InfuSystem Holdings, Inc Form 3 November 13, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Schembri Sean William

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/05/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol InfuSystem Holdings, Inc [INFU]

> 4. Relationship of Reporting Person(s) to Issuer

> > (Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INFUSYSTEM HOLDINGS, INC., Â 31700 RESEARCH PARK DRIVE

(Street)

(State)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) EVP, Gen. Counsel & Corp. Sec.

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

#### **MADISON** HEIGHTS, MIÂ 48071

(City)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Title

Amount or

Price of Derivative Security: Derivative

Expiration Date Security Direct (D) Number of Exercisable Date

#### Edgar Filing: InfuSystem Holdings, Inc - Form 3

Shares or Indirect (I) (Instr. 5)  $\hat{A} \stackrel{(1)}{=} 07/14/2024 \stackrel{Common}{Stock} 100,000 \quad \$ \ 2.69 \qquad D \qquad \hat{A}$ 

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Schembri Sean William

C/O INFUSYSTEM HOLDINGS, INC. 31700 RESEARCH PARK DRIVE

MADISON HEIGHTS, MIÂ 48071

Relationships

Other

# **Signatures**

/s/ Sean
Schembri

\*\*Signature of Reporting Person

11/11/2014

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, representing the right to purchase a total of 100,000 shares, vests in thirty-six equal monthly installments beginning August 14, 2014 and becomes exercisable beginning on January 14, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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