

ILLUMINA INC  
Form 4  
August 09, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ORPIN TRISTAN

(Last) (First) (Middle)  
9885 TOWNE CENTRE DRIVE  
(Street)

SAN DIEGO, CA 92121-1975

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction (Month/Day/Year)  
08/07/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr VP of Commercial Operations

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	08/07/2007	08/07/2007	M		1,667 (1)	A	\$ 4.64 5,690 D
Common Stock	08/07/2007	08/07/2007	M		6,333 (1)	A	\$ 7.9 12,023 D
Common Stock	08/07/2007	08/07/2007	S		8,000 (1)	D	\$ 47.37 4,023 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 4.64	08/07/2007	08/07/2007	M	1,667 <u>(1)</u>	12/02/2003 12/02/2012	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 7.9	08/07/2007	08/07/2007	M	6,333 <u>(1)</u>	02/07/2004 <sup>(2)</sup> 01/07/2014	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ORPIN TRISTAN 9885 TOWNE CENTRE DRIVE SAN DIEGO, CA 92121-1975			Sr VP of Commercial Operations	

## Signatures

By: Penny Tom For: Tristan B. Orpin  
08/08/2007

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10B5-1 plan.
- One -sixtieth (1/60) of the Shares subject to the Option become exercisable on the date indicated above, subject to Optionee's continuing to be a Service Provider on such date. An additional one -sixtieth (1/60) of the Shares subject to the Option shall become exercisable each full month thereafter, subject to Optionee's continuing to be a Service Provider on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ST IN SHARES

1. Identity of the issuer or the underlying issuer

of existing shares to which voting rights are attached:

Barclays plc

2 Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

X

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights

Other (please specify):

3. Full name of person(s) subject to the notification obligation:

The Capital Group Companies, Inc.

4. Full name of shareholder(s) (if different from 3.):

See Schedule A

5. Date of the transaction and date on which the threshold is crossed or reached:

16 April 2014

6. Date on which issuer notified:

17 April 2014

7. Threshold(s) that is/are crossed or reached:

Above 6%

8. Notified details:

A: Voting rights attached to shares

Class/type of shares	Situation previous to the triggering transaction	Resulting situation after the triggering transaction					
		Number of Voting Rights		Number of voting of shares rights			% of voting rights
if possible using the ISIN CODE	Number of Shares	Number of Voting Rights	Direct	Indirect	Number of voting	Direct	Indirect
Ordinary Shares (GB0031348658)	979,563,429	979,563,429			987,306,579		6.0237%
ADRs (US06738E2046)	487,636	1,950,544			1,950,260		0.0119%

B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial	Expiration/Exercise/	Number of voting	% of voting
-------------------	----------------------	------------------	-------------

Explanation of Responses:

Edgar Filing: ILLUMINA INC - Form 4

instrument	date	Conversion Period	rights that may be acquired if the instrument is exercised/ converted.	rights
------------	------	-------------------	---	--------

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments xv, xvi  
Resulting situation after the triggering transaction

Type of financial instrument	Exercise price	Expiration date	Exercise/ Conversion period	Number of voting rights instrument refers to	% of voting rights	Nominal	Delta
---------------------------------	-------------------	--------------------	-----------------------------------	---	--------------------	---------	-------

Total (A+B+C)

Number of voting rights	989,256,839	Percentage of voting rights	6.0356%
-------------------------	-------------	-----------------------------	---------

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable:

See Schedule A

Proxy Voting:

10. Name of the proxy holder:

11. Number of voting rights proxy holder will cease to hold:

12. Date on which proxy holder will cease to hold voting rights:

13. Additional information:

14. Contact name: Ines Watson - Barclays Corporate Secretariat

15. Contact telephone number: +44 (0) 20 7116 3882

As of 16 April 2014

Barclays plc

Number ofPercent of

Explanation of Responses:

Edgar Filing: ILLUMINA INC - Form 4

	Shares Outstanding	
The Capital Group Companies, Inc. ("CG") holdings	68,021,813	0.415%
Holdings by CG Management Companies and Funds:		
· Capital Guardian Trust Company	68,021,813	0.415%
· Capital International Limited	1,739,250	0.011%
· Capital International Sàrl	2,277,175	0.014%
· Capital International, Inc.	1,401,555	0.009%
· Capital Research and Management Company EuroPacific Growth Fund	915,817,046	5.588%