Edgar Filing: PAPADOPOULOS GREGORY M - Form 4

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Marbon 5: Section 16. OMB APPROVAL Marbon 5: Section 16. States represented by the probability from 4 or born 5 of gating atoms any continue. Section 17(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940, 1(b). States Public Vitility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940, 1(b). States Public Vitility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940, 1(b). States Public Vitility Holding Company Act of 1940, 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol SUM MICROSYSTEMS, INC. [JAVA] S. Relationship of Reporting Person(s) to Issuer (Last) (Finst) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) 4150 NETWORK CIRCLE 6. Individual or Joint/Group Filing(Check Paphicable Line) -X. Form filed by More Reporting Person Person 3. A. 4. Scurities Acquired (A) S. Amount on See Reporting Person 6. Individual or Joint/Group Filing(Check Paphicable Line) -X. Form filed by More Reporting Person Person 7. Nature of Nature of North/Day/Year) 1. Scurites Acquired (A) S. Amount on See Reporting Person 6. Northor Core Person Person 7. Nature of Northor Day/Year) (City or Security 2. Transaction Date 2. A. Deemed (Instr. 3) 3. A. 4. Scurities Acquired (A) S. Amount of Nore (Instr. 3) 6. Northor Day (A) A 7	PAPADOPO Form 4 August 01, 2	OULOS GREGOI 2008	RY M									
Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section any continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1. Name and Address of Reporting Person. (Print or Type Responses) 1. Name and Address of Reporting Person. (Print or Type Responses) 1. Name and Address of Reporting Person. (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 4150 NETWORK CIRCLE (Street) 4. If Amendment, Date Original Filed/Month/Day/Year) (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired (A) 5. Amount of Scurities Acquired (D) Scurities Acquired (D) Scurities Beneficially Owned (Instr. 3) (Month/Day/Year) (Instr. 8) (Month/Day/Year) (Instr. 4) (Month/Day/Year) (Instr. 4) (Month/Day/Year) (Instr. 4) (Month/Day/2ear) (Instr.	e e	ЛЛ								OMB AF	PPROVAL	
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Estimated average burden hours per response form 4 or Form 3 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). 1935 or Section 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol SUN MICROSYSTEMS, INC. [JAVA] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 4150 NETWORK CIRCLE 07/30/2008 EVP. R&D & CTO (City) (Stree) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group FilingtCheck Applicable Line) (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired (A) 5. Nonut of Security Form filed by More than One Reporting Person 6. Nonut of Securities Acquired (A) 7. Nature of Ownership Following or Indirect (Instr. 3) (City) (State) 2. Deemed any 3. 4. Securities Acquired (A) 5. Nonut of Security Form filed by More than One Reporting Person 6. Nonut of Security Form indirect (Instr. 4) 6. Nonut of Code (V Amount (D)		UNITED	STATES					NGE CO	OMMISSION			
subject to Section 16. SECURITIES Estimated average burden hours per response 0.5 Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section awy continue. 0.5 Sec Instruction PAPADOPOULOS GREGORY M 2. Issuer Name and Ticker or Trading Symbol SUN MICROSYSTEMS, INC. [JAVA] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 4150 NETWORK CIRCLE 07/30/2008 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, A) 5. Amount of Scurity (Month/Day/Year) 7. Nature of Security (Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, A) 5. Amount of Scurity (Month/Day/Year) 7. Nature of Code (Instr. 3, 4 and 5) (Common Stock 07/30/2008 A(2) 18,563 \$ 0.0007 114,185 D		aar.								Expires:		
Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). 1935 or Section 1940 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol SUN MICROSYSTEMS, INC. [JAVA] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 4150 NETWORK CIRCLE 07/30/2008 EVP, R&D & CTO Form filed by More than One Reporting Person 6. Individual or Joint/Group Filing(Check Applicable Line) - Network Below) (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired (A) 5. Anount of Security (Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) - Network filed by More than One Reporting Person - Form filed by More than	Section	16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								burden hours per		
1. Name and Address of Reporting Person. PAPADOPOULOS GREGORY M 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 4150 NETWORK CIRCLE 07/30/2008	Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									0.0		
PAPADOPOULOS GREGORY M Symbol Issuer Issuer Issuer SUN MICROSYSTEMS, INC. [JAVA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 4150 NETWORK CIRCLE 07/30/2008	(Print or Type]	Responses)										
$\begin{tabular}{ c c c c c c c } \hline $[JAVA]$ & $[Check all applicable]$ & $]						
4150 NETWORK CIRCLE (Month/Day/Year)									(Check all applicable)			
4150 NETWORK CIRCLE 07/30/2008 Delow) $EVP, R&D & CTO$ (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X_{-} Form filed by One Reporting Person $-$ Form filed by More than One Reporting Person SANTA CLARA, CA 95054 Table I - Non-Derivative Securities Acquired (A) 5. Amount of 6. 7. Nature of Indirect Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Indirect Beneficially Form: Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Instr. 4) Common Stock 07/30/2008 A(2) 18,563 A \$0,0007 114,185 D									X_ Officer (give title Other (specify			
Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person SANTA CLARA, CA 95054 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code 5. Amount of (Instr. 3, 4 and 5) 6. 7. Nature of Indirect 0wned Direct (D) Following Ownership (Instr. 4) Indirect Beneficially Owned Form: Beneficially Beneficially Ownership Following Ownership (Instr. 4) Common Stock 07/30/2008 A(2) 18,563 A \$ 0,0007 114,185 D					-				· · · · · · · · · · · · · · · · · · ·			
SANTA CLARA, CA 95054Table I - Non-Derivative Securities Acquired, Disposed of, Dispo					-				Applicable Line)			
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired (A) Transactionor Disposed of (D) 5. Amount of Securities 6. 7. Nature of Indirect (Instr. 3) any (Month/Day/Year) 3. 4. Securities Acquired (A) Transactionor Disposed of (D) 5. Amount of Securities 6. 7. Nature of Ownership (Instr. 3) any (Month/Day/Year) (Instr. 8) 3. 4. Securities Acquired (A) Transactionor Disposed of (D) 5. Amount of Securities 6. 7. Nature of Ownership (Instr. 4) (Month/Day/Year) (Instr. 8) 0.0007 0.0007 0.0007 (Instr. 4) Code V Amount (D) Price (Instr. 3 and 4) 0.0007 114,185 D Common Stock 07/30/2008 A(2) 18,563 A \$ 0.0007 114,185 D	SANTA CLARA, CA 95054								Form filed by More than One Reporting			
Security (Instr. 3) (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transactionor Disposed of (D) Code Securities (Instr. 3, 4 and 5) Ownership Beneficially Owned Indirect (Month/Day/Year) (Month/Day/Year) (Instr. 3, 4 and 5) Securities (Instr. 8) Ownership or Indirect Indirect (A) (Instr. 8) (Instr. 8) (Instr. 4) Owned Direct (D) Ownership or Indirect Code V Amount (D) Price Reported (I) Common Stock 07/30/2008 A \$ \$ 114,185 D	(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
Common Code V Amount (D) Price (Instr. 3 and 4) Common $A(2)$ 18,563 A 0.0007 114,185 D Common Common Common $A(2)$ 18,563 A 0.0007 114,185 D	Security	Security (Month/Day/Year) Execution Date, if (Instr. 3) any			Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				Securities Beneficially Owned Following Reported Transaction(s)	OwnershipInForm:BeDirect (D)Oror Indirect(In(I)Or	Indirect Beneficial Ownership	
Stock 07/30/2008 A(2) 18,563 A 0.0007 114,185 D	G				Code V	Amount			(Instr. 3 and 4)			
Common $D_{7/20/2009}$ $D_{3}^{(3)}$ 1.660 D_{5} \$10.62, 112,525 D_{5}		07/30/2008			A <u>(2)</u>	18,563	А		114,185	D		
Stock $07/30/2008$ $F^{(3)}_{(3)}$ 1,660 D \$ 10.63 112,525 D		07/30/2008			F <u>(3)</u>	1,660	D	\$ 10.63	112,525	D		
Common Stock $07/30/2008$ $A^{(4)}$ 88,500A $\stackrel{\$}{0.0007}$ $201,025 \frac{(5)}{5}$ D		07/30/2008			A <u>(4)</u>	88,500	А		201,025 <u>(5)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: PAPADOPOULOS GREGORY M - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 50.1252					<u>(1)</u>	04/20/2009	Common Stock	25,000
Employee Stock Option (Right to Buy)	\$ 160					(1)	04/12/2010	Common Stock	12,919
Employee Stock Option (Right to Buy)	\$ 74.32					(1)	04/18/2011	Common Stock	27,921
Employee Stock Option (Right to Buy)	\$ 50.36					<u>(1)</u>	11/07/2011	Common Stock	9,802
Employee Stock Option (Right to Buy)	\$ 50.36					<u>(1)</u>	11/07/2011	Common Stock	11,196
Employee Stock Option (Right to Buy)	\$ 36.56					<u>(1)</u>	03/19/2010	Common Stock	31

