MIZUHO FINANCIAL GROUP INC Form 6-K November 29, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 6-K

#### REPORT OF FOREIGN PRIVATE ISSUER

PURSUANT TO RULE 13a-16 OR 15d-16

#### UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of November 2013

Commission File Number 001-33098

# Mizuho Financial Group, Inc.

(Translation of registrant s name into English)

5-1, Marunouchi 2-chome

Chiyoda-ku, Tokyo 100-8333

#### Japan

(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

Form 20-F  $\,x\,$  Form 40-F  $\,$ 

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): "

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): "

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes " No x

If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b):82-

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: November 28, 2013

Mizuho Financial Group, Inc.

By: /s/ Hideyuki Takahashi Name: Hideyuki Takahashi

Title: Deputy President / Group CFO

#### 1. Interim Consolidated Financial Statements

### (1) Consolidated Balance Sheet

	A 6	Millions of yen
	As of September 3	0, 2013
Assets	~ 7	-,
Cash and Due from Banks	¥ *	8 18,133,429
Call Loans and Bills Purchased		361,000
Receivables under Resale Agreements		12,157,183
Guarantee Deposits Paid under Securities Borrowing Transactions		5,586,262
Other Debt Purchased		2,978,895
Trading Assets	*	8 13,942,483
Money Held in Trust		128,874
Securities	*1*8*1	5 46,601,342
Loans and Bills Discounted	*3*4*5*6*7*8*	9 67,435,232
Foreign Exchange Assets	*	7 1,338,201
Derivatives other than for Trading Assets		3,318,853
Other Assets	*	8 5,058,186
Tangible Fixed Assets	*10*1	1 906,531
Intangible Fixed Assets		488,626
Deferred Tax Assets		147,872
Customers Liabilities for Acceptances and Guarantees		4,211,350
Reserves for Possible Losses on Loans		(645,063)
Reserve for Possible Losses on Investments		(28)
Total Assets	¥	182,149,236

		Millions of yen As of	
		September	30, 2013
Liabilities			
Deposits	¥	*8	86,720,758
Negotiable Certificates of Deposit			14,916,975
Call Money and Bills Sold		*8	5,608,146
Payables under Repurchase Agreements		*8	20,494,636
Guarantee Deposits Received under Securities Lending Transactions		*8	7,306,493
Commercial Paper			619,956
Trading Liabilities			7,169,893
Borrowed Money		*8 *12	9,360,535
Foreign Exchange Liabilities			233,507
Short-term Bonds			568,197
Bonds and Notes		*13	5,131,982
Due to Trust Accounts			1,253,759
Derivatives other than for Trading Liabilities			3,463,391
Other Liabilities			6,715,045
Reserve for Bonus Payments			36,325
Reserve for Employee Retirement Benefits			40,659
Reserve for Director and Corporate Auditor Retirement Benefits			1,323
Reserve for Possible Losses on Sales of Loans			346
Reserve for Contingencies			19,111
Reserve for Reimbursement of Deposits			16,654
Reserve for Reimbursement of Debentures			47,588
Reserves under Special Laws			1,049
Deferred Tax Liabilities			29,470
Deferred Tax Liabilities for Revaluation Reserve for Land		*10	81,455
Acceptances and Guarantees			4,211,350
Total Liabilities			174,048,615
Net Assets			
Common Stock and Preferred Stock			2,254,972
Capital Surplus			1,109,508
Retained Earnings			2,132,117
Treasury Stock			(3,846)
Total Shareholders Equity			5,492,751
- van van van Lyuny			5,172,151
Net Unrealized Gains (Losses) on Other Securities			729,899
Deferred Gains or Losses on Hedges			(4,990)
Revaluation Reserve for Land		*10	141,461
Foreign Currency Translation Adjustments			(72,440)
Total Accumulated Other Comprehensive Income			793,929
Stock Acquisition Rights			1.722
•			1,733
Minority Interests			1,812,207
Total Net Assets			8,100,621
Total Liabilities and Net Assets	¥		182,149,236

#### (2) Consolidated Statement of Income and Consolidated Statement of Comprehensive Income [Consolidated Statement of Income]

