PUMA BIOTECHNOLOGY, INC. Form 8-K April 19, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

# FORM 8-K

# **CURRENT REPORT**

PURSUANT TO SECTION 13 OR 15(d) OF THE

**SECURITIES EXCHANGE ACT OF 1934** 

Date of Report (date of earliest event reported): April 16, 2012

# PUMA BIOTECHNOLOGY, INC.

(Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction of

000-52811 (Commission 77-0683487 (IRS Employer

incorporation) File Number) Identification No.)

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10880 Wilshire Boulevard, Suite 2150

Los Angeles, California 90024

(Address of principal executive offices) (Zip Code)

(424) 248-6500

(Registrant s telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### Item 8.01 Other Events.

On April 16, 2012, Puma Biotechnology, Inc. (the Company) announced that its common stock has been approved for quotation on the OTC Bulletin Board and the OTC Market Group s OTC Link quotation system under the ticker symbol PBYI, and the trading of the Company s common stock commenced on April 18, 2012.

The OTC Bulletin Board and the OTC Market Group s OTC Link quotation system are regulated quotation services that display real-time quotes, last sale prices and volume limitations in over-the-counter securities. Trading in shares quoted on the OTC Bulletin Board and the OTC Market Group s OTC Link quotation system is often thin and characterized by wide fluctuations in trading prices, due to many factors that may have little to do with the Company s operations or business prospects. As a result, there may be volatility in the market price of the shares of the Company s common stock for reasons unrelated to operating performance. Moreover, the OTC Bulletin Board and the OTC Market Group s OTC Link quotation system are not stock exchanges, and trading of securities on them is often more sporadic than the trading of securities listed on a quotation system like NASDAQ or a stock exchange like the New York Stock Exchange. Accordingly, stockholders may have difficulty reselling any of their shares.

The Company s press release is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated by reference herein.

#### Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press Release dated April 16, 2012

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#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 19, 2012

PUMA BIOTECHNOLOGY, INC.

By: /s/ Alan H. Auerbach Alan H. Auerbach

President and Chief Executive Officer

### EXHIBIT INDEX

Exhibit

No. Description

99.1 Press Release dated April 16, 2012