SWISS HELVETIA FUND, INC. Form N-PX August 21, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-05128

The Swiss Helvetia Fund, Inc.

(Exact name of registrant as specified in charter)

1270 Avenue of the Americas, Suite 400

New York, NY 10020

(Address of principal executive offices) (Zip code)

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Rudolf Millisits, CEO

Hottinger Capital Corp.

1270 Avenue of the Americas, Suite 400

New York, NY 10020

(Name and address of agent for service)

Registrant s telephone number, including area code: 212-332-2760

Date of fiscal year end: December 31

Date of reporting period: July 1, 2012 through June 30, 2013

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (§§ 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. § 3507.

PROXY VOTING RECORD

FOR PERIOD JULY 1, 2012 TO JUNE 30, 2013

					Proposed by	Whether the		Whether the
					the issuer (I)	fund cast its		fund cast its
					or the	vote on the	How did the	vote For or
Ticker	ISIN	HOLDINGS	Date of AGM	Agenda	shareholder (S)	matter	Fund vote	Against board
				Banks				
		Credit Suisse						
CSGN	CH0012138530	Group	4/26/2013		I	Yes		
CDGIT	C110012130230	Group	4/20/2015	1.1 Presentation of the 2012	•	103		
				annual report, the parent				
				company s 2012 financial				
				statements, the Group s 2012			Non voting	
				consolidated financial				
				statements and the 2012				
				remuneration report				
				1.2 Consultative vote on the			For	For
				2012 remuneration report				
				1.3 Approval of the 2012 annual report, the parent				
				company s 2012 financial				
				statements and the Group s			For	For
				2012 consolidated financial				
				statements				
				2. Discharge of the acts of the				
				Members of the Board of			For	For
				Directors and Executive Board				
				3.1 Resolution on the				
				appropriation of retained			For	For
				earnings				
				3.2 Resolution on the distribution against reserves				
				from capital contributions in			For	For
				shares and in cash				
				4.1 Changes in share capital:				
				Increase in, amendment to and			For	For
				extension of authorized capital				
				4.2 Changes in share capital:				_
				Increase in conditional capital			For	For
				for employee shares 5. Other amendments to the				
				5. Other amendments to the Articles of Association				
				(quorum of the Board of			For	For
				Directors)				
				6.1.1 Re-election of Noreen			•	•
				Doyle to the Board of Directors			For	For
				6.1.2 Re-election of Jassim Bin				
				Hamad J.J. Al Thani to the			For	For
				Board of Directors				
				6.1.3 Election of Kai S.			For	For
				Nargolwala to the Board of				

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Directors

6.2 Election of the independent For auditors: KPMG AG, Zurich 6.3 Election of the special For auditors: BDO AG, Zurich 7. If voting or elections take place on proposals submitted during the Annual General Meeting itself as defined in art. 700 paras. 3 and 4 of the Swiss Against Code of Obligations, I hereby instruct the independent proxy to vote in favor of the proposal of the Board of Directors

For

For

Against

UBSN CH0024899483	UBS AG	5/2/2013		I	Yes		
			1.1 Approval of the annual report and			E	E
			group and parent bank accounts			For	For
			1.2 Advisory vote on the compensation			For	For
			report 2012			roi	roi
			2. Appropriation of retained earnings and			For	For
			distribution			101	101
			3. Discharge of the members of the board			_	_
			of directors and the group executive board			For	For
			for the financial year 2012				
			4.1.1 Re-election of member of the board of			For	For
			directors: Axel A. Weber				
			4.1.2 Re-election of member of the board of directors: Michel Demare			For	For
			4.1.3 Re-election of member of the board of				
			directors: David Sidwell			For	For
			4.1.4 Re-election of member of the board of				
			directors: Rainer-Marc Frey			For	For
			4.1.5 Re-election of member of the board of				
			directors: Ann F. Godbehere			For	For
			4.1.6 Re-election of member of the board of			_	_
			directors: Axel P. Lehmann			For	For
			4.1.7 Re-election of member of the board of				
			directors: Helmut Panke			For	For
			4.1.8 Re-election of member of the board of			For	For
			directors: William G. Parrett			ror	гог
			4.1.9 Re-election of member of the board of			For	For
			directors: Isabelle Romy			I OI	FOI
			4.1.10 Re-election of member of the board			For	For
			of directors: Beatrice Weder Di Mauro			101	101
			4.1.11 Re-election of member of the board			For	For
			of directors: Joseph Yam			101	101
			4.2 Election of Reto Francioni to the board			For	For
			of directors				
			4.3 Re-election of the auditors, Ernst and			For	For
			Young Ltd., Basel			A gaingt	A animat
			5. Ad hoc			Against	Against

Vontobel VONN CH0012335540 Holding 4/23/2013

	I	Yes		
1. Annual report, annual financial statement				
and group financial statement 2012, report			For	For
by the statutory auditors				
2. Discharge of the members of the board of				
directors and management for the financial year 2012			For	For
3. Appropriation of retained earnings			For	For
4.1.1 Re-election of Herbert J. Scheidt as a member of board of director			For	For
4.1.2 Re-election of Bruno Basler as a member of board of director			For	For
4.1.3 Re-election of Peter Quadri as a member of board of director			For	For
4.1.4 Re-election of Dr. Frank Schnewlin as a				
member of board of director			For	For
4.1.5 Re-election of Clara C. Streit as a				
member of board of director			For	For
4.1.6 Re-election of Marcel Zoller as a member of board of director			For	For
4.2.1 Election of Dominic Brenninkmeyer as a member of board of director			For	For
4.2.2 Election of Nicolas Oltramare as a member of board of director			For	For
5. Re-election of the statutory auditors / Ernst and Young Ltd., Zurich			For	For
6. Ad hoc			Against	Against
U. AU HUC			Against	Against

BIOTECHNOLOGY

	Addex	
	Therapeutics	
ADXN CH0029850754	Ltd.	3/19/2013

•	I	Yes		
1. Approval of the annual report, the annual financial statements and the consolidated financial statements for the			For	For
business year 2012 2. Appropriation of the results			For	For
3. Discharge to the members of the board of directors and the Executive			For	For
management 4. Re-election of the auditors PricewaterhouseCoopers Sa, Geneva			For	For
5.1 Amendments to the articles of association: Extension and increase of the authorized share capital (article 3b of the			For	For
articles of association) 5.2 Amendments to the articles of				
association: Increase of the conditional share capital (article 3c of the articles of			For	For
association) 6.Additional and/or counter-proposals			Against	Against

