

SOUTHERN FIRST BANCSHARES INC  
 Form 4  
 November 18, 2015

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Dowling Michael D

2. Issuer Name and Ticker or Trading Symbol  
 SOUTHERN FIRST BANCSHARES INC [SFST]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 P.O. BOX 17465  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 11/18/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP, CFO

GREENVILLE, SC 29606  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
				(A) or (D)	Price					
Common Stock	11/18/2015		M	V	6,000	A	\$ 6.53	21,314	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Common Stock (right to buy)	\$ 6.53	11/18/2015		M	6,000	03/22/2012 03/22/2021	Common Stock	6,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Dowling Michael D P.O. BOX 17465 GREENVILLE, SC 29606			EVP, CFO	

## Signatures

Michael D. Dowling, /s/Julie A Fairchild,  
POA

11/18/2015

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. **FONT FACE="Times New Roman" SIZE="2">AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON**

**633,435**

10.

**CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\***

..

11.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

2.6%

12.

TYPE OF REPORTING PERSON\*

CO

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

SCHEDULE 13G

CUSIP No. 66986W 10 8

Page 5 of 15 Pages

1. NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

**SCOTT H. KIRK, M.D.**

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a)

(b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

U.S.A.

5. SOLE VOTING POWER

NUMBER OF  
SHARES

**189,676**

6. SHARED VOTING POWER

BENEFICIALLY

OWNED BY

**1,328,795**

7. SOLE DISPOSITIVE POWER

EACH

REPORTING

**189,676**

PERSON WITH

8. SHARED DISPOSITIVE POWER

**1,328,795**

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

**1,518,471**

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

Explanation of Responses:

**11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)**

**6.1%**

**12. TYPE OF REPORTING PERSON\***

**IN**

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

SCHEDULE 13G

CUSIP No. 66986W 10 8

Page 6 of 15 Pages

1. NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

**KENT A. KIRK, M.D.**

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a)

(b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

U.S.A.

5. SOLE VOTING POWER

NUMBER OF  
SHARES

**52,805**

6. SHARED VOTING POWER

BENEFICIALLY

OWNED BY

**1,433,617**

7. SOLE DISPOSITIVE POWER

EACH

REPORTING

**52,805**

PERSON WITH

8. SHARED DISPOSITIVE POWER

**1,433,617**

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

**1,486,422**

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

Explanation of Responses:

**11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)**

**6.0%**

**12. TYPE OF REPORTING PERSON\***

**IN**

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

**Item 1 (a) Name of Issuer:**

NovaMed, Inc.

**Item 1 (b) Address of Issuer's Principal Executive Offices:**

980 North Michigan Avenue

Suite 1620

Chicago, Illinois 60611

**Item 2 (a) Name of Person Filing:**

Scott Kirk Family LLC

Kent Kirk Family LLC

Kirk Eye Center, S.C.

Scott H. Kirk, M.D.

Kent A. Kirk, M.D.

**Item 2 (b) Address of Principal Business Office or, if None, Residence:**

Scott Kirk Family LLC

7427 Lake Street

River Forest, Illinois 60305

Kent Kirk Family LLC

7427 Lake Street

River Forest, Illinois 60305

Kirk Eye Center, S.C.

7427 Lake Street

River Forest, Illinois 60305

Scott H. Kirk, M.D.

7427 Lake Street

River Forest, Illinois 60305



Kent A. Kirk, M.D.

7427 Lake Street

River Forest, Illinois 60305

**Item 2 (c) Citizenship:**

Scott Kirk Family LLC:	Delaware
Kent Kirk Family LLC:	Delaware
Kirk Eye Center, S.C.:	Illinois
Scott H. Kirk, M.D.:	U.S.A.
Kent A. Kirk, M.D.:	U.S.A.

**Item 2 (d) Title of Class of Securities:**

Common Stock, par value \$.01 per share

**Item 2 (e) CUSIP Number:**

66986W 10 8

**Item 3. If this Statement is Filed Pursuant to Rule 13d-1(b) or 13d-2(b) or (c), Check Whether the Person Filing is a:**

Not Applicable.

**Item 4. Ownership:****(a) Amount Beneficially Owned:**

Scott Kirk Family LLC:	695,360 <sup>(1)</sup>
Kent Kirk Family LLC:	800,182 <sup>(2)</sup>
Kirk Eye Center, S.C.:	633,435 <sup>(3)</sup>
Scott H. Kirk, M.D.:	1,518,471 <sup>(4)</sup> <sup>(5)</sup>
Kent A. Kirk, M.D.:	1,486,422 <sup>(6)</sup>

**(b) Percent of Class:**

Scott Kirk Family LLC:	2.8% <sup>(1)</sup>
Kent Kirk Family LLC:	3.3% <sup>(2)</sup>
Kirk Eye Center, S.C.:	2.6% <sup>(3)</sup>
Scott H. Kirk, M.D.:	6.1% <sup>(4)</sup> <sup>(5)</sup>
Kent A. Kirk, M.D.:	6.0% <sup>(6)</sup>

**(c) Number of shares as to which person has:**

Scott Kirk Family LLC:

(i) sole power to vote or to direct the vote:

695,360 <sup>(1)</sup>

(ii) shared power to vote or to direct the vote:

-0-

(iii) sole power to dispose or to direct the disposition of:

695,360 <sup>(1)</sup>

(iv) shared power to dispose or to direct the disposition of:

