Edgar Filing: COPPOLA EDWARD C - Form 4

COPPOLA E Form 4	EDWARD C									
January 03, 2	018									
FORM	4 UNITE	 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 								PROVAL 3235-0287
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATE 6.									January 31, Expires: 2005 Estimated average burden hours per response 0.5
(Print or Type R	lesponses)									
1. Name and A COPPOLA I	Symbol	•					5. Relationship of Reporting Person(s) to Issuer			
			MACERICH CO [MAC]					(Check all applicable)		
	(First) RICH COMPA BOULEVARI		3. Date of (Month/Da 06/21/20	-	insaction			X Director X Officer (giv below)		6 Owner er (specify
	(Street)			ndment, Dat h/Day/Year)	e Original			6. Individual or J Applicable Line) _X_ Form filed by		
SANTA MC	ONICA, CA 90	401						Form filed by Person	More than One Ro	eporting
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution any		3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) o l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	06/21/2017			G	500 <u>(1)</u>		\$ 0	$256,774 \underbrace{(2)}_{(4)} \underbrace{(3)}$	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owner Name / Address	Kelationships					
	Director	10% Owner	Officer	Other		
COPPOLA EDWARD C THE MACERICH COMPANY 401 WILSHIRE BOULEVARD, SUITE 700 SANTA MONICA, CA 90401	х		President			
Signatures						
Lisa Pena for EDWARD C. COPPOLA	01/03/2018					
**Signature of Reporting Person	Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This gift was made to three individuals.
- (2) 5,221 shares are also held indirectly by the reporting person through the Company's 401(k) Plan.

1,800 shares are also held by Mr. Coppola for his children. The reporting person disclaims beneficial ownership of all shares held by his (3) children and this report should not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or otherwise.

39,969 shares are also held by E.C. Coppola Limited Partnership. The reporting person disclaims beneficial ownership of all shares held (4) through this partnership for his wife and children and this report should not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or otherwise.

Represents units of limited partnership interest in The Macerich Partnership, L.P. (the "Partnership"), of which the Issuer is the general partner, issued as long term incentive compensation pursuant to the Issuer's equity based compensatory programs. Conditioned upon minimum allocations to the capital accounts of the LTIP Units for federal income tax purposes and time vesting, each LTIP Unit may be

- (5) converted into a common unit of limited partnership interest in the Partnership (a "Common Unit"). Each Common Unit acquired upon conversion of a LTIP Unit may be presented for redemption, at the election of the holder, for cash equal to the then fair market value of a share of the Issuer's common stock, except that the Issuer may, at its election, acquire each Common Unit so presented for one share of common stock. The rights to convert LTIP Units to Common Units and redeem Common Units do not have expiration dates.
- (6) LTIP Units vest one-third on December 31, 2018, one-third on December 31, 2019 and one-third on December 31, 2020.
- (7)

3. Transaction Date 3A. Deemed

Execution Date, if

(Month/Day/Year)

any

(Month/Day/Year)

LTIP Units (5)

1. Title of

Derivative

Security

(Instr. 3)

2

Conversion

or Exercise

Derivative

Price of

Security

01/01/2018

Reporting Owners

(5)

Edgar Filing: COPPOLA EDWARD C - Form 4

4.

Code

(Instr. 8)

Code V

Α

5. Number of

Acquired (A)

or Disposed of

Securities

(A)

13,702

Relationships

TransactionDerivative

(D) (Instr. 3, 4, and 5)

6. Date Exercisable and

Expiration Date

Date

(D)

Exercisable

(5)(6)

(Month/Day/Year)

7. Title and Amount of

Amount

Number

of Shares

13,702

or

Underlying Securities

(Instr. 3 and 4)

Common

Stock

Expiration Title

(5)

Date

8. Pr

Deri

Secu

(Inst

Reporting Owners

Edgar Filing: COPPOLA EDWARD C - Form 4

In addition, the reporting person holds the following limited partnership units in the Partnership, which are generally redeemable upon certain circumstances for an equal number of shares of The Macerich Company's common stock: 1,386,451 OP Units held directly by the reporting person and 155,952 OP Units held through a grantor trust for the benefit of his children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.