

Lotvin Alan
Form 4
April 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lotvin Alan

(Last) (First) (Middle)

ONE CVS DRIVE

(Street)

WOONSOCKET, RI 02895

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CVS HEALTH Corp [CVS]

3. Date of Earliest Transaction
(Month/Day/Year)

04/01/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
____X____ Officer (give title _____ Other (specify
below) below)

EVP, Transformation

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/01/2019		F	863 (1) D \$ 54.19	7,578.2742	D	
Common Stock					456.848	I	By Children's Trusts
Common Stock (restricted)					14,644	D	
Stock Unit					15,141.1519	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 54.19	04/01/2019		A		80,802		04/01/2020 ⁽²⁾	04/01/2029	Common Stock	80,802
Stock Option	\$ 74.29							04/01/2015 ⁽³⁾	04/01/2021	Common Stock	15,730
Stock Option	\$ 102.26							04/01/2016 ⁽⁴⁾	04/01/2022	Common Stock	21,380
Stock Option	\$ 104.82							04/01/2017 ⁽⁵⁾	04/01/2023	Common Stock	27,390
Stock Option	\$ 78.05							04/03/2018 ⁽⁶⁾	04/03/2024	Common Stock	32,910
Stock Option	\$ 62.21							04/01/2019 ⁽⁷⁾	04/01/2025	Common Stock	22,540

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Lotvin Alan ONE CVS DRIVE WOONSOCKET, RI 02895	EVP, Transformation

Signatures

/s/ Alan M.
Lotvin

04/03/2019

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Surrender of shares in payment of withholding taxes due upon the vesting of a stock award under an incentive compensation plan.
- (2) Option becomes exercisable in four equal annual installments, commencing 4/1/2020.
- (3) Remaining options became exercisable in four equal annual installments beginning on 4/1/2015.
- (4) Option became exercisable in four equal annual installments beginning on 4/1/2016.
- (5) Option became exercisable in four equal annual installments beginning on 4/1/2017.
- (6) Option became exercisable in four equal annual installments beginning on 4/3/2018.
- (7) Option became exercisable in four equal annual installments, commencing 4/1/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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