8. F Der Sec

(Ins

Edgar Filing: PAPADOPOULOS GREGORY M - Form 4

Employee Stock Option (Right to Buy)	\$ 25.8	<u>(1)</u>	05/02/2012	Common Stock	31,743
Employee Stock Option (Right to Buy)	\$ 14.8	(1)	07/25/2012	Common Stock	49,428
Employee Stock Option (Right to Buy)	\$ 15.4	(1)	07/23/2013	Common Stock	99,788
Employee Stock Option (Right to Buy)	\$ 15.16	<u>(1)</u>	07/29/2014	Common Stock	100,000
Employee Stock Option (Right to Buy)	\$ 15.4	<u>(1)</u>	07/28/2015	Common Stock	75,000
Employee Stock Option (Right to Buy)	\$ 17.04	<u>(1)</u>	07/27/2016	Common Stock	125,000
Employee Stock Option (Right to Buy)	\$ 20.4	<u>(1)</u>	07/31/2017	Common Stock	112,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
I g a a a a a a a a a a a a a a a a a a	Director	10% Owner	Officer	Other			
PAPADOPOULOS GREGORY M 4150 NETWORK CIRCLE SANTA CLARA, CA 95054			EVP, R&D & CTO				

Signatures

/s/ Craig D. Norris, Attorney-In-Fact

08/01/2008

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.
- (2) This restricted stock unit vests and becomes exercisable as to 25% on the Transaction Date and 25% on each of the second, third and fourth anniversaries of the date of grant.
- (3) This represents the surrender of shares to the issuer upon the vesting of time-based restricted stock units to satisfy tax withholding obligations.
- (4) This restricted stock unit vests and becomes exercisable in four equal annual installments beginning on the first anniversary of the date of grant.
- (5) This includes 2,063 shares of unvested restricted stock and 133,672 shares of unvested restricted stock units.

Remarks:

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.