

OLD SECOND BANCORP INC  
Form 4  
January 04, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Eccher James

2. Issuer Name **and** Ticker or Trading  
Symbol  
OLD SECOND BANCORP INC  
[OSBC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
37 S. RIVER ST.  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/30/2016

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
CEO

AURORA, IL 60506

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)            | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Old Second<br>Bancorp,<br>Inc<br>Common<br>Stock | 12/30/2016                              |                                                             | A                                       | 11 A (3)                                                                   | 8,912 (4)                                                                                                          | I                                                                    | 401k<br>Savings<br>Plan                                           |
| Old Second<br>Bancorp,<br>Inc<br>Common<br>Stock | 12/30/2016                              |                                                             | A                                       | 2 A (3)                                                                    | 1,968 (2)                                                                                                          | I                                                                    | Profit<br>Sharing                                                 |
| Old Second<br>Bancorp,                           |                                         |                                                             |                                         |                                                                            | 176,462.251 (1)                                                                                                    | D                                                                    |                                                                   |

Inc.  
Common  
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. F<br>Der<br>Sec<br>(Instr. 3) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|----------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |                                  |
| Employee<br>Stock<br>Option                         | \$ 27.75                                                           |                                         |                                                             |                                      |                                                                                                                    | 12/18/2008                                                     | 12/18/2017         | Common<br>Stock                                                     | 20,000                              |                                  |
| Employee<br>Stock<br>Option                         | \$ 29.2                                                            |                                         |                                                             |                                      |                                                                                                                    | 12/19/2007                                                     | 12/19/2016         | Common<br>Stock                                                     | 12,000                              |                                  |

## Reporting Owners

| Reporting Owner Name / Address                      | Relationships |           |         |       |
|-----------------------------------------------------|---------------|-----------|---------|-------|
|                                                     | Director      | 10% Owner | Officer | Other |
| Eccher James<br>37 S. RIVER ST.<br>AURORA, IL 60506 | X             |           | CEO     |       |

## Signatures

/s/ James Eccher 12/30/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Included in this total are 148 shares held jointly with spouse; 63,910 shares held in a brokerage account; 17,275 shares held in Mr.

(1) Eccher's name alone, 129,251 shares are dividend equivalents in the form of restricted stock units and 95,000 shares of restricted stock units in the name of Mr. Eccher.

(2) Of this total, 2 shares were allocated during the fourth quarter pursuant to the Old Second Bancorp, Inc. Profit Sharing Plan & Trust. This information was provided herein by the Plan Trustee as of 12-30-16.

(3) Does not apply.

(4) Of this total, 11 shares were allocated during the fourth quarter pursuant to the Old Second's Employee Savings Plan & Trust. This information was provided herein by the Plan Trustee as of 12-30-16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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