook	Mgmt	For	For	For
4	Elect Dina Dublon	Mgmt	For	For	For
5	Elect Rona A. Fairhead	Mgmt	For	For	For
6	Elect Ray L. Hunt	Mgmt	For	For	For
7	Elect Alberto Ibarquen	Mgmt	For	For	For
8	Elect Indra K. Nooyi	Mgmt	For	For	For
9	Elect Sharon Percy Rockefeller	Mgmt	For	For	For
10	Elect James J. Schiro	Mgmt	For	For	For
11	Elect Lloyd G. Trotter	Mgmt	For	For	For
12	Elect Daniel Vasella	Mgmt	For	For	For
13	Elect Alberto Weisser	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Approval of Executive Incentive Compensation Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Approval of Political Contributions Policy	ShrHldr	Against	Against	For
18	Shareholder Proposal	ShrHldr	Against	Against	For

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Regarding Retention of
Shares Until
Retirement

PG&E Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PCG	CUSIP 69331C108	05/12/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lewis Chew	Mgmt	For	For	For
2	Elect Anthony F. Earley, Jr.	Mgmt	For	For	For
3	Elect Fred J. Fowler	Mgmt	For	For	For
4	Elect Maryellen C. Herringer	Mgmt	For	Against	Against
5	Elect Richard C. Kelly	Mgmt	For	For	For
6	Elect Roger H. Kimmel	Mgmt	For	For	For
7	Elect Richard A. Meserve	Mgmt	For	For	For
8	Elect Forrest E. Miller	Mgmt	For	For	For
9	Elect Rosendo G. Parra	Mgmt	For	For	For
10	Elect Barbara L. Rambo	Mgmt	For	For	For
11	Elect Barry Lawson Williams	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	2014 Long-Term Incentive Plan	Mgmt	For	Against	Against

PPL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PPL	CUSIP 69351T106	05/21/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Frederick M. Bernthal	Mgmt	For	For	For
2	Elect John W. Conway	Mgmt	For	For	For
3	Elect Philip G. Cox	Mgmt	For	For	For
4	Elect Steven G. Elliott	Mgmt	For	For	For
5	Elect Louise K. Goeser	Mgmt	For	For	For
6	Elect Stuart E. Graham	Mgmt	For	For	For
7	Elect Stuart Heydt	Mgmt	For	For	For
8	Elect Raja Rajamannar	Mgmt	For	For	For

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9	Elect Craig A. Rogerson	Mgmt	For	For	For
10	Elect William H. Spence	Mgmt	For	For	For
11	Elect Natica von Althann	Mgmt	For	For	For
12	Elect Keith H. Williamson	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Report on Political Spending	ShrHldr	Against	For	Against
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

Prudential Financial, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRU	CUSIP 744320102	05/13/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas J. Baltimore, Jr.	Mgmt	For	For	For
2	Elect Gordon M. Bethune	Mgmt	For	For	For
3	Elect Gilbert F. Casellas	Mgmt	For	For	For
4	Elect James G. Cullen	Mgmt	For	For	For
5	Elect Mark B. Grier	Mgmt	For	For	For
6	Elect Constance J. Horner	Mgmt	For	For	For
7	Elect Martina Hund-Mejean	Mgmt	For	For	For
8	Elect Karl J. Krapek	Mgmt	For	For	For
9	Elect Christine A. Poon	Mgmt	For	For	For
10	Elect Douglas A. Scovanner	Mgmt	For	For	For
11	Elect John R. Strangfeld	Mgmt	For	For	For
12	Elect James A. Unruh	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Shareholder Proposal Regarding Retention of Shares Until	ShrHldr	Against	Against	For

