## Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

|  | RACLE-GRO                                      | CO   |   |  |                          |   |   |  |                        |  |
|--|--|--|---|--|--------------------------|---|---|--|------------------------|--|
| Form 4<br>March 12, 20   | 14   |  |   |  |                          |   |   |  |                        |  |
| FORM A   |  |  |   |  |                          |   | OMB APPROVAL  |  |                        |  |
|  | UNITE  | D STATES   | OMB<br>Number:  | 3235-0287  |                          |   |   |  |                        |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru-<br>1(b). | er <b>STAT</b><br>5.<br>Filed p<br>s Section 1 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |   |  |                          |   |   |  |                        |  |
| (Print or Type R   | esponses)                                      |  |   |  |                          |   |   |  |                        |  |
| LITTLEFIELD  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>SCOTTS MIRACLE-GRO CO<br>[SMG] |  |                          | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |   |  |                        |  |
|  | (First)<br>COTTS MIRA<br>, 14111 SCOT          |  | (Month/Da   | -  | insaction                |   | _X_ Director<br>Officer (give<br>below)   | titleOth<br>below)   | % Owner<br>er (specify |  |
|  | (Street)                                       | (Street) 4. If Amena<br>Filed(Month  |   |  | e Original               |   | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |  |                        |  |
| MARYSVIL   | LE, OH 4304                                    | 1  |   |  |                          |   | Form filed by M<br>Person   | Nore than One Ro   | eporting               |  |
| (City)   | (State)  | (Zip)  | Table   | e I - Non-Do                                     | erivative S              | ecurities Ac  | quired, Disposed o  | f, or Beneficial   | lly Owned              |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction I<br>(Month/Day/Ye              | ear) Executio<br>any   |   | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V | Disposed<br>(Instr. 3, 4 | (A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                        |  |
| Common<br>Shares   |  |  |   |  |                          |   | 2,981,291   | Ι  | HPLP (1)               |  |
| Reminder: Repo   | ort on a separate l                            | ine for each cl  | ass of secur  | ities benefic                                    | cially owne              | d directly or   | indirectly.   |  |                        |  |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivati<br>Securitic<br>Acquire<br>(A) or<br>Dispose<br>of (D)<br>(Instr. 3,<br>4, and 5 | Expiration<br>(Month/Da<br>ve<br>es<br>d<br>d | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                  | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |          |
|---|---|---|---|---------------------------------------|---|---|--|------------------|---|----------|
|   |   |   |   | Code V                                | (A) (D  | Date<br>Exercisable                           | Expiration<br>Date   | Title            | Amount<br>or<br>Number<br>of<br>Shares                              |          |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 03/10/2014                              |   | А                                     | 24  | (2)   | (2)  | Common<br>Shares | 24  | \$ 59.57 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 03/10/2014                              |   | А                                     | 18  | (2)   | (2)  | Common<br>Shares | 18  | \$ 59.57 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 03/10/2014                              |   | А                                     | 20  | (2)   | (2)  | Common<br>Shares | 20  | \$ 59.57 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 03/10/2014                              |   | А                                     | 20  | (2)   | (2)  | Common<br>Shares | 20  | \$ 59.57 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 03/10/2014                              |   | А                                     | 12  | (2)   | (2)  | Common<br>Shares | 12  | \$ 59.57 |

## Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| HAGEDORN KATHERINE LITTLEFIELD<br>C/O THE SCOTTS MIRACLE-GRO COMPANY<br>14111 SCOTTSLAWN ROAD<br>MARYSVILLE, OH 43041 | х             | Х         |         |       |  |  |
| Signatures  |               |           |         |       |  |  |
| Kathy L. Uttley as attorney-in-fact for Katherine H Littlefield   | 03/12/        | 2014      |         |       |  |  |
| **Signature of Reporting Person   |               |           | Dat     | e     |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether she is a beneficial owner of more than 10% of the common shares of the Issuer ("Common Shares"), to be the beneficial owner of the securities of

- the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the "Partnership"). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings she may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.
- (2) Additional deferred stock units received pursuant to dividend equivalents as a result of dividends paid with respect to the Common Shares underlying deferred stock units already held.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.