-0-

Kent Kirk Family LLC:

(i) sole power to vote or to direct the vote:

800,182 <sup>(2)</sup>

(ii) shared power to vote or to direct the vote:

-0-

(iii) sole power to dispose or to direct the disposition of:

800,182 <sup>(2)</sup>

(iv) shared power to dispose or to direct the disposition of:

-0-

Kirk Eye Center, S.C.:

(i) sole power to vote or to direct the vote:

633,435 <sup>(3)</sup>

(ii) shared power to vote or to direct the vote:

-0-

(iii) sole power to dispose or to direct the disposition of:

633,435 <sup>(3)</sup>

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(iv) shared power to dispose or to direct the disposition of:

-0-

Scott H. Kirk, M.D.:

(i) sole power to vote or to direct the vote:

189,676 <sup>(5)</sup>

(ii) shared power to vote or to direct the vote:

1,328,795 <sup>(4)</sup>

(iii) sole power to dispose or to direct the disposition of:

189,676 <sup>(5)</sup>

(iv) shared power to dispose or to direct the disposition of:

1,328,795 <sup>(4)</sup>

Kent A. Kirk, M.D.:

(i) sole power to vote or to direct the vote:

52,805

(ii) shared power to vote or to direct the vote:

1,433,617 <sup>(6)</sup>

(iii) sole power to dispose or to direct the disposition of:

52,805

(iv) shared power to dispose or to direct the disposition of:

1,433,617 <sup>(6)</sup>

- (1) These shares were previously held by Kirk Family Limited Partnership prior to the limited partnership being dissolved and Scott Kirk Family LLC receiving its pro rata portion of the shares of common stock.
- (2) These shares were previously held by Kirk Family Limited Partnership prior to the limited partnership being dissolved and Kent Kirk Family LLC receiving its pro rata portion of the shares of common stock.
- (3) These shares were previously held by Kirk Family Limited Partnership prior to the limited partnership being dissolved and Kirk Eye Center, S.C. receiving its pro rata portion of the shares of common stock.
- (4) Dr. Scott Kirk is an officer, director and 50% shareholder of Kirk Eye Center, S.C. In addition, Dr. Scott Kirk is the manager and a member of Scott Kirk Family, LLC. In such capacities, Dr. Scott Kirk may be deemed to be the beneficial owner of the shares directly held by such entities.
- (5) Includes 3,250 restricted shares of common stock and 134,479 shares of common stock issuable upon exercise of options that are exercisable within 60 days of December 31, 2007.
- (6) Dr. Kent Kirk is an officer, director and 50% shareholder of Kirk Eye Center, S.C. In addition, Dr. Kent Kirk is the manager and a member of Kent Kirk Family, LLC. In such capacities, Dr. Kent Kirk may be deemed to be the beneficial owner of the shares directly held by such entities.

**Item 5. Ownership of Five Percent or less of a Class:**

Not Applicable.

**Item 6. Ownership of More than Five Percent on Behalf of Another Person:**

Not Applicable.

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company:**

Not Applicable.

**Item 8. Identification and Classification of Members of the Group:**

Explanation of Responses:

Not Applicable.

**Item 9. Notice of Dissolution of Group:**

Not Applicable.

**Item 10. Certification:**

Not Applicable.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2008

KIRK EYE CENTER, S.C.

By: /s/ SCOTT H. KIRK, M.D.

Its: President

CUSIP No. 66986W 10 8

Page 10 of 15 Pages

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2008

SCOTT KIRK FAMILY LLC

By: /s/ SCOTT H. KIRK, M.D.

Its: Manager

CUSIP No. 66986W 10 8

Page 11 of 15 Pages

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2008

KENT KIRK FAMILY LLC

By: /s/ KENT A. KIRK, M.D.

Its: Manager

CUSIP No. 66986W 10 8

Page 12 of 15 Pages

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2008

By: /s/ SCOTT H. KIRK, M.D.  
Scott H. Kirk, M.D.



CUSIP No. 66986W 10 8

Page 13 of 15 Pages

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2008

By: /s/ KENT A. KIRK, M.D.  
Kent A. Kirk, M.D.

Exhibit Index

1. Joint Filing Agreement dated February 14, 2008 by and among Scott Kirk Family LLC, Kent Kirk Family LLC, Kirk Eye Center, S.C., Scott H. Kirk, M.D., and Kent A. Kirk, M.D.

EXHIBIT 1

JOINT FILING

Scott Kirk Family LLC, Kent Kirk Family LLC, Kirk Eye Center, S.C., Scott H. Kirk, M.D. and Kent A. Kirk, M.D. agree that the Schedule 13G to which this Agreement is attached is being filed on behalf of each of Scott Kirk Family LLC, Kent Kirk Family LLC, Kirk Eye Center, S.C., Scott H. Kirk, M.D. and Kent A. Kirk, M.D.

Date: February 14, 2008

SCOTT KIRK FAMILY LLC

By: /s/ SCOTT H. KIRK, M.D.  
Its: Manager

KENT KIRK FAMILY LLC

By: /s/ KENT A. KIRK, M.D.  
Its: Manager

KIRK EYE CENTER, S.C.

By: /s/ SCOTT H. KIRK, M.D.  
Its: President

By: /s/ SCOTT H. KIRK, M.D.  
Scott H. Kirk, M.D.

By: /s/ KENT A. KIRK, M.D.  
Kent A. Kirk, M.D.