Faris Mark Form 3 April 28, 2009

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

response...

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Faris Mark

> (Last) (Middle)

> > (Street)

12425 RACE TRACK ROAD

(First)

Statement

(Month/Day/Year)

04/20/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SRI SURGICAL EXPRESS INC [STRC]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner \_X\_\_ Officer Other (give title below) (specify below) Int. Principal Financ. Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

TAMPA. FLÂ 33626

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of Shares

Security Direct (D) or Indirect (I) (Instr. 5)

1

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Employee Stock Option (right to buy)	(1)	03/05/2019	Common Stock	5,000	\$ 0.93	D	Â
Employee Stock Option (right to buy)	(2)	02/14/2017	Common Stock	25,000	\$ 4.6	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Faris Mark 12425 RACE TRACK ROAD TAMPA Â FLÂ 33626	Â	Â	Int. Principal Financ. Officer	Â		

## **Signatures**

Mark Faris 04/27/2009

\*\*Signature of Pate Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in five equal annual installments of 1,000 shares beginning on March 5, 2010.
- The option is exercisable in five equal annual installments of 5,000 shares. The first installment became exercisable on March 14, 2008, and the second installment became exercisable on March 14, 2009. The next three installments become exercisable on March 14, 2010,

(2) and the second installment became exercisable on March 14, 2009. The next three installments become exercisable on March 14, 2010 March 14, 2011 and March 14, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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