### Edgar Filing: BLONDER TONGUE LABORATORIES INC - Form 4

#### **BLONDER TONGUE LABORATORIES INC**

Form 4 April 05, 2017

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **WILLIAMS JAMES F** 

2. Issuer Name and Ticker or Trading

Symbol

**BLONDER TONGUE** LABORATORIES INC [BDR] 5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

Number:

Expires:

response...

3235-0287

January 31,

2005

0.5

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 04/04/2017

\_X\_\_ Director 10% Owner Officer (give title Other (specify

C/O BLONDER TONGUE LABORATORIES INC, ONE JAKE

**BROWN ROAD** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

OLD BRIDGE, NJ 08857

(City)	(State)	Zip) Table	I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) Execution Date any (Month/Day/Ye		3. Transaction Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, par value \$0.001	04/04/2017		A(1)	10,000	A	\$ 0	189,747	D	
Common Stock, par value \$0.001	04/04/2017		A(2)	18,273	A	\$ 0.55	208,020	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative Security		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (3)	\$ 0.55 (4)	04/04/2017		A	10,000	<u>(5)</u>	04/04/2027	Common Stock par value \$0.001	

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

WILLIAMS JAMES F

C/O BLONDER TONGUE LABORATORIES INC

C/O BLONDER TONGUE LABORATORIES INC ONE JAKE BROWN ROAD OLD BRIDGE, NJ 08857

X

# **Signatures**

/s/ James F. Williams by Eric Skolnik Under Power of Attorney

04/05/2017

Relationships

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of stock award under 2016 Director Equity Incentive Plan.
- Pursuant to the company's Amended and Restated Director Stock Purchase Plan, the reporting person has elected to receive a fully vested stock award for shares of the company's common stock in lieu of cash payment of director fees otherwise payable to the reporting person. The number of shares of common stock awarded represents the quotient of (i) the director fees payable to the reporting person divided by (ii) \$0.55, representing the average of the high and low trading prices reported on the NYSE MKT on April 4, 2017.
- (3) The stock option was granted under the 2016 Director Equity Incentive Plan.

**(4)** 

Reporting Owners 2

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The exercise price equals the fair market value (equal to the average of the high and low selling prices as reported on the NYSE MKT) of the common stock on the grant date.

(5) The stock option vests on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.