

Edgar Filing: Himax Technologies, Inc. - Form SC 13G

Himax Technologies, Inc.
Form SC 13G
February 14, 2012

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
Under the Securities Exchange Act of 1934

Himax Technologies, Inc.
(Name of Issuer)

ADR
(Title of Class of Securities)

43289P106
(CUSIP Number)

December 31, 2011
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 43289P106

1. Names of Reporting Persons.

Dalton Investments LLC

2. Check the Appropriate Box if a Member Of a Group

☐ (a)
☒ (b)

3. SEC Use Only

4. Citizenship or Place of Organization

California, United States

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Number of Shares 5. Sole Voting Power: 0
Beneficially Owned by 6. Shared Voting Power: 9,825,187
Each Reporting Person With: 7. Sole Dispositive Power: 0
8. Shared Dispositive Power: 9,825,187
9. Aggregate Amount Beneficially Owned by Each Reporting Person
9,825,187
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares
11. Percent of Class Represented by Amount in Row (9)
5.55%
12. Type of Reporting Person
IA

CUSIP No. 43289P106

1. Names of Reporting Persons.
James B. Rosenwald III
2. Check the Appropriate Box if a Member Of a Group
[] (a)
[X] (b)
3. SEC Use Only
4. Citizenship or Place of Organization
United States
Number of Shares 5. Sole Voting Power: 0
Beneficially Owned by 6. Shared Voting Power: 9,825,187
Each Reporting Person With: 7. Sole Dispositive Power: 0
8. Shared Dispositive Power: 9,825,187
9. Aggregate Amount Beneficially Owned by Each Reporting Person
9,825,187
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares
11. Percent of Class Represented by Amount in Row (9)
5.55%
12. Type of Reporting Person

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IN

Item 1. (a) Name of Issuer:

Himax Technologies, Inc.

(b) Address of Issuer's Principal Executive Offices:

No.26, Zih Lian Road, Fonghua Village,
Sinshih Township, Tainan County 744
Taiwan, Republic of China

Item 2. (a) Name of Person Filing:

This statement is filed by:

i) Dalton Investments LLC, a registered investment adviser,
with respect to the shares directly owned by it.

ii) James B. Rosenwald III, Managing Member of Dalton Investments LLC,
with respect to the shares directly owned by Dalton Investments LLC.

(b) Address of Principal Business Office, or, if None, Residence:

1601 Cloverfield Boulevard, Suite 5050 N
Santa Monica, California 90404

(c) Citizenship:

Please refer to Item 4 on each cover sheet for each Reporting
Person

(d) Title of Class of Securities:
ADR

(e) CUSIP No.: 43289P106

Item 3. If This Statement is Filed Pursuant to Rules 13d-1(b), or 13d-2(b) or
(c), Check Whether the Person Filing is a:

- (a) ☐ Broker or dealer registered under Section 15 of the Act.
- (b) ☐ Bank as defined in Section 3(a)(6) of the Act.
- (c) ☐ Insurance company as defined in Section 3(a)(19) of the Act.
- (d) ☐ Investment company registered under Section 8 of the
Investment Company Act of 1940.
- (e) ☒ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with
Rule 13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with
Rule 13d-1(b)(1)(ii)(G);
- (h) ☐ A savings associations as defined in Section 3(b) of the
Federal Deposit Insurance Act (12 U.S.C. 1813);

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- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940;
- (j) ☐ A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J);
- (k) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(K).

Item 4. Ownership

Please see Item 5-9 and 11 on each cover sheet for each Reporting Person.

Item 5. Ownership of Five Percent or Less of a Class

Not Applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person

Not Applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of Group

Not Applicable

Item 10. Certifications

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 14, 2012

Dalton Investments LLC

By: /s/ James B. Rosenwald III

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Name: James B. Rosenwald III
Title: Managing Member

James B. Rosenwald III

By: /s/ James B. Rosenwald III