#### DIXON STEPHEN T D

Form 4 June 09, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DIXON STEPHEN T D

2. Issuer Name and Ticker or Trading Symbol

Alphatec Holdings, Inc. [ATEC]

Issuer

5. Relationship of Reporting Person(s) to

(First) (Last)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable)

C/O ALPHATEC HOLDINGS. INC., 2051 PALOMAR AIRPORT

(Month/Day/Year) 06/07/2006

Director 10% Owner X\_ Officer (give title Other (specify below)

CFO, VP and Treasurer

**ROAD** 

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

CARLSBAD, CA 92011

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount Price (D)

Common Stock

(City)

06/07/2006

P \$9 2,222 Α 2,222

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: DIXON STEPHEN T D - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Acquired (ADisposed of	Derivative Securities E		6. Date Exercisable and Expiration Date (Month/Day/Year)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to buy)	\$ 17	06/07/2006		J <u>(1)</u> V	7	35,000	02/02/2007(2)	02/02/2016	Series A-1 Common Stock
Employee Stock Option (Right to buy)	\$ 4.76	06/07/2006		J <u>(1)</u> V	124,950		02/02/2007(2)	02/02/2016	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DIXON STEPHEN T D C/O ALPHATEC HOLDINGS, INC. 2051 PALOMAR AIRPORT ROAD CARLSBAD, CA 92011			CFO, VP and Treasurer			

# **Signatures**

/s/ Daren Graham, Attorney-in-fact 06/09/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to a reclasification exempt under Rule 16b-7, each option to purchase shares of Series A-1 Common Stock was reclassified as an option to purchase common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.
- (2) The option was granted on 2/2/06. The option vests over five years, with 1/5th of the option vesting on each anniversary of the grant date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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