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Retirement

QUALCOMM Incorporated						
Ticker	Security ID:	Meeting Date	Meeting Status			
QCOM	CUSIP 747525103	03/04/2014	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Barbara T. Alexander	Mgmt	For	For	For	
2	Elect Donald G. Cruickshank	Mgmt	For	For	For	
3	Elect Raymond V. Dittamore	Mgmt	For	For	For	
4	Elect Susan Hockfield	Mgmt	For	For	For	
5	Elect Thomas W. Horton	Mgmt	For	For	For	
6	Elect Paul E. Jacobs	Mgmt	For	For	For	
7	Elect Sherry Lansing	Mgmt	For	For	For	
8	Elect Steven M. Mollenkopf	Mgmt	For	For	For	
9	Elect Duane A. Nelles	Mgmt	For	For	For	
10	Elect Clark T. Randt, Jr.	Mgmt	For	For	For	
11	Elect Francisco Ros	Mgmt	For	For	For	
12	Elect Jonathan J. Rubinstein	Mgmt	For	For	For	
13	Elect Brent Scowcroft	Mgmt	For	For	For	
14	Elect Marc I. Stern	Mgmt	For	For	For	
15	Ratification of Auditor	Mgmt	For	For	For	
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

Schlumberger N.V. (Schlumberger Limited)						
Ticker	Security ID:	Meeting Date	Meeting Status			
SLB	CUSIP 806857108	04/09/2014	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Elect Peter L.S. Currie	Mgmt	For	For	For
2	Elect Tony Isaac	Mgmt	For	For	For
3	Elect K.V. Kamath	Mgmt	For	For	For
4	Elect Maureen Kempston Darkes	Mgmt	For	For	For
5	Elect Paal Kibsgaard	Mgmt	For	For	For
6	Elect Nikolay Kudryavtsev	Mgmt	For	For	For
7	Elect Michael E. Marks	Mgmt	For	For	For
8	Elect Lubna Olayan	Mgmt	For	For	For
9	Elect Leo Rafael Reif	Mgmt	For	For	For
10	Elect Tore I. Sandvold	Mgmt	For	For	For
11	Elect Henri Seydoux	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Approval of Financial Statements/Dividends	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For

Starbucks Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SBUX	CUSIP 855244109	03/19/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Howard Schultz	Mgmt	For	For	For
2	Elect William W. Bradley	Mgmt	For	For	For
3	Elect Robert M. Gates	Mgmt	For	For	For
4	Elect Mellody Hobson	Mgmt	For	For	For
5	Elect Kevin R. Johnson	Mgmt	For	For	For
6	Elect Olden Lee	Mgmt	For	For	For
7	Elect Joshua C. Ramo	Mgmt	For	For	For
8	Elect James G. Shennan, Jr.	Mgmt	For	For	For
9	Elect Clara Shih	Mgmt	For	For	For
10	Elect Javier G. Teruel	Mgmt	For	For	For
11	Elect Myron E. Ullman III	Mgmt	For	For	For
12	Elect Craig E. Weatherup	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Prohibition of Political	ShrHldr	Against	Against	For

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Spending

16	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
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Swift Transportation Co.

Ticker	Security ID:	Meeting Date	Meeting Status
SWFT	CUSIP 87074U101	05/08/2014	Voted

Meeting Type	Country of Trade
Annual	United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect Jerry Moyes	Mgmt	For	For	For
1.2	Elect Richard H. Dozer	Mgmt	For	For	For
1.3	Elect David Vander Ploeg	Mgmt	For	For	For
1.4	Elect Glenn Brown	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For

3	2014 Omnibus Incentive Plan	Mgmt	For	For	For
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4	Shareholder Proposal Regarding Recapitalization	ShrHldr	Against	For	Against
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5	Ratification of Auditor	Mgmt	For	For	For
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Target Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
TGT	CUSIP 87612E106	06/11/2014	Voted

Meeting Type	Country of Trade
Annual	United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Elect Roxanne S. Austin	Mgmt	For	Against	Against
2	Elect Douglas M. Baker, Jr.	Mgmt	For	For	For
3	Elect Calvin Darden	Mgmt	For	For	For
4	Elect Henrique de Castro	Mgmt	For	For	For
5	Elect James A. Johnson	Mgmt	For	Against	Against
6	Elect Mary E. Minnick	Mgmt	For	For	For
7	Elect Anne M. Mulcahy	Mgmt	For	Against	Against
8	Elect Derica W. Rice	Mgmt	For	For	For
9	Elect Kenneth L. Salazar	Mgmt	For	For	For
10	Elect John G. Stumpf	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

13	Shareholder Proposal	ShrHldr	Against	Against	For
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Regarding Elimination
of
Perquisites

14	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Prohibiting Discrimination	ShrHldr	Against	Against	For

