Edgar Filing: Cox Christopher K - Form 4

Cox Christopher K Form 4 September 11, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). State average Section 17(a) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940										
1. Name and Address Cox Christopher I (Last) (F	K I I I	 2. Issuer Name a Symbol Facebook Inc [3. Date of Earliest 	FB]		Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
C/O FACEBOOK WILLOW ROAD	(Month/Day/Year) 09/07/2017			X Office below)	Director 10% Owner X Officer (give title Other (specify below) Chief Product Officer					
(S) MENLO PARK, O		4. If Amendment, Filed(Month/Day/Y	-	nal	Applicable Li _X_ Form file	 5. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (S	ate) (Zip)	Table I - Nor	n-Derivativ	e Securitie	es Acquired, Dispo	sed of,	or Beneficial	ly Owned		
	action Date 2A. Deemed Day/Year) Execution E any (Month/Day	d 3. Date, if Transacti Code		ies Acquire of (D) 4 and 5) (A)	ed (A) or 5. Amou Securitie Benefici Owned Followir Reported	or 5. Amount of Securities Beneficially		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		Code V	Amount	· · ·	Price (Instr. 3	and 4)				
Class A Common 09/07/ Stock	2017	S <u>(1)</u>	13,536	\$ D 17 (2)	0.9977 194,66	6	D			
Class A Common 09/07/ Stock	2017	S <u>(1)</u>	2,464	\$ D 17 (3)	1.8505 192,20	2	D			
Class A Common Stock					76,945		I	By Christopher K. Cox Revocable Trust (4)		

Edgar Filing: Cox Christopher K - Form 4

Class A Common Stock							28,8	16 I	[Inte Tru Cre Uno Chr K. C 200 Ann Tru	ated ler The istopher Cox		
Reminder: R	leport on a sep	arate line for e	each cla	ss of securities ber									
						Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.					SEC 1474 (9-02)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	Derivative Conversion (Month/Day/Year) Execu Security or Exercise any				Code	of	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr	
										Amount			
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or Number of Shares			
Repor	Reporting Owners												
Reporting	Owner Name	/ Address		R	elationships								
Reporting			Directo	r 10% Owner	Officer		Other						
1601 WIL	stopher K EBOOK, IN LOW ROA PARK, CA	D			Chief Pro	duct Offi	cer						

Signatures

/s/ Michael Johnson as attorney-in-fact for Christopher K. Cox

09/11/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$170.62 to \$171.61 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

(2) staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$171.62 to \$171.95 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

- (3) strft.02 to strft.95 per share, inclusive. The reporting person undertakes to provide to the issuer, any security notice of the issuer, of the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) Shares held of record by Christopher Cox, Trustee of the Christopher K. Cox Revocable Trust.

Shares held of record by Remainder Interest Trust Created under the Christopher K. Cox 2009 Annuity Trust dated 5/29/2009, Visra

(5) Vichit-Vadakan, Trustee, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these shares, and the filing of this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.