Ashish Khandpur K Form 4 February 08, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

Form 4 or Form 5 obligations SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Expires:

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Ashish Khandpur K  (Last) (First) (Middle)			S	Symbol	er Name <b>ar</b> O [MMM	nd Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			(Middle) 3.	. Date	of Earliest	Transaction				
3M CENTER			`	Month/ )2/06/2	Day/Year) 2018		DirectorX Officer (give below) Execu		`	
(Street)				. If Am	endment, I	Date Original	6. Individual or Joint/Group Filing(Check			
				Filed(Mo	onth/Day/Ye	ear)	Applicable Line) _X_ Form filed by One Reporting Person			
	ST. PAUL	, MN 55144-1000	)				Form filed by Person	More than One	Reporting	
	(City)	(State)	(Zip)	Tal	ole I - Non	-Derivative Securities Acq	uired, Disposed o	of, or Benefic	cially Owned	
	1.Title of	2. Transaction Date	2A. Deemed		3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of	
Security (Month/Day/Year) Execution D		Execution Dat	Date, if Transact		or Disposed of (D)	Securities	Ownership	Indirect		
	(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
			(Month/Day/Y	Year)	(Instr 8)		Owned	Direct (D)	Ownership	

			0101 11011	Delivative Se	cui iti	cs ricq	airea, Disposea	oi, or benefit	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	02/06/2018		A	3,796.202 (1)	A	\$0	5,052.8601	D	
Common Stock	02/06/2018		F	105 (1)	D	\$0	4,947.8601 (2)	D	
Common Stock							752 (3)	I	by Spouse
Common Stock							767 <u>(3)</u>	I	Spouse 401k/paesop

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 233.63	02/06/2018		A	27,273	02/06/2019	02/04/2028	Common Stock	27,

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Ashish Khandpur K 3M CENTER ST. PAUL, MN 55144-1000

**Executive Vice President** 

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Ashish K. Khanpur

02/08/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of 3M common stock that will be delivered pursuant to the terms of a performance share award made to the reporting person on March 2, 2015. The performance-based vesting requirements applicable to such award were satisfied on the date reported in

- (1) Column 2 above, which represents the date on which the level of performance attained was certified. The reporting person previously elected to defer receipt of the shares and will not have voting or investment powers with respect to these shares until they are issued. The number of shares withheld for FICA taxes was estimated and will be revised by amendment, if necessary.
- (2) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (3) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.
- This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/6/2018).

Reporting Owners 2

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