Teva Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
TEVA	CUSIP 881624209	02/24/2014	Voted
Meeting Type		Country of Trade	
Special		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	CEO Employment Terms	Mgmt	For	For	For
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Teva Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
TEVA	CUSIP 8.81624e+008	08/27/2013	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Elect Moshe Many	Mgmt	For	For	For
2	Elect Arie S. Belldegrun	Mgmt	For	For	For
3	Elect Amir Elstein	Mgmt	For	For	For
4	Elect Yitzhak Peterburg	Mgmt	For	For	For
5	Cash Bonus for President and CEO	Mgmt	For	For	For

6	Vote FOR if you have a personal interest in item; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager.	Mgmt	N/A	Against	N/A
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7	Bonus Plan for President and CEO	Mgmt	For	For	For
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8	Vote FOR if you have a personal interest in item; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager.	Mgmt	N/A	Against	N/A
9	Approval of Compensation Policy	Mgmt	For	For	For
10	Vote FOR if you have a personal interest in item; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager.	Mgmt	N/A	Against	N/A
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Texas Instruments Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
TXN	CUSIP 882508104	04/17/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ralph W. Babb, Jr.	Mgmt	For	For	For
2	Elect Mark A. Blinn	Mgmt	For	For	For
3	Elect Daniel A. Carp	Mgmt	For	For	For
4	Elect Carrie S. Cox	Mgmt	For	For	For
5	Elect Ronald Kirk	Mgmt	For	For	For
6	Elect Pamela H. Patsley	Mgmt	For	For	For
7	Elect Robert E. Sanchez	Mgmt	For	For	For
8	Elect Wayne R. Sanders	Mgmt	For	For	For
9	Elect Ruth J. Simmons	Mgmt	For	For	For
10	Elect Richard K. Templeton	Mgmt	For	For	For
11	Elect Christine Todd Whitman	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For

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14	Approve the 2014 Stock Purchase Plan	Mgmt	For	For	For
15	Approve Performance Criteria of the 2009 Long-Term Incentive Plan	Mgmt	For	For	For

Texttron Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TXT	CUSIP 883203101	04/23/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Scott C. Donnelly	Mgmt	For	For	For
2	Elect Kathleen M. Bader	Mgmt	For	For	For
3	Elect R. Kerry Clark	Mgmt	For	For	For
4	Elect James T. Conway	Mgmt	For	For	For
5	Elect Ivor J. Evans	Mgmt	For	For	For
6	Elect Lawrence K. Fish	Mgmt	For	For	For
7	Elect Paul E. Gagne	Mgmt	For	For	For
8	Elect Dain M. Hancock	Mgmt	For	For	For
9	Elect Lord Powell of Bayswater KCMG	Mgmt	For	For	For
10	Elect Lloyd G. Trotter	Mgmt	For	For	For
11	Elect James L. Ziemer	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

The Procter & Gamble Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PG	CUSIP 742718109	10/08/2013	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Angela F. Braly	Mgmt	For	For	For
2	Elect Kenneth I. Chenault	Mgmt	For	For	For
3	Elect Scott D. Cook	Mgmt	For	For	For
4	Elect Susan D. Desmond-Hellmann	Mgmt	For	For	For

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5	Elect Alan G. Lafley	Mgmt	For	For	For
6	Elect Terry J. Lundgren	Mgmt	For	For	For
7	Elect W. James McNerney, Jr.	Mgmt	For	For	For
8	Elect Margaret C. Whitman	Mgmt	For	For	For
9	Elect Mary Agnes Wilderotter	Mgmt	For	For	For
10	Elect Patricia A. Woertz	Mgmt	For	For	For
11	Elect Ernesto Zedillo Ponce de Leon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Elimination of Supermajority Requirement	Mgmt	For	For	For
14	2013 Non-Employee Directors' Stock Plan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Valero Energy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
VLO	CUSIP 91913Y100	05/01/2014	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against Mgmt		
1	Elect Jerry D. Choate	Mgmt	For	For	For
2	Elect Joseph W Gorder	Mgmt	For	For	For
3	Elect William R. Klesse	Mgmt	For	For	For
4	Elect Deborah P. Majoras	Mgmt	For	For	For
5	Elect Donald L. Nickles	Mgmt	For	For	For
6	Elect Phillip J. Pfeiffer	Mgmt	For	For	For
7	Elect Robert A. Profusek	Mgmt	For	For	For
8	Elect Susan K. Purcell	Mgmt	For	For	For
9	Elect Stephen M. Waters	Mgmt	For	For	For
10	Elect Randall J. Weisenburger	Mgmt	For	For	For
11	Elect Rayford Wilkins Jr.	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive	Mgmt	For	For	For

