Edgar Filing: Ashish Khandpur K - Form 4

Ashish Khandpur K Form 4 November 03, 2017 FORM 4 Check this box if no longer subject to Section 16. Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, bligations (b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, bligations (b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) Section 16(a) Section 16(b) Section 16(
(Print or Type	e Responses)										
Ashish Khandpur K Sym				2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Check all applicable)				
				(Month/Day/Year) 11/01/2017				Director 10% Owner X Officer (give title Other (specify below) Sr. VP, Chief Tech. Officer			
			-				6. Individual or Joint/Group Filing(Check Applicable Line)				
ST. PAUL, MN 55144-1000								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Та	ble I - Nor	-Derivati	ve Sec	urities Acqu	iired, Disposed of	or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemec Execution E any (Month/Day	Date, if	3. Transactic Code (Instr. 8) Code V	otor Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/01/2017			М	4,004	A	\$ 54.11	5,236	D		
Common Stock	11/01/2017			S	200	D	\$ 231.3804	5,036	D		
Common Stock	11/01/2017			S	3,804	D	\$ 231.392	2 1,232 <u>(1)</u>	D		
Common Stock								752	Ι	by Spouse	
Common Stock								763 <u>(2)</u>	Ι	Spouse 401k/paesop	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securiti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numt of Share
Non-qualified Stock Option (Right to Buy)	\$ 54.11	11/01/2017		М	4,004	02/09/2010	02/08/2019	Common Stock	4,00

Reporting Owners

Reporting Owner Name / Address	ss Relationships						
	Director	10% Owner	Officer	Other			
Ashish Khandpur K 3M CENTER ST. PAUL, MN 55144-1000			Sr. VP, Chief Tech. Officer				
Signatures							
/s/ Sheila B. Claugherty, attorn Khanpur	ey-in-fact	K. 11/03/2017					
**Signature of Rep	orting Person	1	Date				
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.