### Edgar Filing: MACROGENICS INC - Form 3

**MACROGENICS INC** Form 3

October 09, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MACROGENICS INC [MGNX] A Koenig Scott (Month/Day/Year) 10/09/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MACROGENICS. (Check all applicable) INC., Â 9640 MEDICAL CENTER DRIVE \_X\_ Director 10% Owner (Street) \_X\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President and CEO \_X\_ Form filed by One Reporting Person ROCKVILLE, Â MDÂ 20850 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Common Stock 53,265 (1) D Owned jointly with reporting Common Stock I 641,209 (1) person's wife. By Scott Koenig Family I Common Stock 53,265 (1) Irrevocable Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable Expiration Date (Month/Day/Year)	e and	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable 1	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	07/07/2007(2)	01/06/2017	Common Stock	62,205 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	05/16/2008(2)	11/15/2017	Common Stock	79,898 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	05/16/2008(2)	11/15/2017	Common Stock	79,898 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	11,652 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	14,981 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	59,591 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	20,307 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/10/2010(2)	01/09/2020	Common Stock	5,493 <u>(1)</u>	\$ 0.94	D	Â
Stock Option (right to buy)	07/10/2010(2)	01/09/2020	Common Stock	2,497 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	09/14/2012(2)	03/13/2022	Common Stock	133,164 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/06/2013(2)	01/05/2023	Common Stock	53,265 (1)	\$ 1.5	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
Koenig Scott C/O MACROGENICS, INC. 9640 MEDICAL CENTER DRIVE	ÂΧ	Â	President and CEO	Â		
ROCKVII I E Â MDÂ 20850						

## **Signatures**

/s/ Lynn Cilinski, attorney-in-fact	10/09/2013	
**Signature of Reporting Person	Date	

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a 1-for-18.7739 reverse split of our common stock effected on September 26, 2013.
- (2) 12.5% of the shares underlying the option are exercisable beginning on the six month anniversary of the vesting start date, and an additional 6.25% of the shares underlying the option become exercisable on the first day of each three month anniversary thereafter.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.