

Doll Dennis W  
 Form 4  
 October 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Doll Dennis W

2. Issuer Name and Ticker or Trading Symbol

MIDDLESEX WATER CO [MSEX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

10/01/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President & CEO / Chairman

1500 RONSON ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

ISELIN, NJ 08830

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)            | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |   |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|---|
|  |                                      |  |                                | (A) or (D)  | Price   |  |  |   |
|  |                                      |  |                                | Code  | V   | Amount   |  |   |
| Common Stock (Restricted Stock Book Entry) | 10/01/2018                           |  | J                              | 9,042   | D   | \$ 47.15   | 49,849                                     | D |
| Common Stock - (Book Entry)                | 10/01/2018                           |  | J                              | 9,042   | A   | \$ 47.15   | 9,127                                      | D |
| Common Stock (DRP Certificate)             |                                      |  |                                |   |   |  | 300  | D |

Form)

Common  
Stock

(Shares held  
in street  
name)

40,092 D (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |                 |          |
|---|---------------|-----------|-----------------|----------|
|   | Director      | 10% Owner | Officer         | Other    |
| Doll Dennis W<br>1500 RONSON ROAD<br>ISELIN, NJ 08830 | X             |           | President & CEO | Chairman |

## Signatures

/Jay L. Kooper, Power of Attorney for Dennis W.  
Doll

10/02/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: Doll Dennis W - Form 4

### (1) Joint Account with Spouse Barbara A. Doll

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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