

DUNKIN' BRANDS GROUP, INC.

Form 3

November 06, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â VARUGHESE JOHN

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/30/2013

3. Issuer Name and Ticker or Trading Symbol

DUNKIN' BRANDS GROUP, INC. [DNKN]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP, Middle East,SE Asia,India

C/O DUNKIN' BRANDS
GROUP, INC.,Â 130 ROYALL
STREET

(Street)

CANTON,Â MAÂ 02021

(City)

(State)

(Zip)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Option to Purchase Common Stock	Â (1)	08/08/2017	Common Stock	1,094	\$ 4.94	D	Â
Option to Purchase Common Stock	Â (2)	06/24/2018	Common Stock	1,532	\$ 5.44	D	Â
Option to Purchase Common Stock	Â (3)	08/06/2020	Common Stock	5,472	\$ 5.03	D	Â
Option to Purchase Common Stock	Â (4)	12/21/2021	Common Stock	20,000	\$ 24.69	D	Â
Option to Purchase Common Stock	Â (5)	02/12/2023	Common Stock	20,000	\$ 37.26	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
VARUGHESE JOHN C/O DUNKIN' BRANDS GROUP, INC. 130 ROYALL STREET CANTON,Â MAÂ 02021	Â	Â	Â VP, Middle East,SE Asia,India		Â

Signatures

/s/ Ryan Schaffer, as attorney-in-fact for John Varughese 11/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vested in five equal annual installments that began on 08/08/2008.

(2) The option vested in five equal annual installments that began on 06/24/2009.

(3) The option vests in five equal annual installments that began on 08/06/2011.

(4) The option vests in four equal annual installments that began on 12/21/2012.

(5) The option vests in four equal annual installments beginning on 02/12/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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