

Abraham Dana H  
Form 3  
May 03, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Abraham Dana H		(Month/Day/Year)	UMB FINANCIAL CORP [UMBF]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		04/24/2018		
1010 GRAND BLVD.			(Check all applicable)	
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below)    (specify below)	
KANSAS CITY,Â MOÂ 64106			Executive Vice President	
(City)	(State)	(Zip)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	11,024	D	Â
Common Stock	288.3474	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

## Edgar Filing: Abraham Dana H - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	01/01/2012 <sup>(1)</sup>	01/01/2019	Common Stock	2,609	\$ 41.37	D	Â
Stock Option (Right to Buy)	01/01/2013 <sup>(2)</sup>	01/01/2020	Common Stock	3,155	\$ 37.84	D	Â
Stock Option (Right to Buy)	01/01/2014 <sup>(3)</sup>	01/01/2021	Common Stock	2,751	\$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015 <sup>(4)</sup>	01/01/2022	Common Stock	3,169	\$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016 <sup>(5)</sup>	01/01/2023	Common Stock	2,776	\$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017 <sup>(6)</sup>	01/01/2024	Common Stock	3,669	\$ 57.4	D	Â
Stock Option (Right to Buy)	02/11/2018 <sup>(7)</sup>	02/11/2025	Common Stock	4,195	\$ 51.42	D	Â
Stock Option (Right to Buy)	02/05/2018 <sup>(8)</sup>	02/05/2026	Common Stock	4,059	\$ 47.68	D	Â
Stock Option (Right to Buy)	02/02/2019 <sup>(9)</sup>	02/02/2027	Common Stock	2,446	\$ 75.25	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Abraham Dana H 1010 GRAND BLVD. KANSAS CITY, MO 64106	Â	Â	Â Executive Vice President	Â

## Signatures

/s/ Megan L. Mercer, attorney-in-fact for Ms. Abraham 05/03/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vested 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014.
- (2) Options vested 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015.
- (3) Options vested 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2016.
- (4) Options vested 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

## Edgar Filing: Abraham Dana H - Form 3

- (5) Options vested 50% on 1/1/2016, 75% on 1/1/2017 and 100% on 1/1/2018.
- (6) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019.
- (7) Options will vest 50% on 2/11/2018, 75% on 2/11/2019, and 75% on 2/11/2020.
- (8) Options will vest 50% on 2/5/2018, 75% on 2/5/2019, and 100% on 2/5/2020.
- (9) Options will vest 50% on 2/2/19, 75% on 2/2/20, and 100% on 2/2/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.