Edgar Filing: Shuster Lewis - Form 4

| Form 4 | | | | | | | | | | |
|--|---|----------------|--|----------------------------|--|--|--|---|--|--|
| June 26, 20 | | | | | | | OMB A | PPROVAL | | |
| FORM | /1 4 UNITED | STATES | | | AND EXCHANG D.C. 20549 | E COMMISSIO | | 3235-0287 | | |
| Check this box Washington, D.C. 20549 Check this box Statement of Changes in Beneficial Ownership of Section 16. Section 16. SECURITIES Form 4 or Section 16. | | | | | | | Expires: Estimated burden hou response | January 31 | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Shuster Lewis | | | 2. Issuer Name and Ticker or Trading Symbol COMPLETE GENOMICS INC [GNOM] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) (First) (Middle) C/O COMPLETE GENOMICS, INC., 2071 STIERLIN COURT | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/22/2012 | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| Fil | | | | endment, D onth/Day/Yea | ate Original r) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | IN VIEW, CA 94 | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tab | ole I - Non-l | Derivative Securities | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | Code (Instr. 8) | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | | | | | | |
| Reminder: Re | port on a separate line | e for each cla | ass of sec | urities bene | information cor required to resp | or indirectly. espond to the collent antained in this forn bond unless the fo ently valid OMB co | n are not rm | SEC 1474 (9-02) | | |
| | Tab | le II - Deriv | vative Sec | curities Acc | uired, Disposed of, o | r Beneficially Owned | 1 | | | |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount |
|-------------|------------|---------------------|--------------------|-----------|-----------------|-------------------------|----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onof Derivative | Expiration Date | Underlying Securitie |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | | (Instr. 3 and 4) | |
|---|---|------------|-------------------------|--------------------|---|------------------|--------------------|------------------|--------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amoun or Numbe of Shares |
| Restricted Stock Unit | <u>(1)</u> | 06/22/2012 | | А | 4,000 | (2) | (2) | Common Stock | 4,00 |
| Non-Qualified Stock Option (right to buy) | \$ 1.91 | 06/22/2012 | | А | 8,000 | <u>(3)</u> | 06/21/2022 | Common Stock | 8,00 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Mane / Marcos | Director | 10% Owner | Officer | Other | | | |
| Shuster Lewis C/O COMPLETE GENOMICS, INC. 2071 STIERLIN COURT MOUNTAIN VIEW, CA 94043 | X | | | | | | |
| Signatures | | | | | | | |
| /s/ Maureen Monahan, Attorney in Fact Shuster | | 06/26/2 | 2012 | | | | |
| **Signature of Reporting Person | | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock.

The restricted stock units vest in three successive and equal annual installments measured from June 22, 2012, subject to the reporting
 Person's continued employment or service relationship with the Issuer on each of the vesting dates. Such restricted stock units include a change of control provision such that all unvested awards will immediately vest and become exercisable in connection with a change of

change of control provision such that all unvested awards will immediately vest and become exercisable in connection with a change of control of Issuer.

(3) The shares subject to the option will vest in 36 successive and equal monthly installments measured from June 22, 2012, such that 100% of the shares subject to the option will be fully vested on the third anniversary of June 22, 2012, subject to the Reporting Person's continued employment or service relationship with the Issuer on each of the vesting dates. Such option includes a change of control

provision such that all unvested shares will immediately vest and become exercisable in connection with a change of control of Issuer. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.