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Compensation

14	Stockholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	For	Against
15	Shareholder Proposal Regarding Greenhouse Gas Emissions Reporting and Reduction Targets	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	For	Against

Verizon Communications Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VZ	CUSIP 92343V104	01/28/2014	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Increase of Authorized Common Stock	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	Against	Against

Verizon Communications Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VZ	CUSIP 92343V104	05/01/2014	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Shellye L. Archambeau	Mgmt	For	For	For
2	Elect Richard L. Carrion	Mgmt	For	For	For
3	Elect Melanie L. Healey	Mgmt	For	For	For
4	Elect M. Frances Keeth	Mgmt	For	For	For
5	Elect Robert W. Lane	Mgmt	For	For	For
6	Elect Lowell C. McAdam	Mgmt	For	For	For
7	Elect Donald T. Nicolaisen	Mgmt	For	For	For
8	Elect Clarence Otis, Jr.	Mgmt	For	For	For
9	Elect Rodney E. Slater	Mgmt	For	For	For
10	Elect Kathryn A. Tesija	Mgmt	For	For	For
11	Elect Gregory D. Wasson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on	Mgmt	For	For	For

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Executive Compensation

14	Adoption of Proxy Access	Mgmt	For	For	For
15	Shareholder Proposal Regarding Network Neutrality	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Approval of Severance Agreements	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Counting Shareholder Votes	ShrHldr	Against	Against	For

Visa Inc.

Ticker	Security ID: CUSIP	Meeting Date	Meeting Status			
V	92826C839	01/29/2014	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Mary B. Cranston	Mgmt	For	Against	Against	
2	Elect Francisco Javier Fernandez Carbajal	Mgmt	For	For	For	
3	Elect Alfred F. Kelly, Jr.	Mgmt	For	For	For	
4	Elect Robert W. Matschullat	Mgmt	For	For	For	
5	Elect Cathy E. Minehan	Mgmt	For	For	For	
6	Elect Suzanne Nora Johnson	Mgmt	For	For	For	
7	Elect David J. PANG	Mgmt	For	For	For	
8	Elect Charles W. Scharf	Mgmt	For	For	For	
9	Elect William S.	Mgmt	For	For	For	

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Shanahan

10	Elect John A.C. Swainson	Mgmt	For	For	For
11	Elect Maynard G. Webb, Jr.	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For

Weatherford International Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
WFT	CUSIP H27013103	06/16/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast
Special	United States				For/Against Mgmt
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast
1	Redomestication from Switzerland to Ireland	Mgmt	For		For
2	Distribution of Profit Reserves	Mgmt	For		For
3	Transaction of Other Business	Mgmt	For		Against

XL Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
XL	CUSIP G98290102	04/25/2014	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast
Annual	United States				For/Against Mgmt
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast
1	Repeal of Classified Board	Mgmt	For		For
2	Elect Michael S. McGavick	Mgmt	For		For
3	Elect Anne L. Stevens	Mgmt	For		For
4	Elect John M. Vereker	Mgmt	For		For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For		For
6	Advisory Vote on Executive Compensation	Mgmt	For		For
7	Renewal of Authority to Issue Shares with Preemptive Rights	Mgmt	For		For

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8	Renewal of Authority to Issue Shares without Preemptive Rights	Mgmt	For	For	For
9	Amendments to Articles of Association	Mgmt	For	For	For
10	Extend the Term of the Directors Stock and Option Plan	Mgmt	For	For	For

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AllianzGI Equity & Convertible Income Fund

By (Signature and Title)*: /s/ Julian F. Sluyters

Name: Julian F. Sluyters
Title: President and Chief Executive Officer

Date: August 27, 2014

* Print the name and title of each signing officer under his or her signature.