NOBN		Nobel Biocare						
SW	CH0037851646	Hld	3/28/2013		I	Yes		
				1. Approval of the annual report 2012				
				consisting of the business report, the				
				statutory financial statements and the			For	For
				consolidated financial statements of				
				Nobel Biocare Holding Ltd				
				2. Consultative vote: Ratification of the			For	For
				remuneration report for 2012			101	101
				3.1 Appropriation of the balance sheet				
				result 2012 and distribution of dividend:			For	For
				Carry forward of the Accumulated			101	101
				deficit 2012				
				3.2 Appropriation of the balance sheet				
				result 2012 and distribution of dividend:				
				Allocation of reserves from capital			For	For
				contributions to free reserves and				
				distribution of dividend of CHF 0.20 per				
				registered share			T	T
				4. Discharge of the board of directors 5.1 Re-election of member of the board			For	For
				of directors: Ms.Daniela			For	For
				Bosshardt-Hengartner			ror	ror
				5.2 Re-election of member of the board				
				of directors: Mr.Raymund Breu			For	For
				5.3 Re-election of member of the board				
				of directors: Mr.Edgar Fluri			For	For
				5.4 Re-election of member of the board				
				of directors: Mr.Michel Orsinger			For	For
				5.5 Re-election of member of the board				
				of directors: Mr.Juha Raeisaenen			For	For
				5.6 Re-election of member of the board			_	_
				of directors: Mr.Oern Stuge			For	For
				5.7 Re-election of member of the board				
				of directors: Mr.Rolf Watter			For	For
				5.8 Re-election of member of the board			T	T
				of directors: Mr.Georg Watzek			For	For
				6. Election of one new member to the			Eom	For
				board of directors: Mr.Franz Maier			For	For
				7. Re-election of the auditors: KPMG Ag,			For	For
				Zurich			TUI	FUI
				8. Additional and/or counter-proposals			Against	Against

TECN CH0012100191	Tecan Group	4/17/2013		I	Yes		
TECN C110012100191	AG	4/17/2013	1. Status report of the board of directors, annual financial statements and consolidated financial statements 2012, auditors report	1	ies	For	For
			2. Advisory vote on the compensation report			For	For
			3.A Appropriation of available retained earnings			For	For
			3.B Allocation from the legal reserves (capital contribution reserve) to the free reserve and payout			For	For
			4. Discharge of the members of the board of directors and the management board			For	For
			5.1.A Re-election of Mr. Heinrich Fischer as a member of the board of directors			For	For
			5.1.B Re-election of Dr. Oliver Fetzer as a member of the board of directors			For	For
			5.1.C Re-election of Dr. Karen Huebscher as a member of the board of directors			For	For
			5.1.D Re-election of Mr. Gerard Vaillant as a member of the board of directors			For	For
			5.1.E Re-election of Mr. Erik Wallden as a member of the board of directors			For	For
			5.1.F Re-election of Mr. Rolf Classon as a member of the board of directors			For	For
			5.2.A Election of Dr. Christa Kreuzburg as a member of the board of directors			For	For
			6. Re-election of the auditor KPMG AG, Zurich			For	For

ATLN CH0010532478 Actelion Ltd 4/18/2013

	1	Yes		
1. Approval of the business report				
consisting of the annual report, the annual			For	For
statutory accounts and the consolidated			FUI	FUI
accounts as of 31 December 2012				
2. Approve allocation of income and				
dividends of CHF 1.00 per share from			For	For
capital contribution reserves				
3. Consultative vote on compensation			For	For
report			101	1.01
4. Discharge of the board of directors and			For	For
of the senior management			101	1.01
5. Approve CHF 3.2 million reduction in				
share capital via cancellation of			For	For
repurchased shares				
6.1 Re-election of Mr. Werner Henrich as			For	For
board member			101	1.01
6.2 Re-election of Mr. Armin Kessler as			For	For
board member			101	101
6.3 Re-election of Mr. Jean Malo as board			For	For
member			101	101
6.4 Election of Mr. John J. Greisch as new			For	For
board member			101	101
7. Election of the statutory auditor Ernst			For	For
and Young Ag, Basel				
8. Additional and/or counterproposals			Abstain	For

Biotie BTHIV FI0009011571 Therapies 4/4/2013

3	I	Yes		
1. Opening of the meeting			Non voting	Non voting
2. Calling the meeting to order			Non voting	Non voting
3. Election of persons to scrutinize the			NI 4	NT 4*
minutes and to supervise the counting of-votes			Non voting	Non voung
4. Recording the legality of the meeting			Non voting	Non voting
5. Recording the attendance at the meeting			N I 41	N T 4.
and adoption of the list of votes			Non voting	Non voting
6. Presentation of the annual accounts, the				
report of the board of directors and-the			Non voting	Non voting
auditor s report for the year 2012			O	Ö
7. Adoption of the financial statements			For	For
8. Booking of the loss of the financial year.				
Board proposes not to pay any dividend			For	For
9. Resolution on the discharge of the members				
of the board of directors and the managing			For	For
director from liability				
10. Resolution on the remuneration of the				
members of the board of directors			For	For
11. Resolution on the number of members of				
the board of directors. The board proposes on				
the basis of recommendation of the			For	For
nomination committee that number of				
members would be six (6)				
12. Election of members of the board of				
directors. The board proposes on the basis of				
recommendation of the nomination committee				
that the current members P. Fellner, W.M.			For	For
Burns, M. Karhapaa, B. Kastler, I. Kola and				
G. Magni be re-elected				
13. Resolution on the remuneration of the				
auditors			For	For
14. Election of the auditors. The board				
proposes on the basis of recommendation of				
the audit committee that			For	For
PricewaterhouseCoopers Oy and Mr. J.				
Rajalahti would be re-elected as the auditors				
15. Authorising the board of directors to				
decide on the issuance of shares as well as the			F	
issuance of options and other rights entitling			For	For
to shares				
16. Closing of the meeting			Non voting	Non voting
5				

CHEMICALS

SYNN CH001103'	7469	Syngenta	ΑG	4/23/2013
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	I	Yes		
1.1 Approval of the annual report, including the annual financial statements and the group consolidated financial statements for the year 2012			For	For
1.2 Consultative vote on the compensation system			For	For
2. Discharge of the members of the board of directors and the executive committee			For	For
3. Appropriation of the available earnings as per balance sheet 2012 and dividend decision: CHF 9.50 per share			For	For
4.1 Re-election of Michael Mack to the board of director			For	For
4.2 Re-election of Jacques Vincent to the board of director			For	For
4.3 Election of Eleni Gabre-Madhin to the board of director			For	For
4.4 Election of Eveline Saupper to the board of director			For	For
5. Election of the external auditor Ernst and Young Ag			For	For
6. Additional and/or counter proposals			Against	Against