	Millions of yen For the six months ended September 30, 2013	
Ordinary Income	¥	1,540,570
Interest Income		710,234
Interest on Loans and Bills Discounted		460,427
Interest and Dividends on Securities		163,044
Fiduciary Income		25,735
Fee and Commission Income		339,972
Trading Income		111,399
Other Operating Income		150,010
Other Ordinary Income	*1	203,217
Ordinary Expenses		973,192
Interest Expenses		156,249
Interest on Deposits		50,635
Fee and Commission Expenses		64,594
Trading Expenses		4,268
Other Operating Expenses		69,264
General and Administrative Expenses		614,304
Other Ordinary Expenses	*2	64,510
Ordinary Profits		567,377
Extraordinary Gains	*3	529
Extraordinary Losses	*4	4,532
Income before Income Taxes and Minority Interests		563,374
Income Taxes:		
Current		71,836
Refund of Income Taxes		(4,393)
Deferred		24,134
Total Income Taxes		91,577
Net Income before Minority Interests		471,797
Minority Interests in Net Income		42,051
Net Income	¥	429,745

## [Consolidated Statement of Comprehensive Income]

	Millions of yen For the six months ended September 30, 2013
Income before Minority Interests	¥ 471,797
Other Comprehensive Income	48,077
Net Unrealized Gains (Losses) on Other Securities	117,890
Deferred Gains or Losses on Hedges	(89,792)
Revaluation Reserve for Land	21
Foreign Currency Translation Adjustments	11,818
Share of Other Comprehensive Income of Associates Accounted for Using Equity Method	8,140
Comprehensive Income	519,875
(Breakdown)	
Comprehensive Income Attributable to Owners of the Parent	472,047
Comprehensive Income Attributable to Minority Interests	47,827

## (3) Consolidated Statement of Cash Flows

	Millions of yen For the six months ended September 30, 2013	
Cash Flow from Operating Activities	Î	ŕ
Income before Income Taxes and Minority Interests	¥	563,374
Depreciation		75,361
Losses on Impairment of Fixed Assets		1,025
Amortization of Goodwill		1,727
Equity in Loss (Gain) from Investments in Affiliates		(13,680)
Increase (Decrease) in Reserves for Possible Losses on Loans		(105,227)
Increase (Decrease) in Reserve for Possible Losses on Investments		(12)
Increase (Decrease) in Reserve for Possible Losses on Sales of Loans		297
Increase (Decrease) in Reserve for Contingencies		(2,716)
Increase (Decrease) in Reserve for Bonus Payments		(10,766)
Increase (Decrease) in Reserve for Employee Retirement Benefits		1,884
Increase (Decrease) in Reserve for Director and Corporate Auditor Retirement Benefits		(290)
Increase (Decrease) in Reserve for Reimbursement of Deposits		190
Increase (Decrease) in Reserve for Reimbursement of Debentures		12,170
Interest Income - accrual basis		(710,234)
Interest Expenses - accrual basis		156,249
Losses (Gains) on Securities		(76,145)
Losses (Gains) on Money Held in Trust		(39)
Foreign Exchange Losses (Gains) - net		(438,437)
Losses (Gains) on Disposition of Fixed Assets		2,031
Decrease (Increase) in Trading Assets		216,147
Increase (Decrease) in Trading Liabilities		(649,965)
Decrease (Increase) in Derivatives other than for Trading Assets		1,233,043
Increase (Decrease) in Derivatives other than for Trading Liabilities		(1,006,531)
Decrease (Increase) in Loans and Bills Discounted		(762,603)
Increase (Decrease) in Deposits		1,840,771
Increase (Decrease) in Negotiable Certificates of Deposit		(571,533)
Increase (Decrease) in Borrowed Money (excluding Subordinated Borrowed Money)		1,674,558
Decrease (Increase) in Due from Banks (excluding Due from Central Banks)		289,454
Decrease (Increase) in Call Loans, etc.		(2,093,685)
Decrease (Increase) in Guarantee Deposits Paid under Securities Borrowing Transactions		(42,348)
Increase (Decrease) in Call Money, etc.		1,570,530
Increase (Decrease) in Commercial Paper		(130,517)
Increase (Decrease) in Guarantee Deposits Received under Securities Lending Transactions		(4,018,945)
Decrease (Increase) in Foreign Exchange Assets		123,848
Increase (Decrease) in Foreign Exchange Liabilities		50,786
Increase (Decrease) in Short-term Bonds (Liabilities)		90,797
Increase (Decrease) in Bonds and Notes		1,233
Increase (Decrease) in Due to Trust Accounts		133,062
Interest and Dividend Income - cash basis		796,054
Interest Expenses - cash basis		(186,892)
Other - net		737,054

Subtotal (1,248,914)