MacGowan William N Form 4 February 26, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MacGowan William N

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

**NEWMONT MINING CORP / DE/** 

(Check all applicable)

[NEM]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title below)

02/22/2018

EVP, Human Resources

6363 SOUTH FIDDLERS GREEN **CIRCLE** 

(Street)

(0, , )

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**GREENWOOD** VILLAGE, CO 80111

| (City)                                  | (State)                                 | (Zip) Tabl  | le I - Non-I                           | Derivative                            | Secu  | rities Acqu  | ired, Disposed of  | , or Beneficiall   | y Owned   |
|---|---|---|--|---------------------------------------|-------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>or(A) or D<br>(Instr. 3, | spose | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock,<br>\$1.60 par<br>value | 02/22/2018                              |   | F                                      | 2,611<br>(1)                          | D     | \$<br>38.155 | 119,567  | D  |   |
| Common<br>Stock,<br>\$1.60 par<br>value |   |   |  |                                       |       |              | 1,028 (2)  | I  | By 401(k)<br>Plan   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: MacGowan William N - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) |                      | 4.                 | 5.<br>onNumber | 6. Date Exerc<br>Expiration D |                    | 7. Titl         |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|---|--------------------------------------|----------------------|--------------------|----------------|-------------------------------|--------------------|-----------------|--|------------------------|---|
| Security (Instr. 3)    | or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Tear)                     | any (Month/Day/Year) | Code<br>(Instr. 8) | of             | (Month/Day/                   |                    | Under<br>Securi | rlying                                 | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |   |                                      |                      | Code V             | (A) (D)        | Date<br>Exercisable           | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Director 10% Owner Other

MacGowan William N EVP. 6363 SOUTH FIDDLERS GREEN CIRCLE Human GREENWOOD VILLAGE, CO 80111 Resources

**Signatures** 

Logan H. Hennessey, as attorney-in-fact for William N. 02/26/2018 MacGowan

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 5,810 stock-settled restricted stock units.

The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of January 31, 2018, the reporting person held 1,028 shares or 3,785 units of Newmont Mining common stock in his 401(k) Plan. Fluctuation in share estimate since the filing of the last Form 4 reflects changes in the stock price rather than investments or dispositions of units within the stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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