Cox Christopher K Form 4 February 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Cox Christopher K

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

Facebook Inc [FB]

(Check all applicable)

C/O FACEBOOK, INC., 1601

WILLOW ROAD

3. Date of Earliest Transaction

(Month/Day/Year) 02/15/2018

Director 10% Owner X_ Officer (give title) _ Other (specify below)

Chief Product Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MENLO PARK, CA 94025

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner | | | | | | | | |
|--------------------------------------|--------------------------------------|--|--|---|-------|--------------|--|--|---|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit coor Dispos (Instr. 3, 4 | ed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Common Stock | 02/15/2018 | | C | 34,122 (1) | A | \$0 | 81,164 | D | | |
| Class A Common Stock | 02/15/2018 | | F | 16,918 (2) | D | \$ 179.52 | 64,246 | D | | |
| Class A Common Stock | 02/15/2018 | | M | 26,847 | A | \$ 0 | 91,093 | D | | |
| Class A Common | 02/15/2018 | | F | 13,311 (2) | D | \$ 179.52 | 77,782 | D | | |

| Stock | | | | | | | | |
|----------------------------|------------|---|--------------|---|--------------|---------|---|---|
| Class A Common Stock | 02/15/2018 | M | 10,023 | A | \$ 0 | 87,805 | D | |
| Class A Common Stock | 02/15/2018 | F | 4,970 (2) | D | \$ 179.52 | 82,835 | D | |
| Class A Common Stock | 02/15/2018 | M | 8,254 | A | \$ 0 | 91,089 | D | |
| Class A Common Stock | 02/15/2018 | F | 4,093 (2) | D | \$ 179.52 | 86,996 | D | |
| Class A Common Stock | | | | | | 200,000 | I | By Christopher K. Cox 2017 Annuity Trust (3) |
| Class A Common Stock | | | | | | 28,816 | I | By Remainder Interest Trust Created Under The Christopher K. Cox 2009 Annuity Trust Dated 5/29/2009 (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 10 | 6. Date Exerc | cisable and | /. Title and | Amour |
|-------------|-------------|---------------------|--------------------|-----------------------|---------------|----------|-----------------|-------------|--------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | | | Expiration Date | | Underlying Securit | |
| Security | or Exercise | | any | Code | Securities . | Acquired | (Month/Day/ | Year) | (Instr. 3 and | 14) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | (A) or Disp | posed of | | | | |
| | Derivative | | | | (D) | | | | | |
| | Security | | | | (Instr. 3, 4, | , and 5) | | | | |
| | | | | Code V | (A) | (D) | Date | Expiration | Title | Amo |
| | | | | | (-) | (-) | Exercisable | Date | | or |

(9-02)

| | | | | | | | | | Num of Sh |
|--|------------|------------|---|--------|---------------|-------------|------------|--------------------------------|--------------|
| Restricted Stock Unit (RSU) (Class B) | <u>(5)</u> | 02/15/2018 | M | | 34,122 | <u>(6)</u> | 05/02/2022 | Class B Common Stock (7) | 34, |
| Class B Common Stock (7) | <u>(7)</u> | 02/15/2018 | M | 34,122 | | <u>(7)</u> | <u>(7)</u> | Class A Common Stock | 34, |
| Class B Common Stock (7) | <u>(7)</u> | 02/15/2018 | C | | 34,122 (8) | <u>(7)</u> | <u>(7)</u> | Class A Common Stock | 34, |
| Restricted Stock Units (RSU) (Class A) | <u>(9)</u> | 02/15/2018 | M | | 26,847 | (10) | 05/05/2023 | Class A Common Stock | 26,8 |
| Restricted Stock Units (RSU) (Class A) | <u>(9)</u> | 02/15/2018 | M | | 10,023 | <u>(11)</u> | 03/16/2024 | Class A Common Stock | 10,0 |
| Restricted Stock Units (RSU) (Class A) | (9) | 02/15/2018 | M | | 8,254 | <u>(6)</u> | 03/15/2025 | Class A Common Stock | 8,2 |

Reporting Owners

| Reporting Owner Name / Address | | J | Relationships |
|--------------------------------|----|--------|---------------|
| | D: | 1007 0 | Off: |

Director 10% Owner Officer Other

Cox Christopher K C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

Chief Product Officer

Signatures

/s/ Michael Johnson as attorney-in-fact for Christopher K. Cox 02/20/2018

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Reporting Owners 3

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the RSUs listed in Table II.
- (2) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (3) Shares held of record by Christopher K. Cox, Trustee of The Christopher K. Cox 2017 Annuity Trust u/a/d 10/24/2017.
- Shares held of record by Visra Vichit-Vadakan, Trustee of the Remainder Interest Trust under the Christopher K. Cox 2009 Annuity

 Trust u/a/d 5/29/2009, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these shares, and the filing of this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (5) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (6) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2017, subject to continued service through each vesting date.
- (7) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (8) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (9) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on August 15, 2017, subject to continued service through each vesting date.
- (11) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2016, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.