	Lonza Grou	p					
LONN CH0013841017	7 AG	4/9/2013		I	Yes		
			1. Annual report, consolidated financial				
			statements and financial statements of			For	For
			Lonza Group Ltd; reports of the auditors				
			2. Consultative vote on the remuneration			For	For
			report			101	101
			3. Discharge of the members of the Board			For	For
			of Directors			101	101
			4. Appropriation of available earnings /			For	For
			Reserves from contribution of capital			101	101
			5.1.a Re-election to the Board of Directors:			For	For
			Patrick Aebischer			101	101
			5.1.b Re-election to the Board of			For	For
			Directors: Jean- Daniel Gerber			101	- 01
			5.1.c Re-election to the Board of Directors:			For	For
			Margot Scheltema			101	201
			5.1.d Re-election to the Board of			For	For
			Directors: Rolf Soiron				
			5.1.e Re-election to the Board of Directors:			For	For
			Peter Wilden				
			5.2.a Elections to the Board of Directors:			For	For
			Werner J. Bauer				
			5.2.b Elections to the Board of Directors:			For	For
			Thomas Ebeling				
			5.2.c Elections to the Board of Director:			For	For
			Antonio Trius				
			6. Re-election of the auditor for the 2013			For	For
			fiscal year, KPMG Ltd, Zurich				
			7. The Board of Directors proposes that				
			the authorized share capital of the				
			Company in a maximum amount of CHF				
			5,000,000 be renewed for a period of two			For	For
			years until 9 April 2015 and Article 4ter of				
			the Articles of Association be amended				
			accordingly				
			8.A Approval of the proposal of the Board			For	For
			of Directors			A bata!	A aa ! 4
			8.B Abstention			Abstain	Against

	Allreal Holding						
ALLN CH0008837566	AG	4/5/2013		I	Yes		
			1. Annual report, annual accounts and accounts of the group 2012			For	For
			2. Appropriation of the balance profit 2012			For	For
			3. Distribution to the shareholders			For	For
			4. Discharge of the members of the board of directors and the management			For	For
			5.1.1 Election Mr. Olivier Steimer (new member) to the board of director			For	For
			5.1.2 Election Mr. Peter Spuhler (new member) to the board of director			For	For
			5.2 Election of the auditors: Ernst And Young, Zurich			For	For
			6. Additional and/or counter- proposals			Against	Against
			Construction and Materials				
	Belimo Holding						
BEAN CH0001503199	AG	4/8/2013	4.4. 1.64. 1.4	I	Yes		
			1. Approval of the annual report including the financial statements,				
			management report and consolidated				
			financial statements for 2012.			For	For
			Acceptance of the reports of the				
			statutory and group auditors KPMG AG				
			2. Resolution on the appropriation of available earnings			For	For
			3. Advisory vote on compensation system				
			and compensation for the financial year 2012			For	For
			4. Discharge of the board of directors			For	For
			5. Amendment to the articles of				
			incorporation art. 13 (voting right)			For	For
			remove art. 13 Paras. 2 and 3 without replacement				
			6.1 Elections of the board of director:			_	-
			Werner Buck			For	For
			6.2 Elections of the board of director:			For	For
			Martin Hess 6.3 Elections of the board of director:				
			Walter Linsi			For	For
			6.4 Elections of the board of director:			E	F
			Prof. Dr. Hans Peter Wehrli			For	For
			6.5 Elections of the board of director: Dr. Martin Zwyssig			For	For
			7. Election of the group and statutory auditors KPMG AG			For	For
			8. Additional and/or counter-proposals			Against	Against
			1 1			J	

HOLN CH0012214059 Ltd 4/17/2013

I Yes 1.1 Approval of the annual report, annual consolidated financial statements of the group For For and annual financial statements of Holcim Ltd 1.2 Advisory vote on remuneration report For For 2. Discharge of the members of the board of directors and the persons entrusted with For For management 3.1 Appropriation of retained earnings For For 3.2 Determination of the payout from capital For For contribution reserves 4.1.1 Re-election of Dr. Beat Hess as a board of For For director 4.1.2 Re-election of Dr. Rolf Soiron as a board For For of director 4.2.1 Election of Mrs. Hanne Birgitte Breinbjerg For For Sorensen as a board of director 4.2.2 Election of Mrs. Anne Wade as a board of For For 4.3 Re-election of the Auditors: Ernst and For For Young Ltd, Zurich 5. Ad hoc **Abstain** For

XTA GB0031411001 Xstrata PLC 11/20/2012

I Yes 1. That, for the purposes of giving effect to the New Scheme:(a) the directors of the Company be authorised to take all such actions as they may consider necessary or appropriate for carrying the New Scheme into full effect; (b) the re- classification of the ordinary shares of the Company and the Reduction of Capital (including any reversals or contingencies associated therewith) be approved; (c) the I capitalisation of the reserve arising from the Against Against Reduction of Capital in paying up the Further Xstrata Shares to be allotted to Glencore International plc (or its nominee(s)) be approved; (d) the directors of the Company be authorised to allot the **New Xstrata Shares to Glencore** International plc (or its nominee(s)) as referred to in paragraph (c) above; and (e) the amendments to the articles of association of the Company be approved 2. That: 2.1 the Revised Management Incentive Arrangements, as defined in the New Scheme Circular, be approved and the directors of the Company be authorised to do or procure to be done all such acts and things on behalf of the Company as they consider necessary or expedient for the purpose of giving effect to such arrangements; and 2.2 the Revised New Ι Against **Against** Xstrata 2012 Plan, as defined in the New Scheme Circular, be adopted and that the directors of the Company be authorised to do or procure to be done all such acts and things on behalf of the Company as they consider necessary or expedient for the purpose of giving effect to the Revised New Xstrata 2012 Plan

XTA	GB0031411001	Xstrata PLC	4/17/2013			Yes		
				1. To approve the said New Scheme subject to the Revised Management Incentive Arrangements Resolution to be proposed at the Further Xstrata General Meeting being passed 2. PLEASE NOTE THAT THIS IS A SHAREHOLDERS PROPOSAL: To approve the said New Scheme subject to the Revised Management Incentive Arrangements Resolution to be proposed at the Further Xstrata General Meeting not being passed	I S		For Against	For Against
				Food & Beverages				
EMMN	CH0012829898	EMMI AG	4/25/2013	1. Presentation and approval of the Annual Report, Consolidated Financial Statements and Financial Statements of Emmi AG for 2012	I	Yes	For	For
				2. Discharge of the members of the Board of Directors			For	For
				3. Resolution on the appropriation of available earnings 2012; setting of the distribution from the capital contribution reserves			For	For
				4. Election to the Board of Directors: Election of Monique Bourquin, lic. oec. HSG, for a term of office of two years			For	For
				5. Appointment of statutory and Group auditors: Appointment of PricewaterhouseCoopers AG, Lucerne, as the statutory and Group auditor for financial year 2013			For	For
				6. In the case of ad-hoc shareholder motions proposed during the general meeting, I authorize my proxy to act as follows in accordance with the board of directors			Abstain	Against

