#### APOGEE ENTERPRISES, INC.

Form 4 May 01, 2014

# FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* PORTER JAMES S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

APOGEE ENTERPRISES, INC.

(Check all applicable)

[APOG]

04/29/2014

(Middle) (Last) (First)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

below)

Chief Financial Officer

4400 WEST 78TH STREET, SUITE

(Street)

520

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### MINNEAPOLIS, MN 55435

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                  |     |  |  |   |                |  |
|--------------------------------------|---|--|---|------------------|-----|--|--|---|----------------|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |                  |     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                |  |
| Common<br>Stock                      | 04/29/2014                              |  | Code V  | Amount 6,046 (3) | (D) | Price \$ 0   | (Instr. 3 and 4)<br>119,663 (1)                          | D   |                |  |
| Common<br>Stock                      | 04/29/2014                              |  | A   | 24,703<br>(4)    | A   | \$ 0   | 144,366  | D   |                |  |
| Common<br>Stock                      | 04/29/2014                              |  | F   | 9,946            | D   | \$<br>31.36  | 134,420  | D   |                |  |
| Common<br>Stock                      | 04/30/2014                              |  | F   | 1,163            | D   | \$<br>31.77  | 133,257  | D   |                |  |
| Common<br>Stock                      |   |  |   |                  |     |  | 1,568 (2)  | I   | 401(k)<br>Plan |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** 

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                 |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|---------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amoun<br>or<br>Numbe<br>of Shar |
| Performance<br>Share Units                          | \$ 0  | 04/29/2014                           |   | D                                       | 24,703  | <u>(4)</u>   | 04/29/2014         | Common<br>Stock   | 24,70                           |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PORTER JAMES S 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435

Chief Financial Officer

## **Signatures**

/s/ James S. 05/01/2014 Porter

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the ESPP as of 3/31/14 and shares of restricted stock granted under the Amended and Restated 2002 Omnibus Stock Incentive Plan and 2009 Stock Incentive Plan.
- Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under the Apogee 401(k) Retirement Plan per the Trustee's 3/31/14 statement. Shares of common stock are not directly allocated to the Plan participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. Participants acquire units in this fund.
- (3) Vest in three equal annual installments beginning on the first anniversary date of grant.

Reporting Owners 2

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24,703 represents the number of performance share units that vested on April 29, 2014, based on the three-year corporate financial(4) performance which provided for distribution of common stock equal to 0% to 200% of the financial performance target for the period ended March 1, 2014 as previously reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.