

Swedish Joseph  
Form 4  
December 05, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Swedish Joseph

2. Issuer Name and Ticker or Trading Symbol  
Anthem, Inc. [ANTM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
120 MONUMENT CIRCLE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/01/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive Chairman

INDIANAPOLIS, IN 46204

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    | 12/01/2017                           |  | M                              |   | 21,125 A \$ 67.44   | 87,668   | D                                 |
| Common Stock                    | 12/01/2017                           |  | S <sup>(1)</sup>               |   | 200 D \$ 227.31   | 87,468   | D                                 |
| Common Stock                    | 12/01/2017                           |  | S <sup>(1)</sup>               |   | 1,100 D \$ 229.13   | 86,368   | D                                 |
| Common Stock                    | 12/01/2017                           |  | S <sup>(1)</sup>               |   | 4,321 D \$ 230.43   | 82,047   | D                                 |
|                                 | 12/01/2017                           |  | S <sup>(1)</sup>               |   | 7,722 D   | 74,325   | D                                 |

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|              |            |  |                  |       |            |            |        |        |
|--------------|------------|--|------------------|-------|------------|------------|--------|--------|
| Common Stock |            |  |                  |       | \$         |            |        |        |
|              |            |  |                  |       | 231.24     |            |        |        |
|              |            |  |                  |       | <u>(4)</u> |            |        |        |
| Common Stock | 12/01/2017 |  | S <sup>(1)</sup> | 5,882 | D          | \$         | 232.08 | 68,443 |
|              |            |  |                  |       |            | <u>(5)</u> |        | D      |
| Common Stock | 12/01/2017 |  | S <sup>(1)</sup> | 800   | D          | \$         | 233.01 | 67,643 |
|              |            |  |                  |       |            | <u>(6)</u> |        | D      |
| Common Stock | 12/01/2017 |  | S <sup>(1)</sup> | 800   | D          | \$         | 234.81 | 66,843 |
|              |            |  |                  |       |            | <u>(7)</u> |        | D      |
| Common Stock | 12/01/2017 |  | S <sup>(1)</sup> | 300   | D          | \$         | 235.67 | 66,543 |
|              |            |  |                  |       |            | <u>(8)</u> |        | D      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (Right to Buy)       | \$ 67.44   | 12/01/2017                           |  | M                              | 21,125  | <u>(9)</u> 04/01/2020                                    | Common Stock 21,125   |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

Swedish Joseph  
120 MONUMENT CIRCLE  
INDIANAPOLIS, IN 46204

X

Executive Chairman

## Signatures

/s/ Kathleen S. Kiefer, Attorney  
in fact

12/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 2, 2017.

This transaction was executed in multiple trades at prices ranging from \$228.61 to \$229.48. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (3) This transaction was executed in multiple trades at prices ranging from \$229.75 to \$230.73. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (4) This transaction was executed in multiple trades at prices ranging from \$230.75 to \$231.74. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (5) This transaction was executed in multiple trades at prices ranging from \$231.75 to \$232.66. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (6) This transaction was executed in multiple trades at prices ranging from \$232.86 to \$233.15. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (7) This transaction was executed in multiple trades at prices ranging from \$234.39 to \$235.24. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (8) This transaction was executed in multiple trades at prices ranging from \$235.44 to \$235.78. The price reported reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (9) The option represents a right to purchase a total of 102,303 shares, and is exercisable in six semi-annual installments, with three installments of 17,050 shares and three installments of 17,051 shares each, beginning on October 1, 2013, which is the six-month anniversary of the option grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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