Lindt & LISN CH001057759 Spruengli 4/18/2013

	1	i es		
1. Approval of annual report and the annual				
financial statements of the Chocoladenfabriken				
Lindt and Spruengli Ag as well as the			For	For
consolidated financial statements of the Lindt				
and Spruengli group for the fiscal year 2012				
2. Discharge of the board of directors			For	For
3.1 Appropriation of retained earnings of the			For	For
Chocoladenfabriken Lindt and Spruengli Ag			FUI	I OI
3.2 Conversion of reserves from the				
contribution of capital and distribution of			For	For
dividend				
4.1 Re-election of Mr. Kurt Widmer of the			For	For
board of directors			FUI	I OI
4.2 Re-election of Mr. Rudolf K. Spruengli of			For	For
the board of directors			FUI	I OI
5. Re-election of the auditors			For	For
PricewaterhouseCoopers Ag, Zurich			FOI	roi
6. Reduction of share and participation capital			For	For
7. Ad-hoc			Abstain	Abstain

NESN CH00388633	50 Nestle SA	4/11/2013		I	Yes		
			1.1 Approval of the Annual Report, the financial statements of Nestle S.A. and the consolidated financial statements of the Nestle Group for 2012	I		For	For
			1.2 Acceptance of the Compensation Report 2012 (advisory vote)	I		For	For
			2. Release of the members of the Board of Directors and of the Management	I		For	For
			3. Appropriation of profits resulting from the balance sheet of Nestle S.A. (proposed dividend) for the financial year 2012	I		For	For
			4.1.1 Re-elections to the Board of Directors: Mr. Peter Brabeck-Letmathe	I		For	For
			4.1.2 Re-elections to the Board of Directors: Mr. Steven G. Hoch	I		For	For
			4.1.3 Re-elections to the Board of Directors: Ms. Titia de Lange	I		For	For
			4.1.4 Re-elections to the Board of Directors: Mr. Jean- Pierre Roth	I		For	For
			4.2 Election to the Board of Directors Ms. Eva Cheng	I		For	For
			4.3 Re-election of the statutory auditors KPMG SA, Geneva branch	I		For	For
			IN THE EVENT OF A NEW OR MODIFIED PROPOSAL BY A SHAREHOLDER DURING THE GENERAL-MEETING, I INSTRUCT THE INDEPENDENT REPRESENTATIVE TO VOTE ACCORDING TO THE F-OLLOWING INSTRUCTION: 1 OPTION EITHER 5.A, 5.B OR 5.C NEED TO BE INSTRUCTED (W-ITH YES) TO SHOW, WHICH VOTING OPTION INVESTOR CHOSE IN THE EVENT OF NEW OR MO-DIFIED PROPOSALS 5.A MANAGEMENT RECOMMENDS A FOR VOTE ON THIS PROPOSAL: Vote in accordance with the proposal of the Board of Directors 5.B Vote against the proposal of the Board of Directors	s s	N	Non voting	Non voting
			5.C Ad-hoc	S		For	Against

			Industrial Goods and Services				
ABBN CH0012221716	ABB Ltd	4/25/2013		I	Yes		
			2.1 Approval of the annual report, the				
			consolidated financial statements, and			For	For
			the annual financial statements for 2012				
			2.2 Consultative vote on the 2012			For	For
			remuneration report			101	101
			3. Discharge of the board of directors				
			and the persons entrusted with management			For	For
			4. Appropriation of available earnings				
			and distribution of capital contribution reserve			For	For
			5. Renewal of authorized share capital			For	For
			6.1 Re-election to the board of directors:			For	For
			Roger Agnelli			101	101
			6.2 Re-election to the board of directors:			For	For
			Louis R. Hughes				
			6.3 Re-election to the board of directors: Hans Ulrich Maerki			For	For
			6.4 Re-election to the board of directors: Michel De Rosen			For	For
			6.5 Re-election to the board of directors: Michael Treschow			For	For
			6.6 Re-election to the board of directors: Jacob Wallenberg			For	For
			6.7 Re-election to the board of directors: Ying Yeh			For	For
			6.8 Re-election to the board of directors:			F	F
			Hubertus Von Gruenberg			For	For
			7. Re-election of the auditors / Ernst and			For	For
			Young AG			ror	FUI
			8. Ad hoc			Against	Against
	Bucher						
BUCN CH0002432174 1		4/11/2013		I	Yes		
		.,,	1.1 To approve the annual report and the				
			consolidated and statutory financial statements for 2012			For	For
			1.2 To acknowledge the 2012			For	For
			remuneration report			FUI	FOI
			2. To ratify the acts of the board of directors and group management for the			For	For
			2012 financial year			ror	ror
			3. Approve allocation of income and dividends of CHF 5.00 per share			For	For
			4.1 Re-election of the board of director: Mr Rolf Broglie			For	For
			4.2 Re-election of the board of director: Ms Anita Hauser			For	For
			5. Election of the auditors /			For	For
			PricewaterhouseCoopers AG, Zurich				
			6. Ad-hoc			Against	Against

BCHN CH0025536027	Burckhardt Compression Hldg	6/29/2013		I	Yes		
	Ü		 Welcome and opening statements Approval of the annual report 2012 	Non-Voting		For	For
			2.2 Approval of the compensation report			For	For
			3. Appropriation of retained earnings			For	For
			4. Discharge of the Board of Directors and the Executive Board			For	For
			5.1 Amendments to the Articles of Association: Renewal of authorized capital: article: 3a			For	For
			5.2 Amendments to the Articles of Association: Nominees Registration of voting rights in the Share Register: article: 6			For	For
			6.1 The Board of Directors proposes that Hans Hess, Swiss, member of the Board of Directors since 2006, be re-elected to the Board for the period of one year			For	For
			6.2 The Board of Directors proposes that Valentin Vogt, Swiss, member of the Board of Directors since 2002, be re-elected to the Board for the period of one year			For	For
			7. Appointment of the statutory auditors: PricewaterhouseCoopers AG			For	For
			8. In the case of ad-hoc/Miscellaneous shareholder motions proposed during the general meeting, I authorize my proxy to act as follows in accordance with the board of directors			Against	Against

A.F. C. V. O. V. O	Meyer Burger Technology				**		
MBTN CH0108503795	AG	4/25/2013	1 1 1 6 1 1 4 2012	I	Yes		
			1. Approval of the annual report 2012,				
			the annual financial statements 2012 and				10
			the consolidated financial statements			For	For
			2012, presentation of the reports of the auditors				
			2. Use of balance sheet profit			For	For
			3. Release of the members of the board			E	E
			of directors and management board			For	For
			4.1 Re-election of Rudolf Samuel Guedel				
			as a member of the board of directors			For	For
			for a period of three years				
			4.2 Re-election of Prof. Dr. Konrad				
			Wegener as a member of the board of			For	For
			directors for a period of three years			101	101
			4.3 Election of the auditors /				
			Pricewaterhousecoopers Ltd, Bern			For	For
			5. Election of the auditors /				
						For	For
			Pricewaterhousecoopers Ltd, Bern				**
			6. Ordinary capital increase			For	For
			7. Ad hoc			Against	Against

