

QUAKER CHEMICAL CORP
Form 4
March 10, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Nieman Jan

(Last) (First) (Middle)

QUAKER CHEMICAL CORPORATION, ONE QUAKER PARK, 901 E. HECTOR STREET

(Street)

CONSHOHOCKEN, PA 19428-2380

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
QUAKER CHEMICAL CORP [KWR]

3. Date of Earliest Transaction (Month/Day/Year)
03/06/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
VP/G Leader-Grease, FP, Mining

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|---------------------|---|---|------------|---|--|-----------------------------------|--|
| | | | Code | V | Amount | (A) or (D) | | | | Price |
| Common Stock | 03/06/2014 | | M | | 997 | A | \$ 37.37 | 18,043 | D | Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 03/06/2014 | | S | | 997 | D | \$ 79.2421 | 17,046 | D | Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 03/06/2014 | | M | | 896 | A | \$ 38.13 | 17,942 | D | Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 03/06/2014 | | S | | 896 | D | \$ 79.2421 | 17,046 | D | Indirect Beneficial Ownership (Instr. 4) |

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(1)

| | | | | | | | | |
|--------------|------------|---|-----|---|---------------|--------|---|-----------|
| Common Stock | 03/06/2014 | M | 710 | A | \$ 58.26 | 17,756 | D | |
| Common Stock | 03/06/2014 | S | 710 | D | \$ 79.2421 | 17,046 | D | |
| Common Stock | | | | | | 74 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. F. Derivative Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 37.37 | 03/06/2014 | | M | 997 | (2) | 03/01/2018 | Common Stock | 997 |
| Employee Stock Option (right to buy) | \$ 38.13 | 03/06/2014 | | M | 896 | (3) | 03/06/2019 | Common Stock | 896 |
| Employee Stock Option (right to buy) | \$ 58.26 | 03/06/2014 | | M | 710 | (4) | 03/05/2020 | Common Stock | 710 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Nieman Jan QUAKER CHEMICAL CORPORATION ONE QUAKER PARK, 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380 | | | VP/G Leader-Grease, FP, Mining | |

Signatures

| | |
|--|------------|
| Irene M. Kisleiko, Attorney-in-Fact for Jan F. Nieman | 03/10/2014 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$79.00 to \$79.60, inclusive. The reporting person undertakes to provide to Quaker Chemical Corporation ("Quaker"), any security holder of Quaker, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
 - (2) The options under this grant vested in three annual installments: 998 options on March 1, 2012; 998 options on March 1, 2013; and 997 options on March 1, 2014.
 - (3) The options under this grant vest in three annual installments: 896 options on March 6, 2013; 896 options on March 6, 2014; and 895 options on March 6, 2015.
 - (4) The options under this grant vest in three equal annual installments commencing on March 5, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.