

Smith David Ross  
Form 4  
February 26, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

### OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Smith David Ross

2. Issuer Name and Ticker or Trading  
Symbol  
CHARLES RIVER  
LABORATORIES  
INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Corporate Executive VP & CFO

(Last) (First) (Middle)  
251 BALLARDVALE STREET  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/25/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

WILMINGTON, MA 01887

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 02/25/2019                              |                                                             | S(1)                                    | 200                                                                     | D<br>\$ 144.99                                                                                                     | 24,262                                                               | D                                                                 |
| Common<br>Stock                       | 02/25/2019                              |                                                             | S(1)                                    | 200                                                                     | D<br>\$ 145                                                                                                        | 24,062                                                               | D                                                                 |
| Common<br>Stock                       | 02/25/2019                              |                                                             | S(1)                                    | 100                                                                     | D<br>\$ 145.04                                                                                                     | 23,962                                                               | D                                                                 |
| Common<br>Stock                       | 02/25/2019                              |                                                             | S(1)                                    | 300                                                                     | D<br>\$ 145.05                                                                                                     | 23,662                                                               | D                                                                 |
| Common<br>Stock                       | 02/25/2019                              |                                                             | S(1)                                    | 200                                                                     | D<br>\$ 145.12                                                                                                     | 23,462                                                               | D                                                                 |

Edgar Filing: Smith David Ross - Form 4

|              |            |             |     |   |           |        |   |
|--------------|------------|-------------|-----|---|-----------|--------|---|
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.14 | 23,262 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.17 | 23,162 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.22 | 23,062 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.26 | 22,862 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 400 | D | \$ 145.29 | 22,462 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.36 | 22,362 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.38 | 22,262 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.39 | 22,162 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.46 | 21,962 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.52 | 21,762 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.53 | 21,562 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.61 | 21,462 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.82 | 21,362 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 145.83 | 21,262 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 145.93 | 21,062 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 300 | D | \$ 146    | 20,762 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 200 | D | \$ 146.01 | 20,562 | D |
| Common Stock | 02/25/2019 | <u>S(1)</u> | 100 | D | \$ 146.05 | 20,462 | D |
| Common Stock | 02/26/2019 | F           | 379 | D | \$ 145.31 | 20,083 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not**

SEC 1474  
(9-02)

**required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following the<br>Transaction<br>(Instr. 6) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                          |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships                    |
|--------------------------------------------------------------------|----------------------------------|
|                                                                    | Director 10% Owner Officer Other |
| Smith David Ross<br>251 BALLARDVALE STREET<br>WILMINGTON, MA 01887 | Corporate Executive VP & CFO     |

## Signatures

/s/ David R. Smith 02/25/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.