Edgar Filing: BROWN ROGER H - Form 3

BROWN ROGER H

Form 3

January 24, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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response...

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BRIGHT HORIZONS FAMILY SOLUTIONS INC. **BROWN ROGER H** (Month/Day/Year) [BFAM] 01/24/2013 (First) (Last) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BRIGHT HORIZONS (Check all applicable) **FAMILY SOLUTIONS** INC, 200 TALCOTT AVENUE _X_ Director 10% Owner **SOUTH** Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person WATERTOWN. MAÂ 02472 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Common Stock Ι 34,712 By Roger H. Brown Trust Common Stock 177,103 Ι By Linda A. Mason Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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| 1. Title of Derivative Security (Instr. 4) | | Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
|--|---------------------|-------------------------------------|-----------------|--|------------------------|---|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | | |
| Option to Purchase Common Stock | 05/28/2008 | 02/19/2014 | Common Stock | 71,165 | \$ 2.56 | D | Â | |
| Option to Purchase Common Stock | 05/28/2008 | 02/19/2014 | Common Stock | 9,235 | \$ 4.93 | D | Â | |
| Option to Purchase Common Stock | (1) | 09/02/2018 | Common Stock | 8,622 | \$ 14.54 | D | Â | |
| Option to Purchase Common Stock | (1) | 09/02/2018 | Common Stock | 1,119 | \$ 12 | D | Â | |
| Option to Purchase Common Stock | 05/28/2008 | 02/19/2014 | Common Stock | 7,320 | \$ 2.56 | I | By Spouse | |
| Option to Purchase Common Stock | 05/28/2008 | 02/19/2014 | Common Stock | 950 | \$ 4.93 | I | By Spouse | |
| Option to Purchase Common Stock | (2) | 09/02/2018 | Common Stock | 28,684 | \$ 14.54 | I | By Spouse | |
| Option to Purchase Common Stock | (2) | 09/02/2018 | Common Stock | 3,722 | \$ 12 | I | By Spouse | |
| Option to Purchase Common Stock | 04/04/2012 | 04/04/2022 | Common Stock | 4,540 | \$ 14.54 | I | By Spouse | |
| Option to Purchase Common Stock | 04/04/2012 | 04/04/2022 | Common Stock | 589 | \$ 12 | I | By Spouse | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | |
|--|----------|---------------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| BROWN ROGER H C/O BRIGHT HORIZONS FAMILY SOLUTIONS INC 200 TALCOTT AVENUE SOUTH WATERTOWN, MA 02472 | ÂX | Â | Â | Â | |
| Signatures | | | | | |
| /s/ John Casagrande, attorney-in-fact for Roger Brown, Jr. | | 01/24/2013 | | | |
| **Signature of Reporting Person | | Date | | | |

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option to purchase 8,622 shares of common stock is vested as to 6,898 shares and the option to purchase 1,119 shares of common stock is vested as to 895 shares. The remaining portion of each option award is eligible to vest and become exercisable on May 28, 2013.
- The option to purchase 28,684 shares of common stock is vested as to 22,947 shares and the option to purchase 3,722 shares of common stock is vested as to 2,977 shares. The remaining portion of each option award is eligible to vest and become exercisable on May 28, 2013.

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Remarks:

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.