Edgar Filing: Broker Neel - Form 4

Broker Neel

Form 4 March 09, 20	18										
FORM	Л								-	PPROVAL	
	UNITE	D STATES		ITIES AN hington, l			NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin See Instruc	er STATE 5. Filed p ^s Section 1	EMENT OF CHANGES IN BENEFICIAL OWNERSHIP (SECURITIES pursuant to Section 16(a) of the Securities Exchange Act of 193 17(a) of the Public Utility Holding Company Act of 1935 or Sec 30(h) of the Investment Company Act of 1940						ge Act of 1934, f 1935 or Sectio	Expires: January 31 2009 Estimated average burden hours per response 0.9		
1(b).											
(Print or Type R	esponses)										
Broker Neel Symt LAU			Symbol	AUREATE EDUCATION, INC.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mc			(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 03/07/2018				Director 10% Owner X Officer (give title Other (specify below) Delow) CEO EMEAA			
				ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BALTIMOR	E, MD 21202								Aore than One Re		
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	Citle of curity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any		3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
Class A Common Stock	03/07/2018			Code V A	Amount 5,592	. ,	Price \$ 0	(Instr. 3 and 4)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 13.97	03/07/2018		A	10,135	<u>(1)</u>	03/07/2028	Class A Common Stock	10,135

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Broker Neel 650 S. EXETER STREET 12TH FLOOR BALTIMORE, MD 21202			CEO EMEAA				
Signatures							
/s/ Sean P. Mulcahy, Attorney-in-Fact		03/09/2018					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Subject to the reporting person's continued employment with Laureate Education, Inc., these options will become exercisable in three equal annual installments beginning on December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.