### Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 5

#### GENERAL AMERICAN INVESTORS CO INC

Form 5

January 29, 2010

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer TRUST FBO ARTHUR G Symbol ALTSCHUL JR UAD 11/20/64 **GENERAL AMERICAN** (Check all applicable) **INVESTORS CO INC [GAM]** 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) Director 10% Owner Officer (give title \_X\_ Other (specify (Month/Day/Year) below) below) 12/31/2009 TTEE/Beneficiary/GAM Director 122 42ND STREET STE 2500, C/O OVERBROOK MANAGEMENT CORP (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, NYÂ 10168-2500 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction Security (Month/Day/Year) Execution Date, if (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

12/28/2009

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Common

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Price

22.86

(Instr. 3 and

70,722

D

4)

(A)

or

(D)

Amount

1,066

J(1)

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and | 7. Titl | e and      | 8. Price of |
|--|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|------------|---------|------------|-------------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration Da | ate        | Amou    | nt of      | Derivative  |
|  | Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)      | Under   | lying      | Security    |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             | Securi     | ities   | (Instr. 5) |             |
|  |             | Derivative  |                     |                    |             | Securities |               |            | (Instr. | 3 and 4)   |             |
|  |             | Security    |                     |                    |             | Acquired   |               |            |         |            |             |
|  |             |             |                     |                    |             | (A) or     |               |            |         |            |             |
|  |             |             |                     |                    |             | Disposed   |               |            |         |            |             |
|  |             |             |                     |                    |             | of (D)     |               |            |         |            |             |
|  |             |             |                     |                    |             | (Instr. 3, |               |            |         |            |             |
|  |             |             |                     |                    |             | 4, and 5)  |               |            |         |            |             |
|  |             |             |                     |                    |             |            |               |            |         | Amount     |             |
|  |             |             |                     |                    |             |            |               |            |         | or         |             |
|  |             |             |                     |                    |             | Date       | Expiration    | Title      | Number  |            |             |
|  |             |             |                     |                    |             |            | Exercisable   | Date       | Title   | of         |             |
|  |             |             |                     |                    |             | (A) (D)    |               |            |         | Shares     |             |
|  |             |             |                     |                    |             | (II)       |               |            |         | Dilaics    |             |

of D

# **Reporting Owners**

|  | Relationships |              |         |                                  |  |  |  |
|--|---------------|--------------|---------|----------------------------------|--|--|--|
| Reporting Owner Name / Address   | Director      | 10%<br>Owner | Officer | Other                            |  |  |  |
| TRUST FBO ARTHUR G ALTSCHUL JR UAD 11/20/64 122 42ND STREET STE 2500 C/O OVERBROOK MANAGEMENT CORP NEW YORK, NY 10168-2500 | Â             | Â            | Â       | TTEE/Beneficiary/GAM<br>Director |  |  |  |

## **Signatures**

Arthur G. Altschul, Jr. as
Trustee 01/29/2010

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in payment of dividends.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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