OC Oerlikon OERL CH0000816824 Corporation 4/30/2013

	I	Yes		
1.1 Approval of the annual report, the				
annual financial statements of OC			For	For
Oerlikon Corporation AG, Pfaeffikon and			101	101
the consolidated financial statements 2012				
1.2 Consultative vote on the remuneration			For	For
report 2012			FUI	roi
2.1 Allocation of the 2012 available			For	For
earnings			ror	ror
2.2 Distribution of dividend from reserves			F	E
from capital contributions			For	For
3. Discharge of the members of the board			T	I Z
of directors for the financial year 2012			For	For
4.1.1 Re-election of Tim Summers to the				
board of directors			For	For
4.1.2 Re-election of Kurt J. Hausheer to			T	I Z
the board of directors			For	For
4.1.3 Re-election of Gerhard Pegam to the				
board of directors			For	For
4.1.4 Re-election of Carl Stadelhofer to the				
board of directors			For	For
4.1.5 Re-election of Hans Ziegler to the				
board of directors			For	For
4.2 Election of Mikhail Lifshitz to the			_	_
board of directors			For	For
5. Re-election of the auditors KPMG AG,				
Zurich			For	For
6. Ad-Hoc			Against	Against
			-	O

		Schindler		
SCHP		Holding		
$\mathbf{V}\mathbf{X}$	CH0024638196	AG	3/26/2013	

Gallen

N/A I Yes 1.A Approval of the 85th annual report, the financial statements and the consolida-ted group financial statements 2012, and receipt of the reports of the statuto-ry auditors. The board of Non Voting Non Voting directors proposes that the general meeting approves-the annual report, the financial statements and the consolidated group financ-ial statements 1.B Compensation report 2012. The board of directors proposes that the Non Voting Non Voting general mee-ting acknowledges the compensation report 2. Appropriation of profits as per balance sheet. The board of directors proposes-that the general meeting Non Voting Non Voting approves the following appropriation of the 2012 pro-fits as per balance sheet 3. Discharge of the members of the board of directors and of the management. The-board of directors proposes that the general meeting grants discharge to all Non Voting Non Voting m-embers of the board of directors and of the management for the expired financi-al vear 2012 4.1.1 Election of new members of the board of directors. The board of directors prop-oses that the general meeting elects the following person as new member of the-board of directors for a term of office of 3 years until the annual general m-eeting 2016: Prof. Dr. Monika Butler, Zurich. Monika Butler, born in 1961, has-worked since 2008 as director of the institute for Empirical Economic Researc-h of the University of St. Gallen and since 2009 also as dean of the school Non Voting Non Voting of-economics and political science. Furthermore she has been full professor of e-conomics and public policy since 2004. Since 2010 she has been a member of the-bank council of the Swiss National Bank. Mrs. Butler graduated in mathematics-with a major in physics at the University of Zurich. After gaining practical- experience she obtained a Ph.D. in economics of the University of St.

4.1.2 Election of new members of the board of directors. The board of directors prop-oses that the general meeting elects the following person as new member of the-board of directors for a term of office of 3 years until the annual general m-eeting 2016: Anthony Nightingale, Hong Kong. Anthony Nightingale, born in 1947-, is a British citizen. In 1969 he joined the Jardine Matheson Group. From 200-6 to March 2012 he was its managing director (CEO). Currently Mr. Nightingale-has several directorships with Jardine Matheson Holdings, Jardine Cycle & Carr-iage, Jardine Strategic, **Dairy Farm International, Hong** Kong land und mandarin- oriental international. He is an advisor of academic partnerships internation-al and of Dickson concepts as well as a commissioner of Astra International. I-n Hong Kong Mr. Nightingale holds further offices and functions, e.g. as chair-man of the Hong Kong-APEC trade policy study group. He is a past chairman of t-he Hong Kong general chamber of commerce 4.1.3 Election of new members of the board of directors. The board of directors prop-oses that the general meeting elects the following person as new member of the-board of directors for a term of office of 3 years until the annual general m-eeting 2016: Carole Vischer, Hergiswil. Carole Vischer, born in 1971, graduate-d from the University of Basel with a Master of Law (lic. iur.) in 1996. Since-2002 she has managed the charitable foundation Dr. Robert und Lina Thyll- Durr-, Stansstad,, currently as its president. Since 2010, Mrs. Vischer has been a-member of the board of directors of Schindler Elevators Ltd., Ebikon. Mrs. Vis-cher is a member of the 5th generation of the family **Schindler-Bonnard** 4.2 Re-election of the statutory auditors for the financial year 2013. The board o-f directors proposes that the general meeting re-elects Ernst & Young Ltd., Ba-sel, as statutory auditors for the financial year 2013

Non Voting Non Voting

Non Voting Non Voting

Non Voting Non Voting

5.1 Capital reduction: Reduction of the share capital as a consequence of the repu-rchase program launched on 4 January 2010 and terminated as per 31 December 20-12 for a maximum of 10 % of the nominal capital, and of the registered shares-repurchased under this repurchase program, the board of directors proposes that the general meeting reduces the share capital of currently CHF 7144 005.60 b-y way of elimination of 552 411 treasury registered shares by CHF 55241.10 to-CHF 7088764.50, and confirms that according to the result of the report of the- auditors Ernst & Young Ltd. The claims of the creditors are fully covered des-pite the reduction of the share capital, and amends paragraph 1 of article 4 o-f the articles of association as follows (amendments in bold) the share capita-l amounts to CHF 7088764.50, it is divided into 70887645 fully paid-up registe-red shares with a par value of CHF 0.10 (10 cents) each 5.2 Capital reduction: Reduction of the participation capital as a consequence of-the Repurchase program launched on 4 January 2010 and terminated as per 31 Dec-ember 2012 for a maximum of 10 % of the nominal capital, and of the participat-ion certificates repurchased under this repurchase program, the board of direc-tors proposes that the general meeting reduces the participation capital of cu-rrently CHF 4689480. by way of elimination of 722891 treasury participation ce-rtificates by CHF 72 289.10 to CHF 4 617 190.90, and confirms that according t- o the result of the report of the auditors Ernst Young Ltd. The claims of the-creditors are fully covered despite the reduction of the participation capital-, and amends paragraph 1 of article 7 of the articles of association as follow-s (amendments in bold) the participation capital amounts to CHF 4617190.90. it-is divided into 46171909 fully paid-up bearer participation certificates with-a par value of CHF 0.10 (10 cents) each

