#### Dermira, Inc. Form 3 October 02, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Dermira, Inc. [DERM] GUGGENHIME ANDREW (Month/Day/Year) 10/02/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O DERMIRA, INC., 2055 (Check all applicable) WOODSIDE ROAD

(Street)

## REDWOOD CITY, CAÂ 94061

(City) (State)

1.Title of Security (Instr. 4)

# Table I - Non-Derivative Securities Beneficially Owned

Ownership

10% Owner

Other

6. Individual or Joint/Group

Filing(Check Applicable Line)

Person

Ownership (Instr. 5)

Reporting Person

4. Nature of Indirect Beneficial

\_X\_ Form filed by One Reporting

Form filed by More than One

2. Amount of Securities Beneficially Owned (Instr. 4)

\_\_\_\_ Director \_X\_\_ Officer

(give title below) (specify below)

3.

COO and CFO

(I) (Instr. 5)

SEC 1473 (7-02)

Form: Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I)	

Employee Stock Option $\hat{A}$ (1) (right to buy)	06/04/2024	Common Stock	180,513	\$ 5.51	(Instr. 5) D	Â
<b>Reporting Owners</b>						
Reporting Owner Name / Address	Director 10% Ov	Other				
GUGGENHIME ANDREW C/O DERMIRA, INC. 2055 WOODSIDE ROAD REDWOOD CITY, CA 94061	Â	CO	O and CFO	Â		
Signatures						
/s/ Christine Ring, by power of attorney	10/02/2					
**Signature of Reporting Person	Date					

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The stock option vests and becomes exercisable as to 25% of the shares subject to the option on the one-year anniversary of the vesting
- (1) commencement date, and thereafter vests as to 1/48th of the shares in equal monthly installments, subject to the continuing employment of the Reporting Person on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.