

Edgar Filing: THERMAGE INC - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Employee Stock Option (right to buy) | Â (1) | 05/14/2012 | Common Stock | 834 (2) | \$ 0.45 | D | Â |
| Employee Stock Option (right to buy) | Â (3) | 04/03/2013 | Common Stock | 5,000 (4) | \$ 0.45 | D | Â |
| Employee Stock Option (right to buy) | Â (5) | 07/22/2013 | Common Stock | 34,167 (6) | \$ 0.7 | D | Â |
| Employee Stock Option (right to buy) | Â (7) | 11/20/2013 | Common Stock | 46,875 (8) | \$ 1.1 | D | Â |
| Employee Stock Option (right to buy) | Â (9) | 05/20/2014 | Common Stock | 20,000 | \$ 1.9 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Heigel Douglas W C/O THERMAGE, INC. 25881 INDUSTRIAL BOULEVARD HAYWARD, CA 94545 | Â | Â | Â VP Operations | Â |

Signatures

/s/ Douglas W. Heigel 11/09/2006

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and the shares become exercisable according to the following schedule: 1/4 on May 15, 2003 and 1/48 on the last day of each full calendar month thereafter.
- (2) The original option grant for 40,000 shares was partially exercised as follows: 10,000 shares on May 28, 2003, 5,833 shares on January 19, 2004, 1,667 shares on March 24, 2004 and 21,666 shares on May 2, 2006.
- (3) This option vests and the shares become exercisable according to the following schedule: 1/48 on May 31, 2003 and 1/48 on the last day of each full calendar month thereafter.
- (4) The original option grant for 20,000 was partially exercised as follows: 3,333 shares on January 19, 2004, 834 shares on March 24, 2004 and 10,833 shares on May 2, 2006.
- (5) This option vests and the shares become exercisable according to the following schedule: 1/48 on August 31, 2003 and 1/48 on the last day of each full calendar month thereafter.
- (6) The original option grant for 40,000 shares was partially exercised as follows: 4,167 on January 19, 2004 and 1,666 shares on March 24, 2004.
- (7)

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This option vests and the shares become exercisable according to the following schedule: 1/48 on December 31, 2003 and 1/48 on the last day of each full calendar month thereafter.

- (8) The original option grant for 50,000 shares was partially exercised as follows: 3,125 shares on March 24, 2004.
- (9) This option vests and the shares become exercisable according to the following schedule: 1/48 on June 30, 2004 and 1/48 on the last day of each full calendar month thereafter.

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Remarks:

TheÂ DateÂ ofÂ EventÂ isÂ basedÂ uponÂ theÂ dateÂ ofÂ effectivenessÂ ofÂ theÂ Issuer'sÂ RegistrationÂ StatementÂ o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.