Non Voting Non Voting

Non Voting Non Voting

SUN VX	CH0038388911	Sulzer AG	03/27/13		I	Yes		
		2 22-22 - 2 2		1.1 Annual report, annual accounts and	_			
				consolidated financial statements 2012				
				reports of the company s auditors the			For	For
				board of directors proposes that the annual report, the annual accounts and the			For	ror
				consolidated financial statements 2012 be				
				approved				
				1.2 Advisory vote on the compensation				
				report 2012 the board of directors				
				proposes to approve the compensation			For	For
				report 2012 according to pages 62, 68 of				
				the annual report 2. Appropriation of net profits the board of				
				directors proposes to distribute the total				
				balance of CHF 363,230,184, comprising				
				the net profits for the year 2012 of CHF				
				349,300,000 and retained profits of CHF				
				13,930,184, as follows dividend payment				
				CHF 109,639,584 allocation to free				
				reserves CHF 240,000,000 carried forward to new account CHF 13,590,600 if this			For	For
				proposal is approved, the gross dividend			101	101
				(before deduction of the Swiss withholding				
				tax of 35) will amount to CHF 3.20 per				
				share. Dividends will be paid out on				
				April 5, 2013. Any shares held by Sulzer				
				Ltd and its subsidiaries on the dividend				
				payment date shall not be eligible to dividends				
				3. Discharge the board of directors				
				proposes that discharge be granted to its			For	For
				members and the corporate executive			roi	FUI
				management for the business year 2012				
				4.1.1 To re-elect Messrs. Thomas			For	For
				Glanzmann for a further one-year term of office			roi	FUI
				4.1.2 To re-elect Vladimir V. Kuznetsov			E	T7
				for a further one-year term of office			For	For
				4.1.3 To re-elect Mrs. Jill Lee for a further			For	For
				one-year term of office				
				4.1.4 To re-elect Messrs. Marco Musetti for a further one-year term of office			For	For
				4.1.5 To re-elect Luciano Respini for a			_	_
				further one-year term of office			For	For
				4.1.6 To re-elect Klaus Sturany for a			For	For
				further one-year term of office			FUI	FUI
				4.2 Election of one new member, the board				
				of directors proposes to elect Mr. Manfred Wennemer for a one-year term as new			For	For
				member to the board				
				5. Election of auditors, the board of				
				directors proposes to elect KPMG Ltd for			For	For
				a one-year term as auditors for the			FUI	FUL
				designated legal duties			A 00:	A 000 4
				6. Ad Hoc			Against	Against

Insurance

	Zurich Insurance						
ZURN CH0011075394		4/4/2013		I	Yes		
	•		1.1 Approval of the annual report, the				
			annual financial statements and the			For	For
			consolidated financial statements for 2012				
			1.2 Advisory vote on the remuneration				
			system according to the remuneration			For	For
			report				
			2.1 Appropriation of available earnings for			For	For
			2012 2.2 Appropriation of reserves from capital				
			contributions			For	For
			3. Discharge of members of the board of				
			directors and of the group executive			For	For
			committee				
			4.1.1 Election of Ms. Monica Maechler as			For	For
			the board of director			roi	rui
			4.1.2 Re-election of Ms. Susan Bies as the			For	For
			board of director				
			4.1.3 Re-election of Mr. Victor L.L. Chu as the board of director			For	For
			4.1.4 Re-election of Mr. Rolf Watter as the				
			board of director			For	For
			4.2 Re-election of auditors				
			PricewaterhouseCoopers ltd, Zurich			For	For
			5. Additional and/or counter-proposals			Against	Against
	Swiss Life						
SLHN CH0014852781	Swiss Life Hld	4/23/2013		I	Yes		
SLHN CH0014852781		4/23/2013		I	Yes		
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial	I	Yes	Eor	For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of	I	Yes	For	For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements)	I	Yes		
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report	I	Yes	For	For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012	I	Yes		
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital	I	Yes	For	For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves	I	Yes	For For	For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board	I	Yes	For For	For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors	I	Yes	For For For	For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board	I	Yes	For For For	For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9	I	Yes	For For For	For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors:	I	Yes	For For For	For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri	I	Yes	For For For	For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli	I	Yes	For For For	For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker	I	Yes	For For For	For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker 5.3 Election to the Board of Directors:	I	Yes	For For For	For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker	I	Yes	For For For For For	For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker 5.3 Election to the Board of Directors: Frank W. Keuper	I	Yes	For For For For	For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker 5.3 Election to the Board of Directors: Frank W. Keuper 5.4 Election to the Board of Directors: Klaus Tschutscher 6. Election of the Statutory Auditor:	I	Yes	For For For For For For	For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker 5.3 Election to the Board of Directors: Frank W. Keuper 5.4 Election to the Board of Directors: Klaus Tschutscher 6. Election of the Statutory Auditor: PricewaterhouseCoopers Ltd	I		For	For For For For For For For
SLHN CH0014852781		4/23/2013	1.1 Annual Report 2012 (Review of Operations, Consolidated Financial Statements and Annual Financial Statements) 1.2 Compensation Report 2.1 Appropriation of profit 2012 2.2 Distribution out of the capital contribution reserves 3. Discharge of the members of the Board of Directors 4. Amendment of the Articles of Association relating to the increase in conditional capital: Article 4.9 5.1 Re-election to the Board of Directors: Peter Quadri 5.2 Election to the Board of Directors: Ueli Dietiker 5.3 Election to the Board of Directors: Frank W. Keuper 5.4 Election to the Board of Directors: Klaus Tschutscher 6. Election of the Statutory Auditor:	I		For For For For For For	For For For For

RIGN	CH0048265513	Transocean Ltd	5/17/2013
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1. Approval of the 2012 Annual Report, including the Consolidated Financial Statements of Transocean Ltd. for Fiscal Year 2012 and the Statutory Financial Statements of Transocean Ltd. for Fiscal Year 2012	I	For	For
2. Appropriation of the Available Earnings for Fiscal Year 2012	I	For	For
3.A Payment of a Distribution in Principle	I	For	For
3.B.1 The Board of Directors Distribution Proposal: The Board of Directors proposes that (A) CHF 1,595,054,382 of general legal reserves from capital contribution be released and allocated to dividend reserve from capital contributions (the Dividend Reserve), (B) a dividend in the amount of USD 2.24 per outstanding share of the Company be distributed out of, and limited at a maximum to the amount of, such Dividend Reserve and paid in installments at such times and at such record dates as shall be determined by the Board of Directors in its discretion, and (C) any amount of the Dividend Reserve remaining after payment of the final installment be automatically reallocated to general legal reserves from capital contribution. Dividend payments shall be made with respect to the outstanding share capital of the Company on the record date for the applicable installment, which amount will exclude any shares held by the Company or any of its direct or indirect subsidiaries. The Board of Directors proposed shareholder resolution is included in Annex A	I	Against	Against

