#### COMPLETE GENOMICS INC

Form 4 April 04, 2012

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

COMPLETE GENOMICS INC

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

HOMAN ARTHUR W

(First) (Middle)

C/O COMPLETE GENOMICS. INC., 2071 STIERLIN COURT

(Street)

(State)

(Zip)

2. Transaction Date 2A. Deemed

MOUNTAIN VIEW, CA 94043

4. If Amendment, Date Original

Symbol

[GNOM]

(Month/Day/Year)

04/02/2012

Filed(Month/Day/Year)

3. Date of Earliest Transaction

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities 3. (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Following

5. Amount of

Securities

Owned

Beneficially

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

SVP & General Counsel

6. Ownership

Form: Direct

(Instr. 4)

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

(D) or Indirect Beneficial

Estimated average

burden hours per

1

### Edgar Filing: COMPLETE GENOMICS INC - Form 4

| Security (Instr. 3)         | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) Disposed of ( (Instr. 3, 4, ar 5) |   | f (D)   | D)  |                     | (Instr. 3 and 4)   |                 |                                  |
|-----------------------------|---|------------|-------------------------|---|---|---------|-----|---------------------|--------------------|-----------------|----------------------------------|
|                             |   |            |                         | Code  | V | (A)     | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Stock Option (Right to buy) | \$ 3.01   | 04/02/2012 |                         | A   |   | 100,000 |     | <u>(1)</u>          | 04/01/2022         | Common<br>Stock | 100,000                          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOMAN ARTHUR W C/O COMPLETE GENOMICS, INC. 2071 STIERLIN COURT MOUNTAIN VIEW, CA 94043

SVP & General Counsel

## **Signatures**

Arthur W Homan 04/04/2012

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Twenty-five percent (25%) of the Shares subject to the Option (rounded down to the next whole number of Shares) shall vest one year after the Vesting Commencement Date of March 19, 2012, and 1/48th of the Shares subject to the Option (rounded down to the next whole number of Shares) shall vest thereafter at each monthly anniversary of the Vesting Commencement Date, such that all of the Shares shall be vested on the forty-eighth (48th) anniversary of the Vesting Commencement Date, subject to the Reporting Person's continued employment or service relationship with the issuer on such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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