NEWMONT MINING CORP /DE/

Form 4

March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person *

Engel E Randall

(First) (Middle)

6363 SOUTH FIDDLERS GREEN **CIRCLE**

(Street)

(State)

(Zip)

GREENWOOD VILLAGE, CO 80111 2. Issuer Name and Ticker or Trading

Symbol

NEWMONT MINING CORP / DE/ [NEM]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year) 02/26/2016

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner Other (specify X_ Officer (give title below)

EVP, Strategic Development

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non-Derivative Securities Acquired Disposed of or Reneficially Ov

(City)	(State)	Tab	ole I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3,	(A)	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1.60 par value	02/26/2016		Code V	Amount 1,504 (1)	(D)	Price \$ 25.6775	214,141	D	
Common Stock, \$1.60 par value	02/29/2016		A	21,138	A	\$ 0	235,279	D	
Common Stock,	02/29/2016		F	7,190 (2)	D	\$ 26.015	228,089	D	

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\$1.60 par value Common

Stock. \$1.60 par value

 $4,090^{(3)}$

Ι

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3.
Derivative	Conversion	(M
Security	or Exercise	
(Instr. 3)	Price of	
	Derivative	
	Security	

Transaction Date 3A. Deemed Month/Day/Year) Execution Date, if (Month/Day/Year)

5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired

(A) or

of (D)

Disposed

(Instr. 3, 4, and 5)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

9. Nu Deriv Secu Bene Own Follo Repo

Trans (Insti

Amount or Expiration Title Number

of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Date Exercisable

10% Owner Director

Code V (A) (D)

Officer

Other

Engel E Randall 6363 SOUTH FIDDLERS GREEN CIRCLE GREENWOOD VILLAGE, CO 80111

EVP, Strategic Development

Date

Signatures

Logan H. Hennessey, as attorney-in-fact for E. Randall Engel

03/01/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 4,702 stock-settled restricted stock units.
- (2) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 21,138 stock-settled restricted stock units.
 - The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of February 29, 2016, the reporting person held 4,090 shares or 14,964 units of Newmont Mining common stock in his 401(k) Plan.
- Fluctuation in share estimate since the filing of the last Form 4 reflects changes in the stock price rather than investments or dispositions of units within the stock fund.

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