Yes

3.B.2 PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: Icahn Group Distribution Proposal: The Icahn Group has requested the inclusion of the following item and proposal on the agenda of the 2013 annual general meeting: (A) CHF 2,110,000,000 shall be released from legal reserve, reserve from capital contributions, and such amount shall be allocated to free reserve, dividend reserve from capital contributions, and (B) a dividend in the amount of USD 4.00 per share of the Company be distributed out of such legal reserve, reserve from capital contributions and paid in four equal quarterly installments. Dividend payments shall be made with respect to the outstanding share capital of the Company on the record date for the applicable installment, which amount will exclude any shares held by the Company or any of its direct or indirect subsidiaries. The Icahn Group s proposed shareholder resolution is included in Annex B	S	For	Against
4. Re-adoption of the Authorized Share Capital	I	For	For
5. Shareholder proposal regarding the repeal of the Company s staggered board by amendment to Article 23 of the Company s Articles of Association	I	For	For
6.A Election of Frederico F. Curado as a Director	I	For	For
6.B Re-election of Steven L. Newman as a Director	I	For	For
6.C Re-election of Thomas W. Cason as a Director	I	For	For
6.D Re-election of Robert M. Sprague as a Director	I	N/A	N/A
6.E Re-election of J. Michael Talbert as a Director	I	N/A	N/A
6.F PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: Election of John J. Lipinski as a Director	S	Yes	Against
6.G PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: Election of Jose Maria Alapont as a Director	S	Yes	Against
6.H PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: Election of Samuel Merksamer as a Director	S	Yes	Against
7. Appointment of Ernst & Young LLP as the Company s Independent Registered Public Accounting Firm for Fiscal Year 2013 and Reelection of Ernst & Young Ltd, Zurich, as the Company s Auditor for a Further One-Year Term	I	For	For
8. Advisory Vote to Approve Named Executive Officer Compensation	I	For	For

		Weatherford International						
WFT C	H0038838394	Ltd	6/20/2013		I	Yes		
				1. Accept financial statements and statutory reports			For	For
				2. Approve discharge of board and senior management			Abstain	Abstain
				3.A Re-elect Bernard J. Duroc-Danner as director			Against	Against
				3.B Re-elect Nicholas F. Brady as director			Abstain	Abstain
				3.C Re-elect David J. Butters as director			Abstain	Abstain
				3.D Re-elect John D. Gass as director			Abstain	Abstain
				3.E Re-elect Francis S. Kalman as director			Abstain	Abstain
				3.F Re-elect William E. Macaulay as director			Abstain	Abstain
				3.G Re-elect Robert K. Moses, Jr. as director			Abstain	Abstain
				3.H Re-elect Guillermo Ortiz as director			Abstain	Abstain
				3.I Re-elect Emyr Jones Parry as director			Abstain	Abstain
				3.J Re-elect Robert A. Rayne as director			Abstain	Abstain
				4. Ratify the appointment of KPMG LLP as independent registered public accounting firm and elect KPMG AG as our Swiss statutory auditor			For	For
				5. Approve amendments to our articles of association to authorize issuable authorized capital in an amount equal to 18.22 percent of current stated capital and grant authority to the board of directors to issue shares from authorized share capital for the period from June 20, 2013 to June 20, 2015			For	For
				6. Advisory vote to ratify named executive officers compensation			For	For

Pharmaceuticals

Ι

Yes

NOVN CH0012005267 Novartis 2/22/2013

A.1 Approval of the Annual Report, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the Business Year 2012: Under this item, the Board of Directors proposes approval of the Annual Report the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the Business Year 2012 A.2 Discharge from Liability of the Members of the Board of Directors and the Executive Committee: Under this item, the

Board of Directors proposes discharge from liability of its members and those of the Executive Committee for the business year 2012

A.3 Appropriation of Available Earnings of Novartis AG and Declaration of Dividend: Under this item, the Board of Directors proposes to use the available earnings of Novartis AG of 2012 for the purpose of distributing a gross dividend of CHF 2.30 per share as follows This will result in a payout ratio of 65% of the Group s consolidated net income expressed in USD.(as specified) Payout ratio is calculated by converting into USD the proposed total gross dividend amount in CHF at the CHF-USD exchange rate of December 31, 2012 based on an estimated number of shares outstanding on dividend payment date and dividing it by the USD consolidated net income attributable to shareholders of Novartis AG based on the 2012 Novartis Group consolidated financial statements. No dividend will be declared on treasury shares held by Novartis AG and certain other treasury shares held by other

Group companies

For For

For For

For For

A.4 Consultative Vote on the		
Compensation System: Under this		
item, the Board of Directors		
proposes that the newly proposed	For	For
Compensation System of Novartis be		
endorsed (non-binding consultative		
vote)		
A.5.1 Election of Verena A. Briner,		
M.D: Under this item, the Board of		
Directors proposes the election of	For	For
Verena A. Briner, M.D., for a		
three-year term		
A.5.2 Election of Joerg Reinhardt,		
Ph.D: Under this item, the Board of		
Directors proposes the election of		
Joerg Reinhardt Ph.D., for a term of	For	For
office beginning on August 1, 2013		
and ending on the day of the Annual		
General Meeting in 2016		
A.5.3 Election of Charles L. Sawyers,		
M.D: Under this item, the Board of		
Directors proposes the election of	For	For
Charles L. Sawyers, M.D., for a		
three-year term		
A.5.4 Election of William T. Winters:		
Under this item, the Board of		
Directors proposes the election of	For	For
William T. Winters for a three-year		
term		
A.6 Appointment of the Auditor:		
Under this item, the Board of		
Directors proposes the re-election of	For	For
PricewaterhouseCoopers AG as		
auditor of Novartis AG for one year		
B If additional and/or		
counter-proposals are proposed at	Against	Against
the Annual General Meeting		

ROG CH0012032048 Roche Holding 5-Mar-13		I	Yes		N/A
	1.1 Accept Financial Statements and Statutory Reports			Non Voting	Non Voting
	1.2 Approve Remuneration Report			Non Voting	Non Voting
	2. Approve Discharge of Board and Senior Management			Non Voting	Non Voting
	3. Approve Allocation of Income and Dividends of CHF 7.35 per Share and Non-Voting Equity Security			Non Voting	Non Voting
	4.1 Re-elect Andreas Oeri as Director			Non Voting	Non Voting
	4.2 Re-elect Pius Baschera as Director			Non Voting	Non Voting
	4.3 Re-elect Paul Bulcke as Director			Non Voting	Non Voting
	4.4 Re-elect William Burns as Director			Non Voting	Non Voting
	4.5 Re-elect Christoph Franz as Director			Non Voting	Non Voting
	4.6 Re-elect De Anne Julius as Director			Non Voting	Non Voting
	4.7 Re-elect Arthur Levinson as Director			Non Voting	Non Voting
	4.8 Re-elect Peter Voser as Director			Non Voting	Non Voting
	4.9 Re-elect Beatrice Weder di Mauro as Director			Non Voting	Non Voting
	4.10 Elect Severin Schwan as Director			Non Voting	Non Voting
	5. Ratify KPMG Ltd. as Auditors			Non Voting	Non Voting

