Edgar Filing: GLOBUS MEDICAL INC - Form 4

| GLOBUS MEDI | CAL INC | | | | | | | | | |
|--|--|--|---|---|---------------------------|---|--|--|---|--|
| Form 4 | | | | | | | | | | |
| January 27, 2014 | | | | | | | | OMB A | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | N OMB | 3235-0287 | | | |
| Check this box | | | | | | | | Number: Expires: | January 31, | |
| if no longer subject to | STATEN | TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | 2005 | |
| Section 16. | | SECURITIES | | | | | | Estimated burden hou | • | |
| Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | . 0.5 | | |
| (Print or Type Respon | nses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> RHOADS ANN D | | | 2. Issuer Name and Ticker or Trading Symbol | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | GLOBUS MEDICAL INC [GMEI | | | |) (Check all applicable) | | | | |
| (Last) (| (First) (| Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | | | | X Director 10% Owner | | | |
| VALLEY FORC CENTER, 2560 ARMISTEAD A | GENERAL | SS | 01/23/2 | - | | | Officer (giv below) | | ner (specify | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| AUDUBON, PA | 19403 | | | | | | Form filed by Person | More than One R | Reporting | |
| (City) (| (State) | (Zip) | Tab | le I - Non-I | Derivative | Securities A | cquired, Disposed | of, or Beneficia | ally Owned | |
| | ansaction Date th/Day/Year) | Execution any | Date, if | 3. Transaction Code (Instr. 8) Code V | Disposed (Instr. 3, 4 | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Reminder: Report on | a separate line | e for each cl | ass of sec | urities benef | ficially own | ed directly o | or indirectly. | | | |
| | | | | | Perso inform requir | ns who rest nation cont ed to respo ys a curren | spond to the colle ained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |
| | Tab | | | | | posed of, or convertible s | Beneficially Owner securities) | 1 | | |
| 1. Title of 2. | 3. Trar | saction Dat | e 3A. De | emed | 4. | 5. Numbe | r of 6. Date Exerc | isable and | 7. Title and Amount of | |

Conversion (Month/Day/Year) Execution Date, if TransactionDerivative

Derivative

Underlying Securities

Expiration Date

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | /Year) | (Instr. 3 and 4) | |
|--|---|------------|-------------------------|--------------------|---|---------------------|--------------------|--------------------------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy Class A Common Stock) | \$ 23.95 | 01/23/2014 | | A | 10,000 | <u>(1)</u> | 01/23/2024 | Class A Common Stock | 10,000 |
| Stock Option (Right to Buy Class A Common Stock) (2) | \$ 10.66 | | | | | <u>(3)</u> | 07/28/2021 | Class A Common Stock (2) | 15,384 |
| Stock Option (Right to Buy Class A Common Stock) | \$ 12.59 | | | | | <u>(4)</u> | 01/30/2023 | Class A Common Stock | 7,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| RHOADS ANN D VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403 | Х | | | | | |
| Signatures | | | | | | |
| /s/ David P. Creekman, Attorney-in-Fact | 01/27/2014 | | | | | |
| **Signature of Reporting Person | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options were granted on January 23, 2014, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on(1) January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Initially the stock option provided a right to buy the Company's Class C Common Stock. Upon the closing of the Company's initial public offering, all shares of the Company's Class C Common Stock automatically converted into shares of the Company's Class A Common Stock on a one-for-one basis. As a result, this stock option automatically converted into a right to buy the Company's Class A Common

(3) These options were granted on July 28, 2011, and vest over a three-year period with one-twelfth (1/12) of the options granted vesting on September 30, 2012, and the balance of the options granted vesting ratably on a quarterly basis over the following 11 quarters.

These options were granted on January 30, 2013, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on(4) January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. TD>4.5 Specimen receipt representing the Depositary Shares, each representing $1/100^{th}$ of a share of 7.375% Series C Cumulative Redeemable Preferred Stock, par value \$.01 per share, of the Registrant (included as part of Exhibit 4.1 above).