# Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 5

#### GENERAL AMERICAN INVESTORS CO INC

Form 5

February 14, 2014

February 14	4, 2014											
FORM	<b>4</b> 5							OMB	APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362			
no longe	nis box if or subject	W	ashington,	D.C. 205	49			Expires:	January 31, 2005			
to Section Form 4 of 5 obligate may con See Instr	or Form ANI tions tinue.		CATEMENT OF CHANGES IN BENE OWNERSHIP OF SECURITIES					Estimated burden he response	d average ours per			
1(b).	Filed pu Holdings Section 17	rsuant to Section (a) of the Public 30(h) of the	Utility Hold	ing Com	pany	Act of 1		on				
	Address of Reporting JL ARTHUR G J	R Symbol GENE	2. Issuer Name <b>and</b> Ticker or Trading Symbol GENERAL AMERICAN				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
			INVESTORS CO INC [GAM]				(Check an applicable)					
(Last)	(First)	,	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)					X_ Director 10% Owner Officer (give title Other (specify below) below)				
	RBROOK EMENT CORP,Â REET, SUITE 250	122 E.	2013									
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting  (check applicable line)					
							(CHC)	ск аррпсавіс пі	iic)			
NEW YOR	RK, NY 10168	3				_	X_ Form Filed by Form Filed by erson					
(City)	(State)	(Zip) Ta	ble I - Non-De	erivative S	ecurit	ties Acqui	red, Disposed o	f, or Benefici	ially Owned			
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed 3. 4. Securities Acquired (hth/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) (any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)		d of (D)	of Securities Beneficially Owned at end of Issuer's	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	(Instr. 4)				
Common Stock	12/30/2013	Â	J <u>(1)</u>	1,489	A	\$ 34.475	25,949	I (5)	Trust Beneficiary			
Common Stock	Â	Â	Â	Â	Â	Â	123,033	I (2)	TTEE & Beneficiary			
Common Stock	Â	Â	Â	Â	Â	Â	73,007	I (3)	Director is a Trustee			

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Common Stock	Â	Â	Â	Â	Â	Â	2,902	I (6)	Director has POA for owner
Common Stock	Â	Â	Â	Â	Â	Â	142,165	I (4)	Director is a Director
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	66,400	I (2)	TTEE & Beneficiary
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	4,000	I (3)	Director is a Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

> of D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amoun	t of	Derivative
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)
		Derivative				Securities			(Instr. 3	3 and 4)	
		Security				Acquired					
		·				(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
							Date	Expiration		or	
						•	Date	of	Number		
						(A) (D)				Shares	

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

ALTSCHUL ARTHUR G JR

C/O OVERBROOK MANAGEMENT CORP 122 E. 42ND STREET, SUITE 2500

NEW YORK, NYÂ 10168

**Signatures** 

Arthur G. 02/14/2014 Altschul, Jr.

\*\*Signature of Date Reporting Person

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received as dividends.
- (2) Director is a trustee and beneficiary of various family trusts.
- (3) Director is the trustee of various trusts. He disclaims any beneficial interest in these shares.
- (4) Director is a director of a private charitable foundation. He disclaims any beneficial interest in these shares.
- (5) The director is the beneficiary of a trust.
- (6) The director has POA for his mother.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.