Retail

				Retail				
DUFN	СН0023405456	Dufry Group	4/30/2013		I	Yes		
				1. Approval of the Annual Report, the				
				Consolidated Financial Statements and the			For	For
				Annual Financial Statements for 2012				
				2. Appropriation of Available Earnings			For	For
				3. Discharge of the Board of Directors and the			For	For
				Persons entrusted with Management			FOI	FOI
				4. Amendment to Article 13 para. 1 of the			For	For
				Articles of Incorporation			I OI	FUI
				5.a Re-election of Mr. Jorge Born as Board of			For	For
				Directors			101	roi
				5.b Re-election of Mr. Luis Andres Holzer			For	For
				Neumann as Board of Directors			101	roi
				5.c Re-election of Mr. Jose Lucas Ferreira de			For	For
				Melo as Board of Directors			101	101
				5.d Re-election of Mr. Joaquin Moya-Angeler			For	For
				Cabrera as Board of Directors				
				5.e Election of Mr. Julian Diaz Gonzalez as			For	For
				Board of Directors				
				6. Election of the Auditors: The Board of				
				Directors proposes that Ernst & Young Ltd be			For	For
				elected as the Auditors for the fiscal year 2013				
				7.A Exercise the voting rights in accordance			For	For
				with the proposals of the Board of Directors				
				7.B Abstain from voting			Against	Against

Technology

TEMN CH0012453913 Tem	nenos Group 5/24/2013
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	I	Yes		
1. 2012 Annual Report, 2012 annual				
financial statements (including the				
compensation report), 2012			For	For
consolidated financial statements and				
the auditors reports				
2. Allocation of the available earnings			For	For
3. Distribution of General reserve from			For	For
capital contributions			FOI	101
4. Discharge of the members of the				
Board of Directors and Senior			For	For
Management				
5. Authorized Capital: Proposed new			For	For
article 3ter Para.1			FOI	101
6.1 Amendments of the Articles of				
Association: Deletion of Article 3bis			Abstain	Against
and Article 11 para.6				
6.2 Amendments of the Articles of				
Association: Amendment to Article 16			Abstain	Against
of the Articles of Association				
7.1 The Board of Directors proposes				
the re-election of Mr. Andreas				
Andreades as a member of the Board			For	For
of Directors for a new term of office of				
one (1) year				
7.2 The Board of Directors proposes				
the election of Mr. Erik Hansen as a			For	For
member of the Board of Directors for a			101	101
term of office of one (1) year\				
8. The Board of Directors proposes the				
re-election of PricewaterhouseCoopers			For	For
SA, Geneva, as Auditors for a new			101	101
term of office of one (1) year				
9. In the case of ad-hoc shareholder				
motions proposed during the general				
meeting, I authorize my proxy to act as			Against	Against
follows in accordance with the board				
of directors				

Personnal and households goods

Cie Financiere CFR CH0045039655 Richemont SA 5-Sep-12

I Yes

1.1The board of directors proposes that the general meeting, having taken note of the reports of the auditors, approve the		
consolidated financial statements of the	For	For
group, the financial statements of the		
company and the director s report for the		
business year ended 31 March 2012		
1.2The board of directors proposes that		
the 2012 compensation report as per pages	_	_
46 to 52 of the annual report and accounts	For	For
2012 be ratified (non- binding consultative		
vote)		
2.Approve allocation of income and	_	_
dividends of CHF 0.55 per A bearer share	For	For
and CHF 0.055 per B registered share		
3.Discharge of the board of directors	For	For
4.1 Re-election of the board of directors :	For	For
Johann Rupert	1 01	101
4.2 Re-election of the board of directors :	For	For
Dr Franco Cologni	101	101
4.3 Re-election of the board of directors :	For	For
Lord Douro	101	101
4.4 Re-election of the board of directors :	For	For
Yves-Andre Istel	roi	101
4.5 Re-election of the board of directors :	For	For
Richard Lepeu	FOI	roi
4.6 Re-election of the board of directors :	For	For
Ruggero Magnoni	FOI	roi
4.7 Re-election of the board of directors :	For	For
Josua Malherbe	I UI	I OI
4.8 Re-election of the board of directors :	For	For
Dr Frederick Mostert	I OI	1.01

4.9 Re-election of the board of directors :Simon Murray	For	For
4.10 Re-election of the board of	For	For
directors : Alain Dominique Perrin	101	roi
4.11 Re-election of the board of	For	For
directors : Guillaume Pictet	FUI	FOI
4.12 Re-election of the board of	For	For
directors : Norbert Platt	FUI	FOI
4.13 Re-election of the board of	For	For
directors : Alan Quasha	FOI	FOI
4.14 Re-election of the board of	For	For
directors : Maria Ramos	FOI	FOI
4.15 Re-election of the board of	For	For
directors : Lord Renwick of Clifton	FOI	FOI
4.16 Re-election of the board of	For	For
directors : Dominique Rochat	ror	ror
4.17 Re-election of the board of	For	For
directors : Jan Rupert	ror	ror
4.18 Re-election of the board of	For	For
directors : Gary Saage	ror	ror
4.19 Re-election of the board of	For	For
directors : Juergen Schrempp	ror	ror
4.20 Re-election of the board of	For	For
directors : Martha Wikstrom	ror	FOF
5. Election of the auditors /	T7	T
PricewaterhouseCoopers	For	For
6. Ad-hoc	Against	Against

UHRN CH0012255144	The Swatch group	5/29/2013		I	Yes		
			1. Annual report 2012, 2012 annual report of the board of directors. 2012 financial statements (balance sheet, income statement and notes) and 2012 consolidated financial statements. Statutory auditor s report .Approval of the reports and the financial statements			For	For
			2. Discharge of the board of directors			For	For
			3. Resolution for the appropriation of the net income			For	For
			4. Re-election to the board of directors (Esther Grether, Nayla Hayek, Georges N. Hayek, Ernst Tanner, Claude Nicollier and Jean-Pierre Roth)			For	For
			5. Nomination of the statutory auditors / PricewaterhouseCoopers Ltd			For	For
			6. In the case of ad-hoc shareholder motions proposed during the general meeting, I authorize my proxy to act as follows in accordance with the board of directors			Against	Against

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Registrant: The Swiss Helvetia Fund, Inc.

By: /s/ Rudolf Millisits Rudolf Millisits, Chief Executive Officer Date August 21, 2013