## Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUST	RIES INC										
Form 4											
July 11, 2016										PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	uant to S ) of the I	F <b>CHAN</b> Section 16 Public Uti	GES IN H SECURI 5(a) of the ility Hold vestment (	January 31, 2005 Estimated average burden hours per response 0.5							
(Print or Type Respon	nses)										
1. Name and Address of Reporting Person <u>*</u> PATEK RICHARD A			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
<i>a</i> .			ASTEC INDUSTRIES INC [ASTE]					(Check all applicable)			
(Last) (First) (Middle) 1725 SHEPHERD RD			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>07/08/2016</li></ul>					Director       10% Owner         X Officer (give title       Other (specify below)         Group Pres Aggregate & Mining			
(Street) CHATTANOOGA, TN 37421			4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
CHATTANOOO	IA, IN 57421							Person			
(City) (	State) (Z	Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Ac	quired, Disposed o	f, or Beneficial	lly Owned	
	2. Transaction Date 2A. De (Month/Day/Year) Execut any (Month		on Date, if	Code	4. Securities ionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V Amo			or (D) Price		(Instr. 3 and 4)			
Common Stock								963.6153 <u>(3)</u>	Ι	by 401(k) Plan	
Common Stock								1,763 <u>(2)</u>	D		
Common Stock								3,800	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock (1)	<u>(1)</u>	07/08/2016	А	34.0995 (1)		<u>(1)</u>	<u>(1)</u>	Common Stock	34.0995 (1)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PATEK RICHARD A 1725 SHEPHERD RD CHATTANOOGA, TN 37421			Group Pres Aggregate & Mining					
Signatures								
Robert Taylor, attorney in fact for Patek	07/11/2016							
**Signature of Reporting Person	n	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Transaction represents Astec's quarterly contribution to the reporting persons SERP account that was used to purchase Astec stock on the(1) open market. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

- (2) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.
- (3) Shares held in the reporting person's 401k account are based upon a Plan statement dated July 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.