ABM INDUSTRIES INC /DE/

Form 4

September 06, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Form 4 or

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * GIACOBBE SCOTT J

2. Issuer Name and Ticker or Trading

ABM INDUSTRIES INC /DE/

5. Relationship of Reporting Person(s) to Issuer

Symbol

[ABM]

below)

(Check all applicable)

Pres - Building & Energy

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director X_ Officer (give title

10% Owner Other (specify

551 FIFTH AVENUE SUITE

300, ONE LIBERTY PLAZA 7TH

(Street)

FLOOR

Common

Common

Stock

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

09/02/2016

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10006

	(City)	(State)	(Zip) Tal	ole I - Non-l	Derivative Securities Acqui	red, Disposed of,	or Beneficially	y Owned
1.Ti	tle of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature
Seci	urity	(Month/Day/Year)	Execution Date, if	Transactio	or Disposed of (D)	Securities	Ownership	Indirect
(Ins	tr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
			(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownershi

Owned Following

nership m: Direct (D) or Indirect

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(Month/Day/Year)

1,300

Reported Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price \$ 25.84 Α

\$

(1)

(A)

34,183

D

D

09/02/2016 Stock

09/02/2016

S

M

1.300 D 39.0239 $32,883 \stackrel{(2)}{=}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration E (Month/Day	Pate	7. Title and Underlying (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 25.84	09/02/2016		M	1,300	<u>(4)</u>	01/10/2018	Common Stock	1,300

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GIACOBBE SCOTT J 551 FIFTH AVENUE SUITE 300 ONE LIBERTY PLAZA 7TH FLOOR NEW YORK, NY 10006

Pres - Building & Energy

De Sec (In

Signatures

By: Barbara L. Smithers, by power of attorney

09/06/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.00 to \$39.12, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 1 to this Form 4.
- Includes 14,372 RSUs and DERS relating to the RSUs, adjusted to reflect the cumulative effect of fractional shares, 2,357 performance (2) shares earned but not vested with respect to performance shares granted on 1/14/2014, 623 performance shares earned but not vested with respect to performance shares granted on 1/15/2015, and DERs related thereto..
- (3) Stock options granted under the 2006 Equity Incentive Plan.
- (4) 100% exercisable on the fifth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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